

# Indication of electron neutrino appearance in the T2K experiment

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# Results

- T2K performed  $\nu_\mu \rightarrow \nu_e$  oscillation analysis based on  $1.43 \times 10^{20}$  p.o.t. (2010 Jan. - 2011 Mar.)
  - Observed 6  $\nu_e$  candidate events
  - # of expected events =  $1.5 \pm 0.3(\text{syst.})$  (if  $\sin^2 2\theta_{13} = 0$ )
  - Under null  $\theta_{13}$  hypothesis, prob. of observing 6 or more events is 0.007, equivalent to  $2.5\sigma$  significance.
  - $0.03 \text{ (0.04)} < \sin^2 2\theta_{13} < 0.28 \text{ (0.34)}$  at 90% C.L. for normal (inverted) hierarchy (assuming  $\Delta m^2_{23} = 2.4 \times 10^{-3} \text{ eV}^2$ ,  $\delta_{CP}=0$ )

## ***Indication of $\nu_\mu \rightarrow \nu_e$ appearance***

*This result was submitted to PRL and the preprint will appear in arXiv tomorrow.  
Reference: arXiv:1106.1238 for the T2K experimental setup.*

# T2K Collaboration



International collaboration  
(~500 members, 59 institutes, 12 countries)



# T2K (Tokai-to-Kamioka) experiment



**Super-Kamiokande**  
(ICRR, Univ. Tokyo)



**T2K**

**J-PARC Main Ring**  
(KEK-JAEA, Tokai)



## T2K Main Goals:

- ★ Discovery of  $\nu_\mu \rightarrow \nu_e$  oscillation ( $\nu_e$  appearance)
- ★ Precision measurement of  $\nu_\mu$  disappearance



# Overview of this talk

1. Introduction of T2K experiment
2. Search for  $\nu_e$  appearance with  $1.43 \times 10^{20}$  protons on target (p.o.t)
  - Analysis overview
  - $\nu_e$  selection criteria
  - The expected number of events at Far detector
  - Systematic uncertainty
  - Observation at Far detector & Results
3. Conclusion

**Previous Results w/  $0.3 \times 10^{20}$  p.o.t has been reported by K. Okumura in April.  
Analyzed data exposure is ~5 times larger than previous one.**

# Physics Motivation of $\nu_e$ appearance

★ discovery of  $\nu_\mu \rightarrow \nu_e$

Direct detection of neutrino flavor mixing in “appearance” mode

Determine  $\theta_{13}$

the last mixing angle  $\theta_{13}$  can be determined by  $\nu_\mu \rightarrow \nu_e$

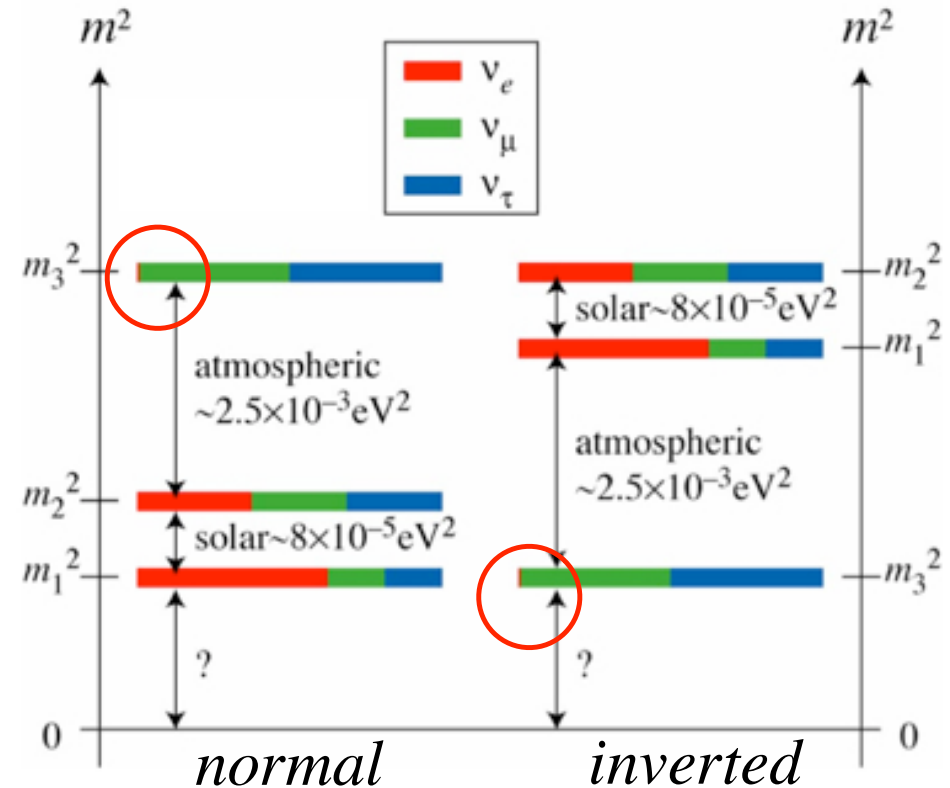
$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2(\Delta m_{31}^2 L/4E) + \dots$$

( $\Delta m_{23}^2 \sim \Delta m_{31}^2$ )

Open a possibility to measure CP violation in lepton sector in future

CP odd term in  $P(\nu_\mu \rightarrow \nu_e) \propto \sin \theta_{12} \sin \theta_{13} \sin \theta_{23} \sin \delta$

Neutrino mass & three flavor mixing



Mixing angle:  $\theta_{12}$ ,  $\theta_{23}$ ,  $\theta_{13}$

$$\theta_{12} = 34^\circ \pm 3^\circ \quad \theta_{23} = 45^\circ \pm 5^\circ \quad \theta_{13} < 11^\circ$$

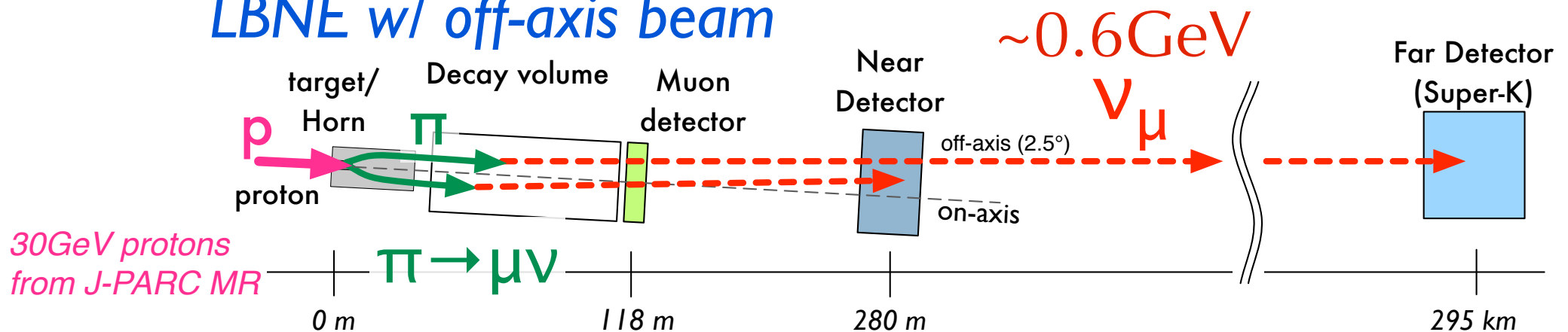
Last unknown mixing angle  $\theta_{13}$

$$\sin^2 2\theta_{13} < 0.15 \quad \text{at 90\% C.L.}$$

CHOOZ (reactor exp.) and MINOS (accelerator exp.)

# Design Principle of T2K

*LBNE w/ off-axis beam*

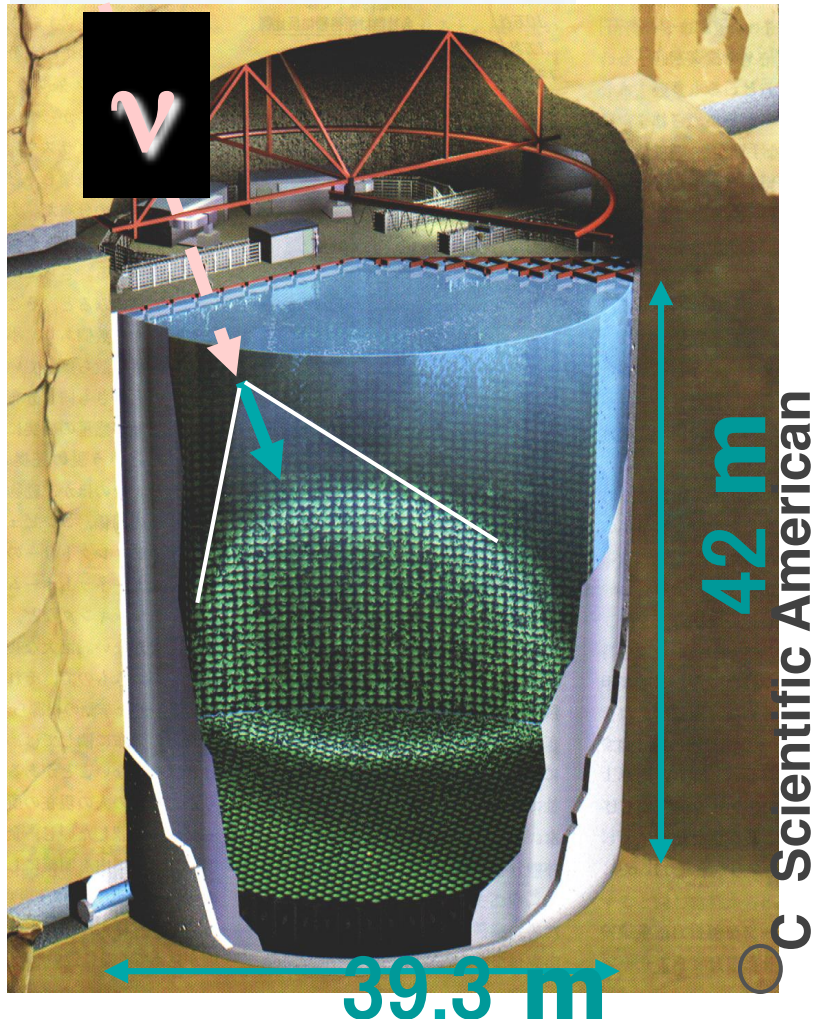


## ☑ Super-Kamiokande(SK) as far neutrino detector

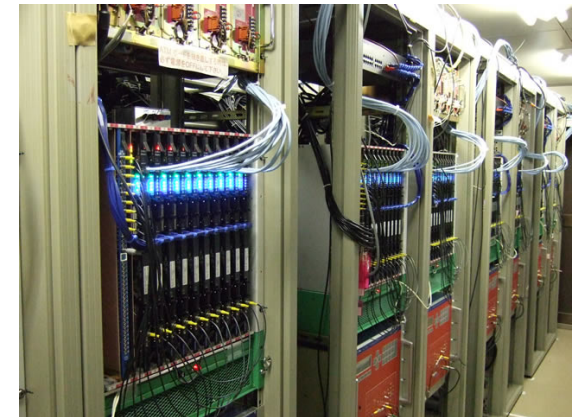
- World largest  $\nu$  & proton decay detector
- Distance  $L$  and  $E_\nu$  matches to meet oscillation maximum condition:  $L \cdot \Delta m_{23}^2 / (4E_\nu) \sim \pi/2$
- Excellent identification of event topology and kinematics
  - $\nu_\mu \rightarrow \nu_e$ ,  $\nu_e + n \rightarrow e^- + p$  ( $\nu_e$  appearance signal)
  - Enable us to reconstruct the neutrino energy
  - High rejection efficiency for backgrounds: e.g.  $\mu$ ,  $\pi^0$ ,  $\pi^\pm$



# Far detector (Super-K)

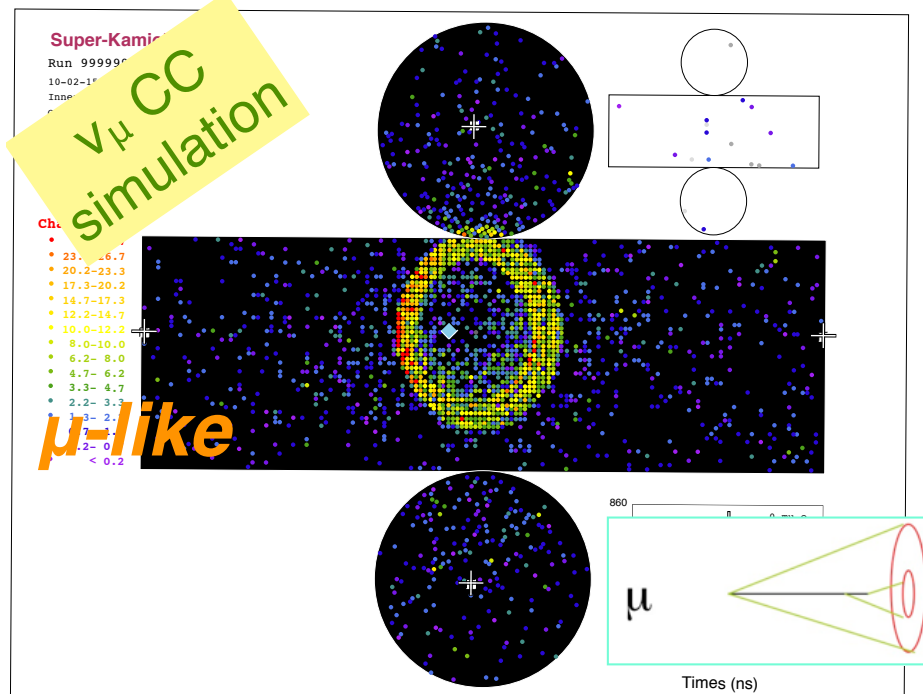
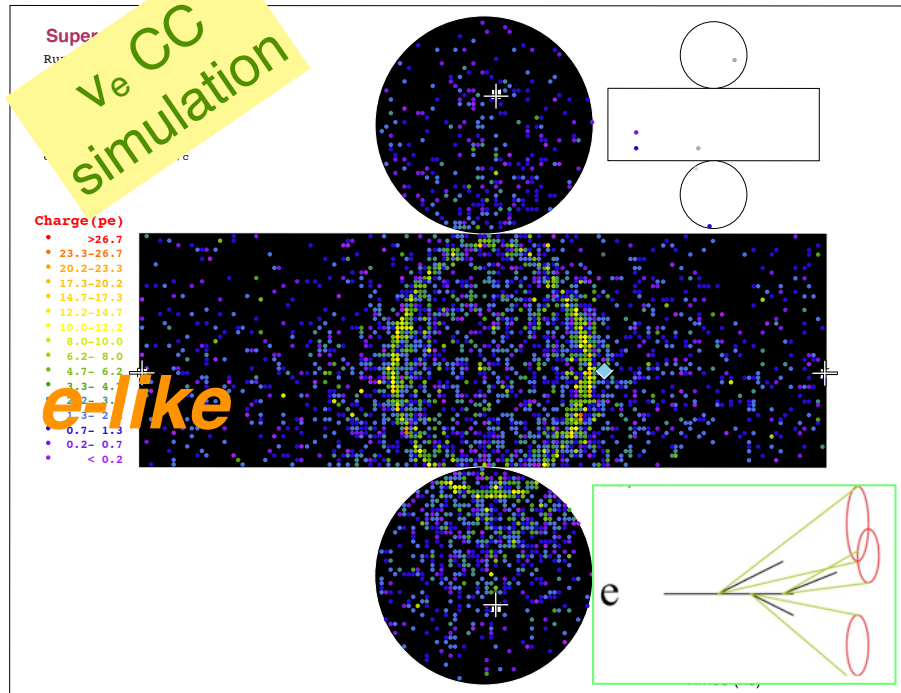


- Water Cherenkov detector w/ fiducial volume 22.5kton (Total 50kton)
- Phase IV w/ Dead-time less DAQ system since September 2008
- T2K event trigger by accelerator beam timing
- atmospheric  $\nu$  samples as control samples to study detector performance.

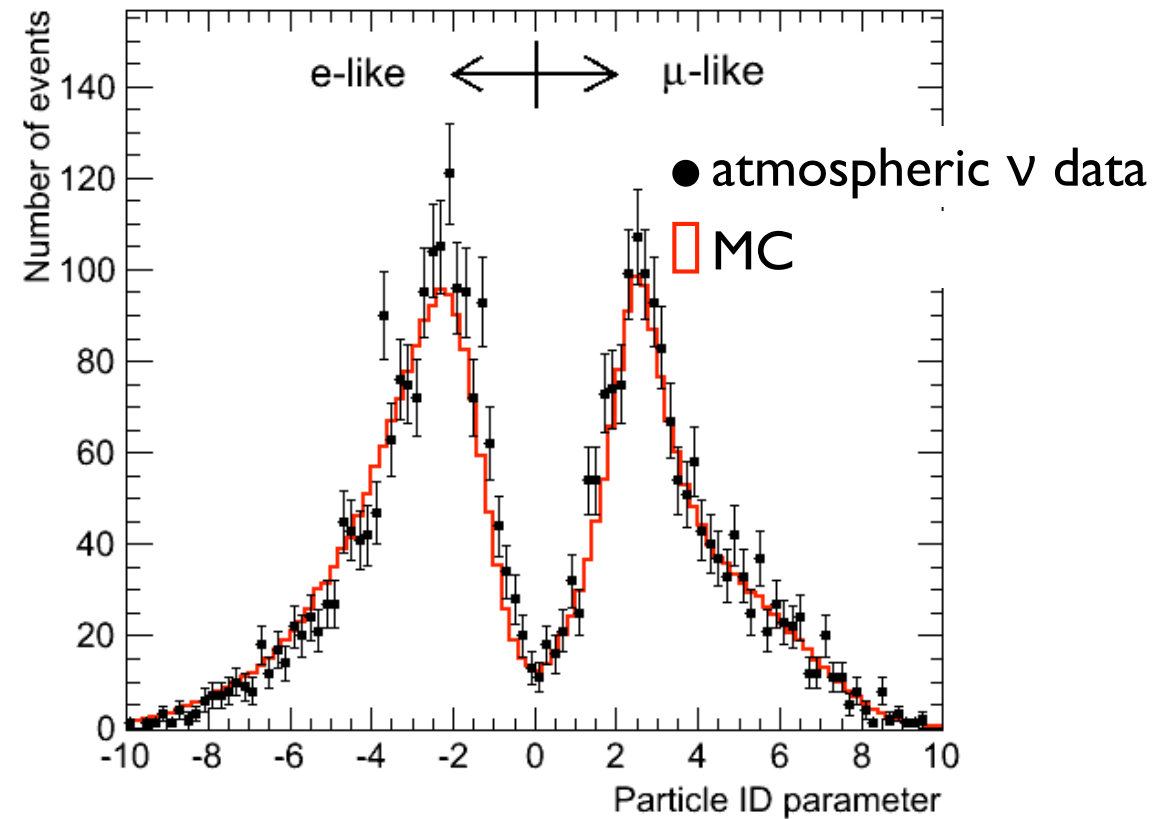


11,129 x 20inch PMTs (inner detector, ID)

# Electron-like and muon-like event at SK

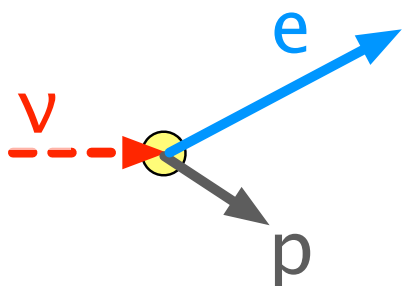


Particle identification using  
ring shape & opening angle



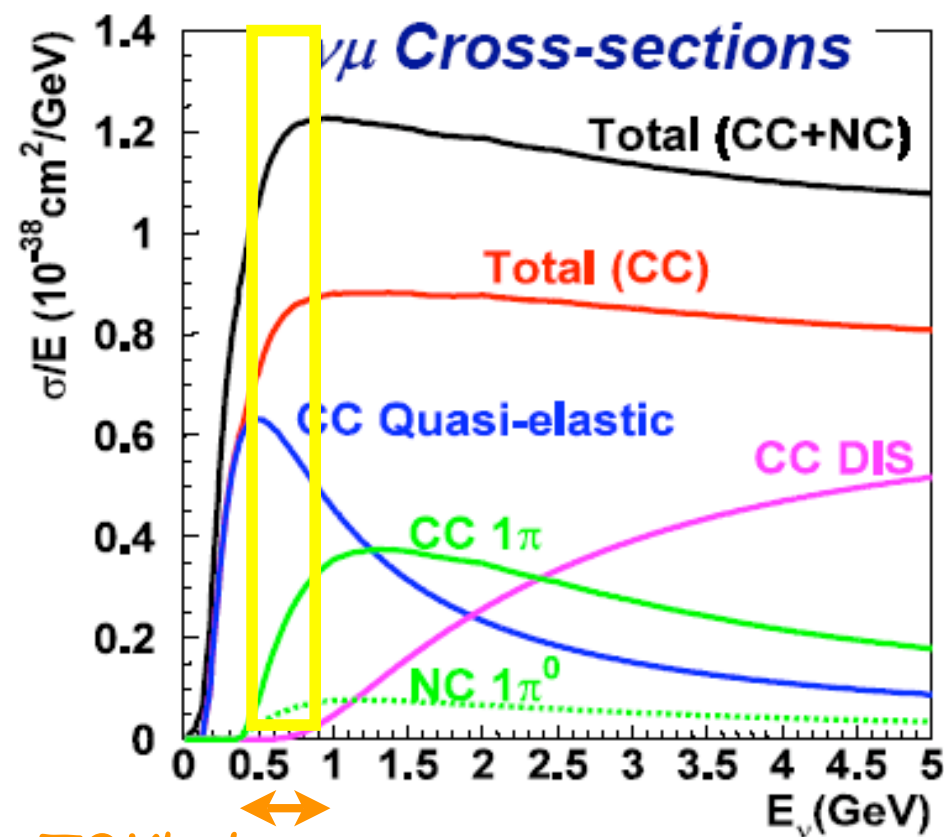
*Probability that  $\mu$  is mis-identified  
as electron is  $\sim 1\%$*

# Charged Current Quasi-elastic (CCQE) interactions dominate at sub GeV



CCQE:  $\nu_{e(\mu)} + n \rightarrow e(\mu) + p$   
(T2K signal)

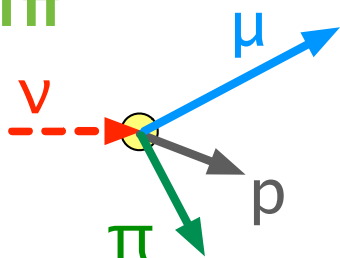
$\nu$  interactions at high energy cause background events in T2K  
(e.g. NC1 $\pi^0$  is one of  $\nu_e$  background)



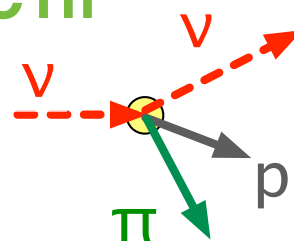
↔ T2K's beam energy

→ need to reduce high energy  $\nu$

CC1 $\pi$

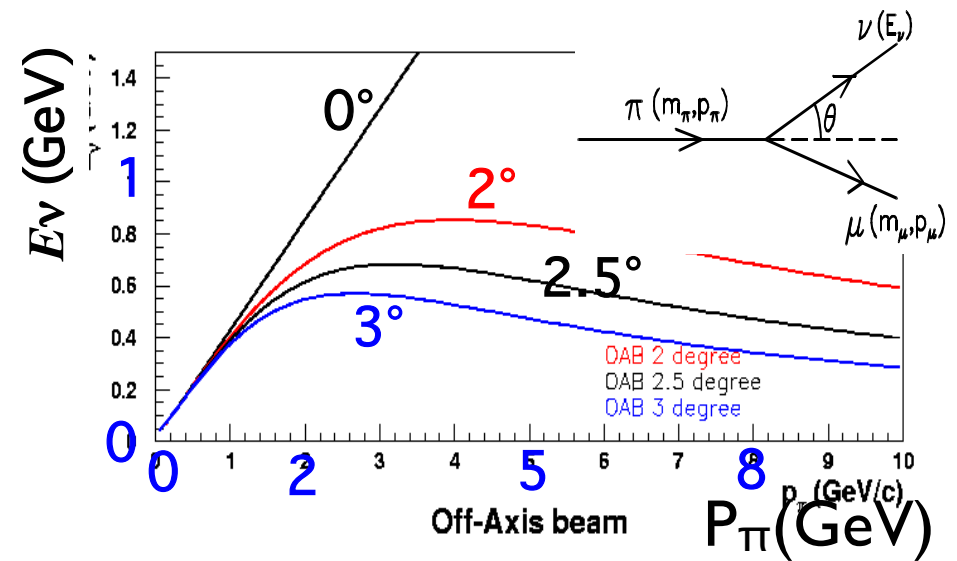
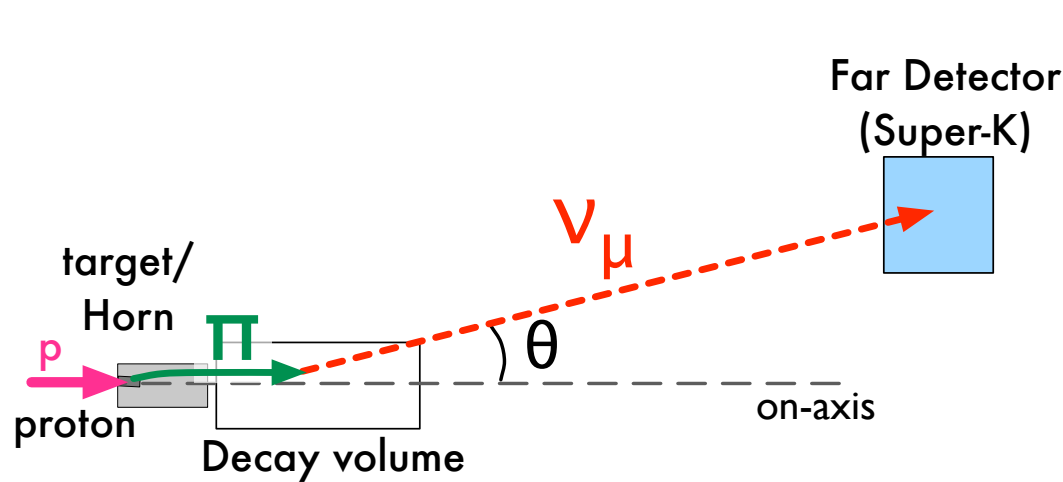


NC1 $\pi$





# Off-axis beam : intense & narrow-band beam



Beam energy at oscillation max.

$E_\nu \sim 0.6$  GeV (based on  $\Delta m^2_{23}$  &  $L=295$ km)

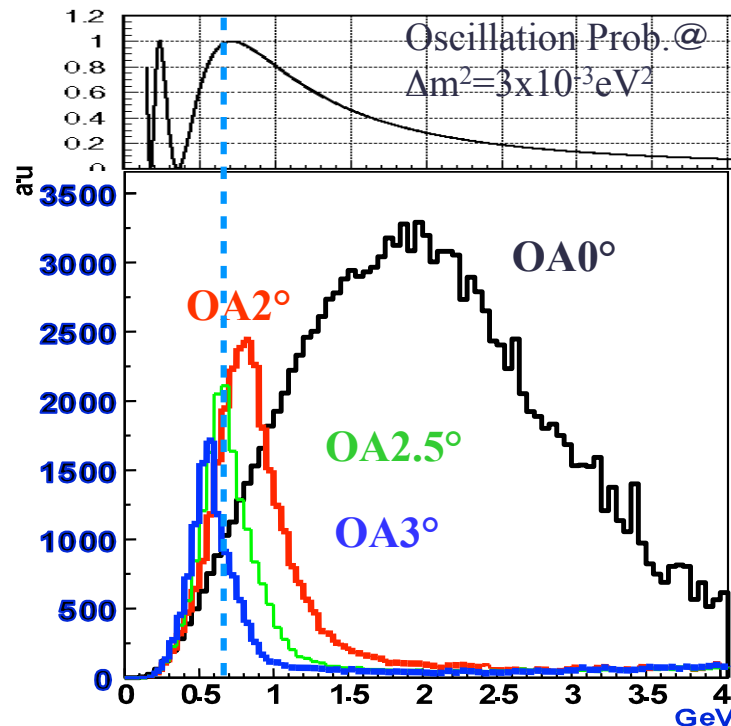
→ T2K off-axis angle is  $2.5^\circ$

(maximize physics sensitivity)

Small  $\nu_e$  component (0.5% @ peak)

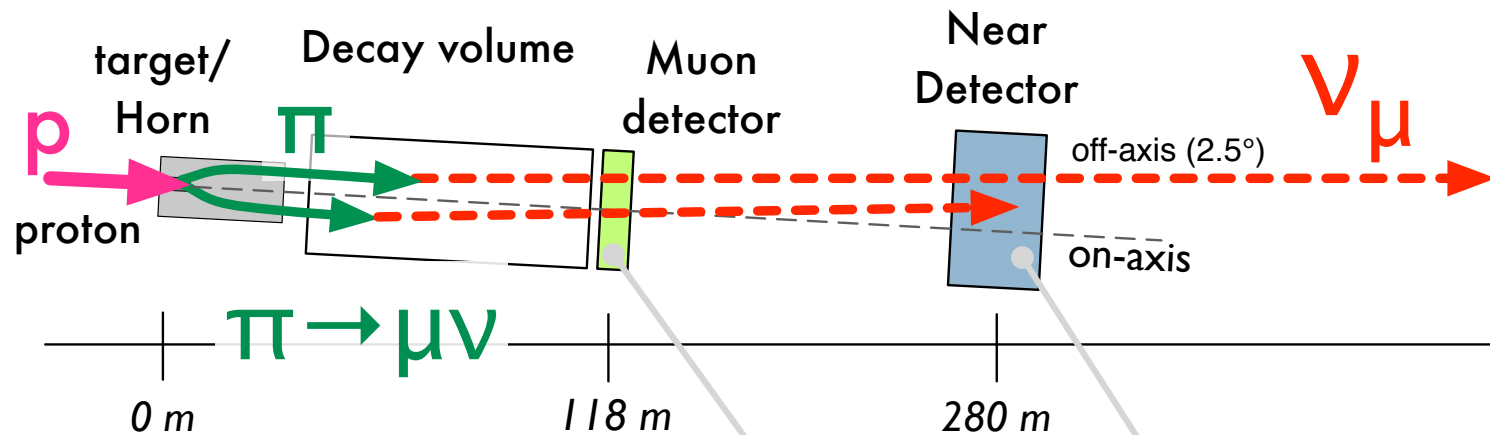
Small high energy tail

→ small background



Accurate and stable beam pointing is important  
(Keep the peak energy stable)

# Monitor beam direction and intensity

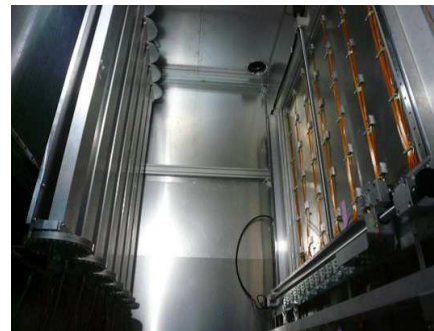


- **Muon monitor**

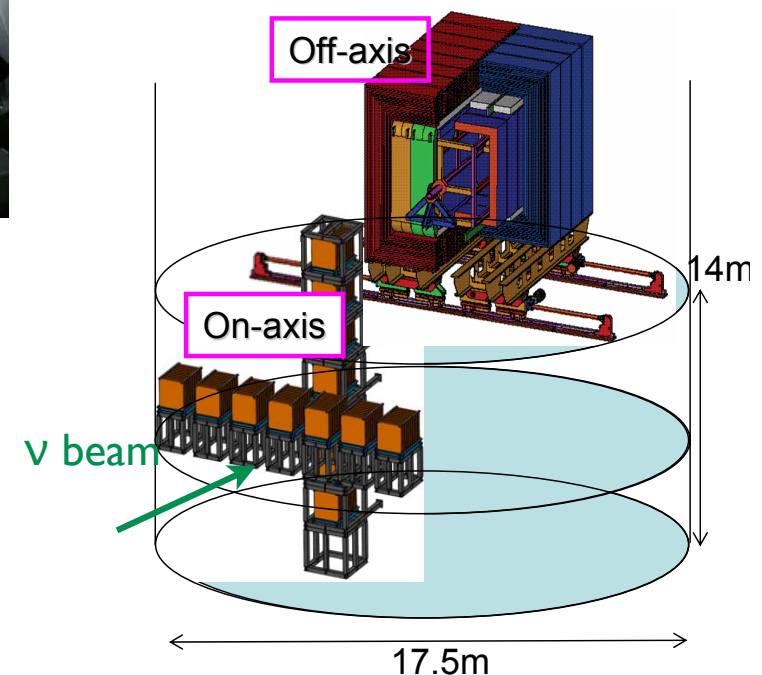
- monitor spill-by-spill

- **On-axis INGRID**

- monitor actual  $\nu$  beam day-by-day
- detector coverage is 10m x 10m



## Near Detectors



*Stability of beam direction should be  $< 1$  mrad  
(to keep the peak energy at SK stable  $\delta E < 2\%$ )*

The diagram illustrates the J-PARC muon beamline setup. A 30 GeV proton beam (pink arrow) enters from the bottom right, passing through a Target (Graphite,  $\Phi 26 \times 900$  mm long, Helium cooling) and a 30 GeV MR. The beam then travels through a series of Super-Conducting Magnets (blue cylinders) and Beam monitors (intensity, position profile) to a Decay Volume. The Decay Volume is a long, narrow structure (110m length) where the beam decays into  $\mu^+$  and  $\mu^-$  particles. The  $\mu^+$  particles (orange arrow) travel towards the Beam Dump (280m from target), while the  $\mu^-$  particles (red arrow) travel towards the Muon Monitor (Si array + IC array). The Muon Monitor is a large structure (3 Horns w/ 250kA) that monitors the beam. The diagram also shows a scale bar (0 to 100 m) and a North arrow.

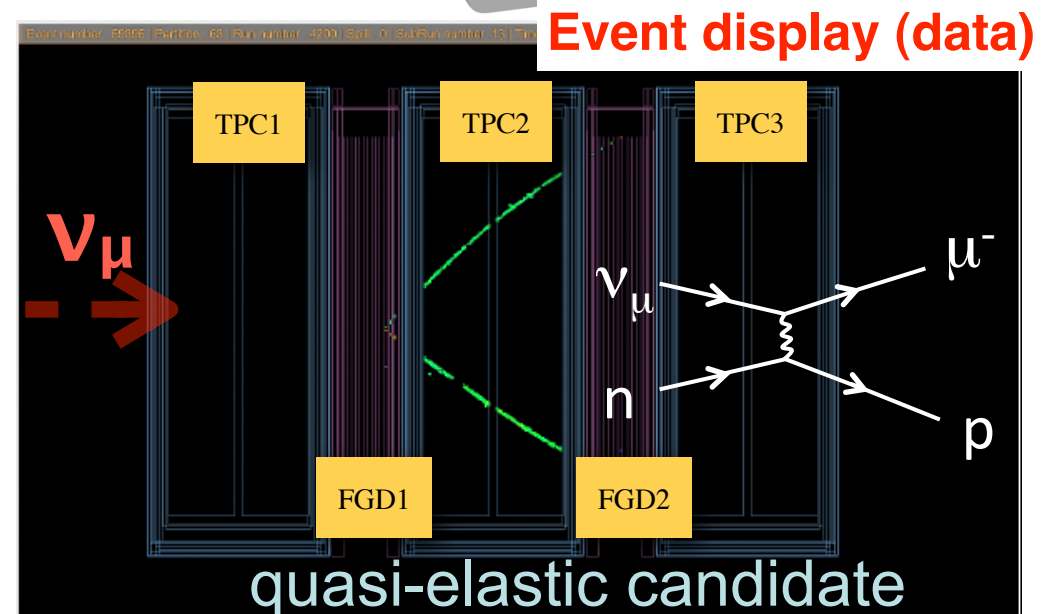
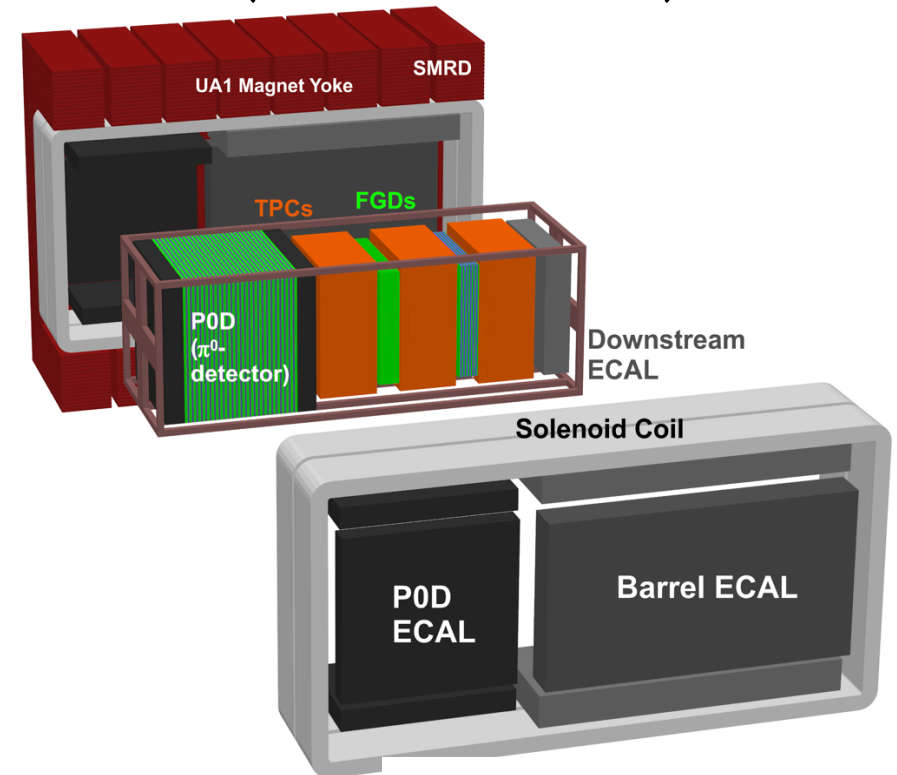
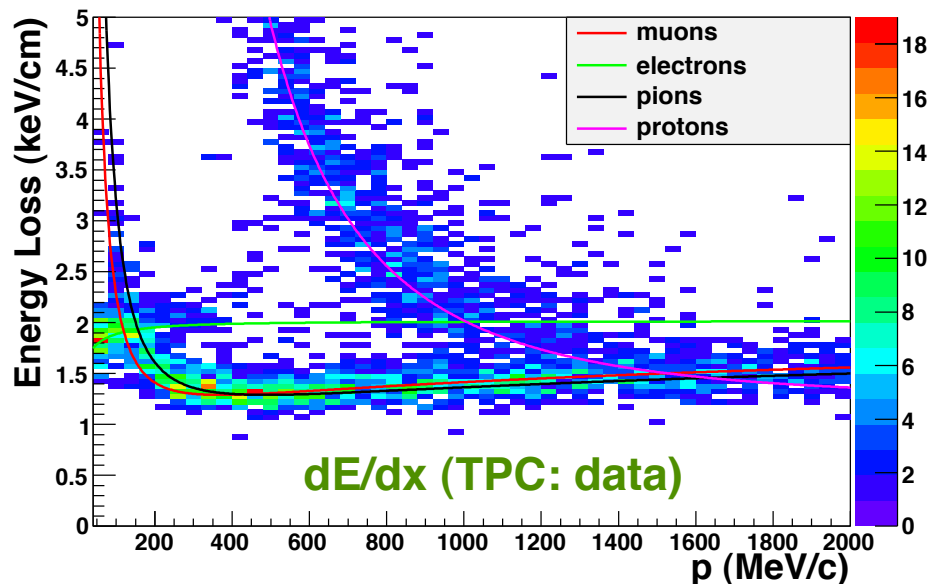
*proton beam*



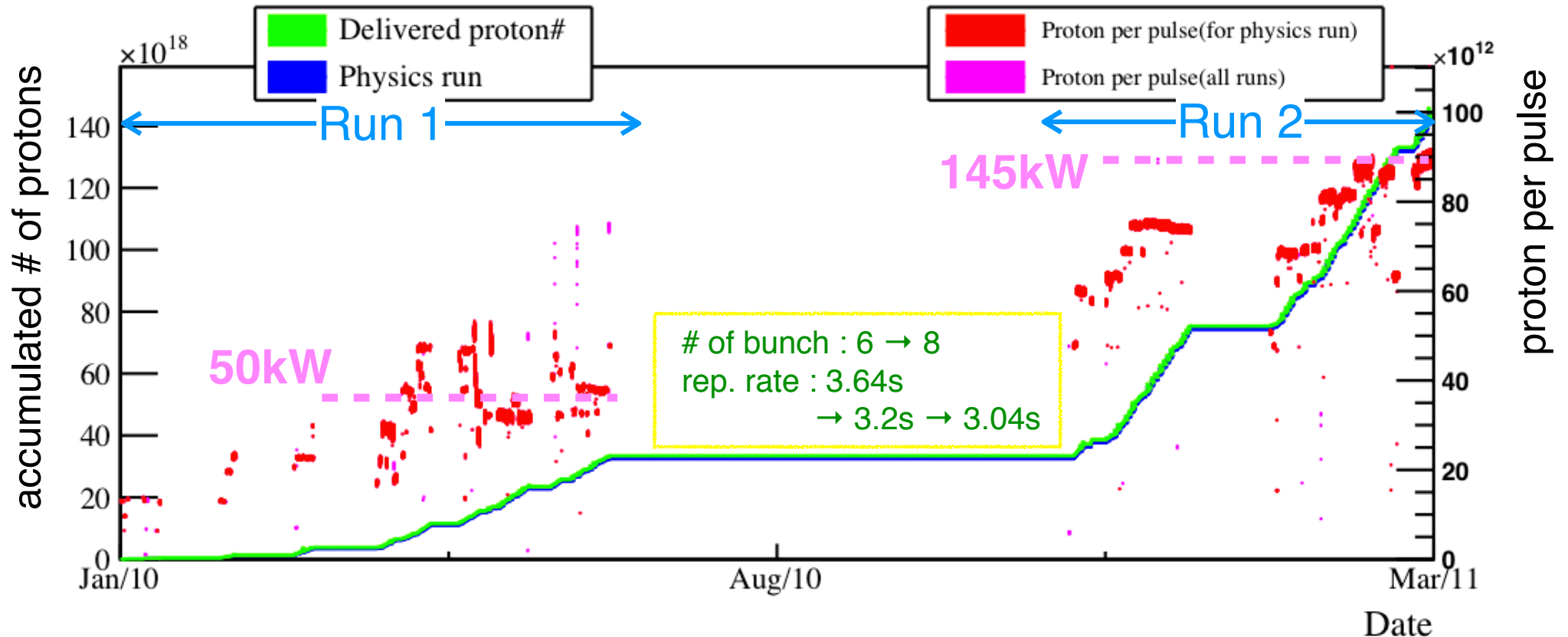
# Off-axis Near Detector (ND280)

## $\nu_\mu$ CC events rate measurement in present analysis

- 0.2 T UA1 magnet
- Fine Grained Detector (FGD)
  - scintillator bars target (water target in FGD2)
  - 1.6ton fiducial mass for analysis
- Time Projection Chambers (TPC)
  - better than 10% dE/dx resolution
  - 10% momentum resolution at 1GeV/c



# Total # of protons used for analysis



## Run 1 (Jan. '10 - June '10)

- $3.23 \times 10^{19}$  p.o.t. for analysis
- 50kW stable beam operation

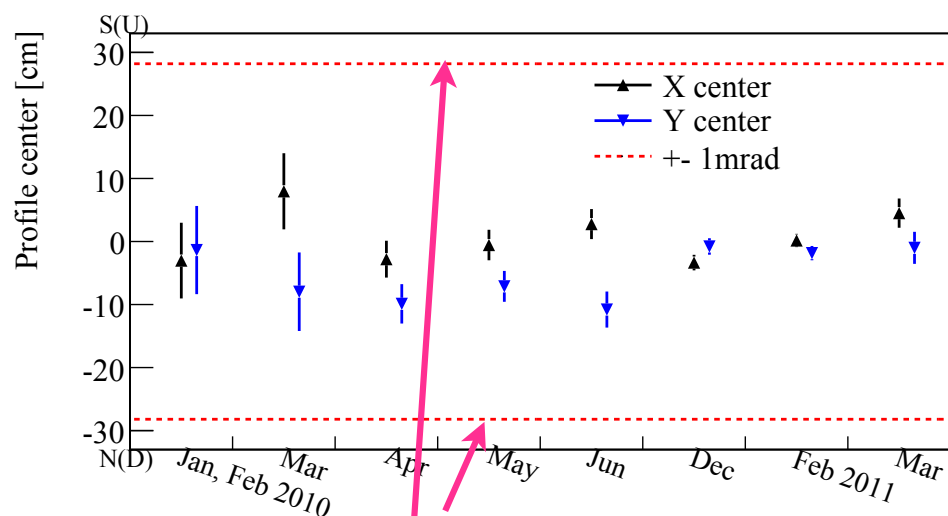
## Run 2 (Nov. '10 - Mar. '11)

- $11.08 \times 10^{19}$  p.o.t. for analysis
- ~145kW beam operation

Total # of protons used for this analysis is  $1.43 \times 10^{20}$  pot  
2% of T2K's final goal and x 5 exposure of the previous report

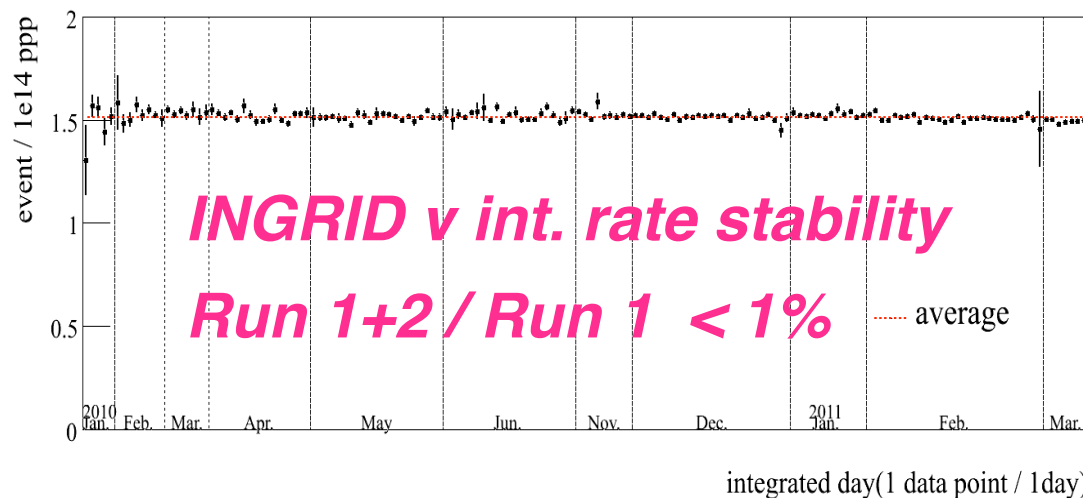
# $\nu$ beam stability

## Stability of $\nu$ beam direction (INGRID)



*$\nu$  beam dir. stability  $< 1$  mrad*

## Stability of $\nu$ interaction rate normalized by # of protons (INGRID)

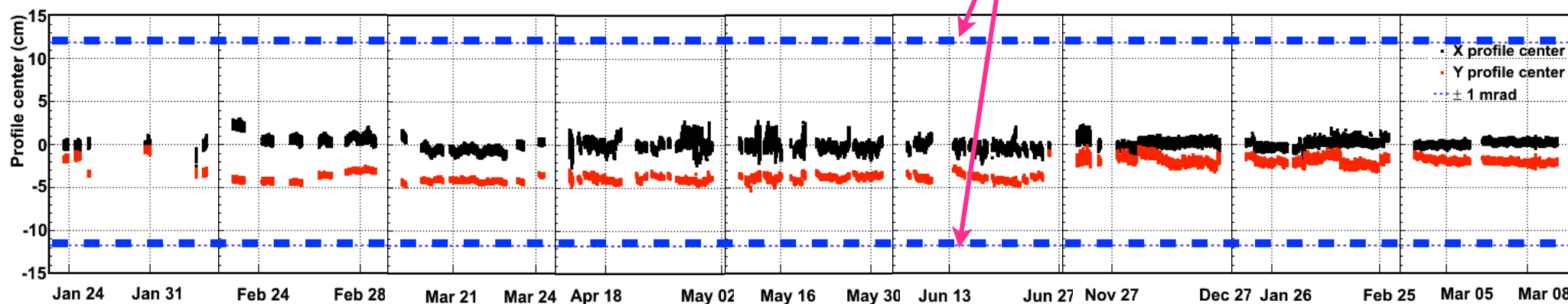


*INGRID  $\nu$  int. rate stability*

*Run 1+2 / Run 1  $< 1\%$*

average

## Stability of beam direction (Muon monitor)



*Beam dir. stability  $< 1$  mrad*

Search for  $\nu_e$  appearance



# Analysis overview

1. Apply  $\nu_e$  selection criteria to the events at far detector (SK)
2. Compare # of observed events and # of expected events  
→ search for  $\nu_e$  appearance

## Contents in this section

- ✿  $\nu_e$  selection criteria
- ✿ The expected number of events at Far detector  
using *Hadron (pion) production measurement* &  
*ND  $\nu$  event rate measurement*
- ✿ Systematic uncertainty
- ✿ Observation at Far detector & Results

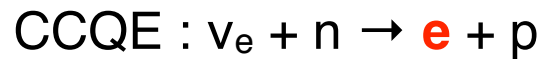
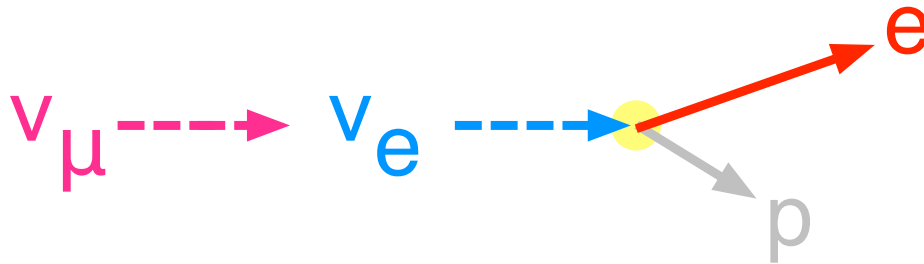
## ✿ $\nu_e$ selection criteria

- ✿ The expected number of events at Far detector
- ✿ Systematic uncertainty
- ✿ Observation at Far detector & Results

# T2K Signal & Background for $\nu_e$ appearance

- Signal = **single electron event**

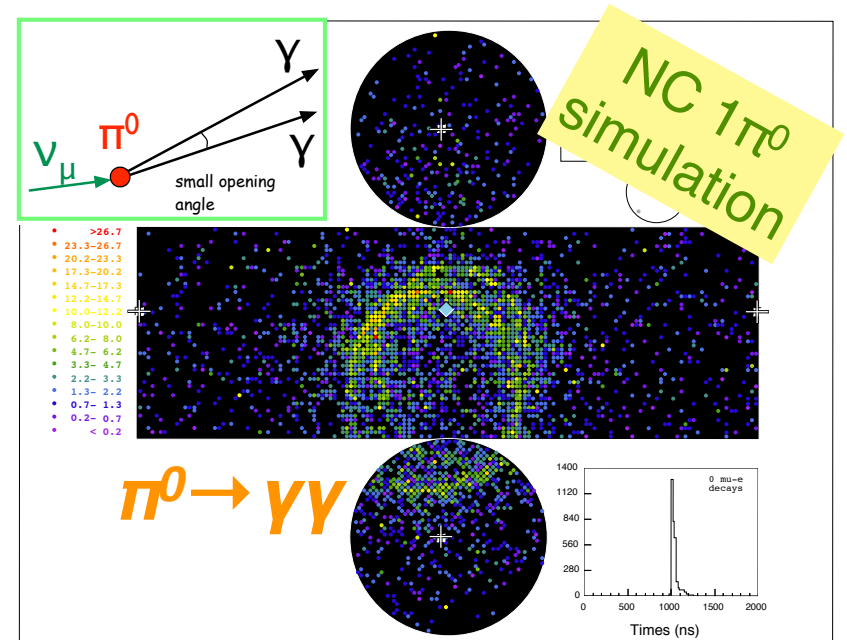
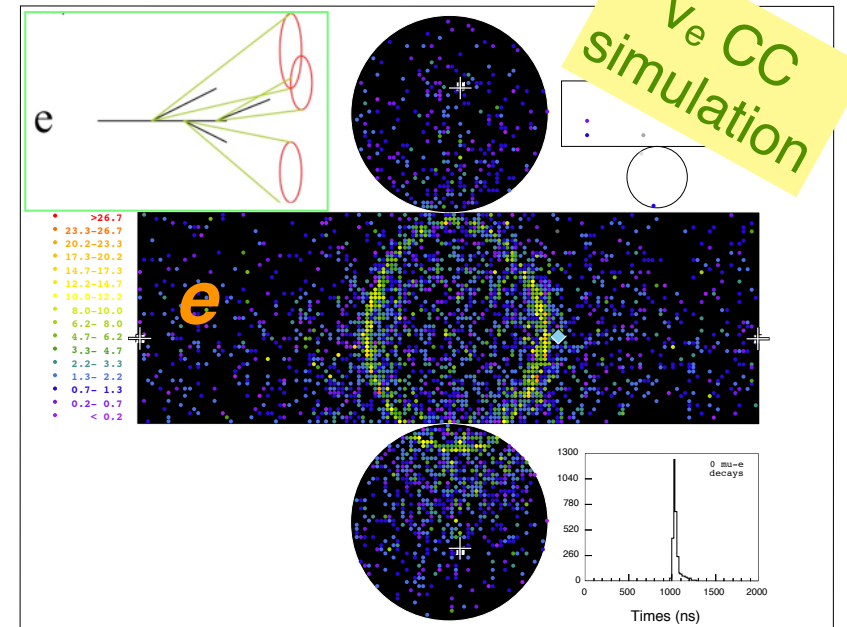
– oscillated  $\nu_e$  interaction :



(dominant process at T2K beam energy)

- Background

- intrinsic  $\nu_e$  in the beam (from  $\mu$ , K decays)
- $\pi^0$  from NC interaction



# $\nu_e$ selection at far detector (SK)

*The selection criteria were optimized for initial running condition*

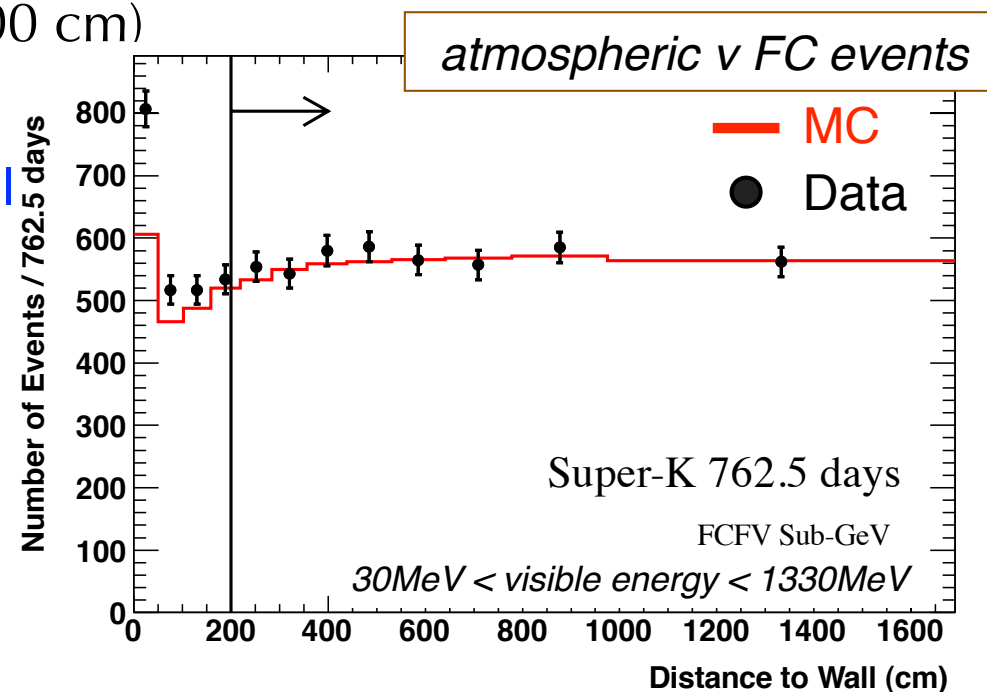
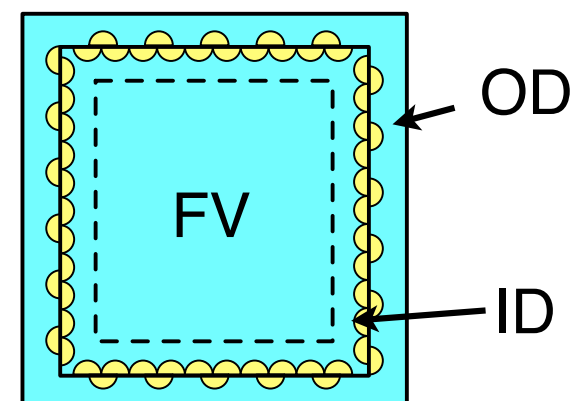
The selection criteria were fixed before data taking started to avoid bias

## 7 selection cuts

1. T2K beam timing & Fully contained (FC)  
(synchronized the beam timing, no activities in the OD)
2. In fiducial volume (FV)  
(distance btw recon. vertex and wall > 200 cm)

- \* Avoid degraded reconstruction of vertex and Cherenkov rings for events too close to the wall
- \* Reject events which originated outside the ID
- \* Define FV 22.5kton

3. Single electron  
(# of ring is one & e-like)

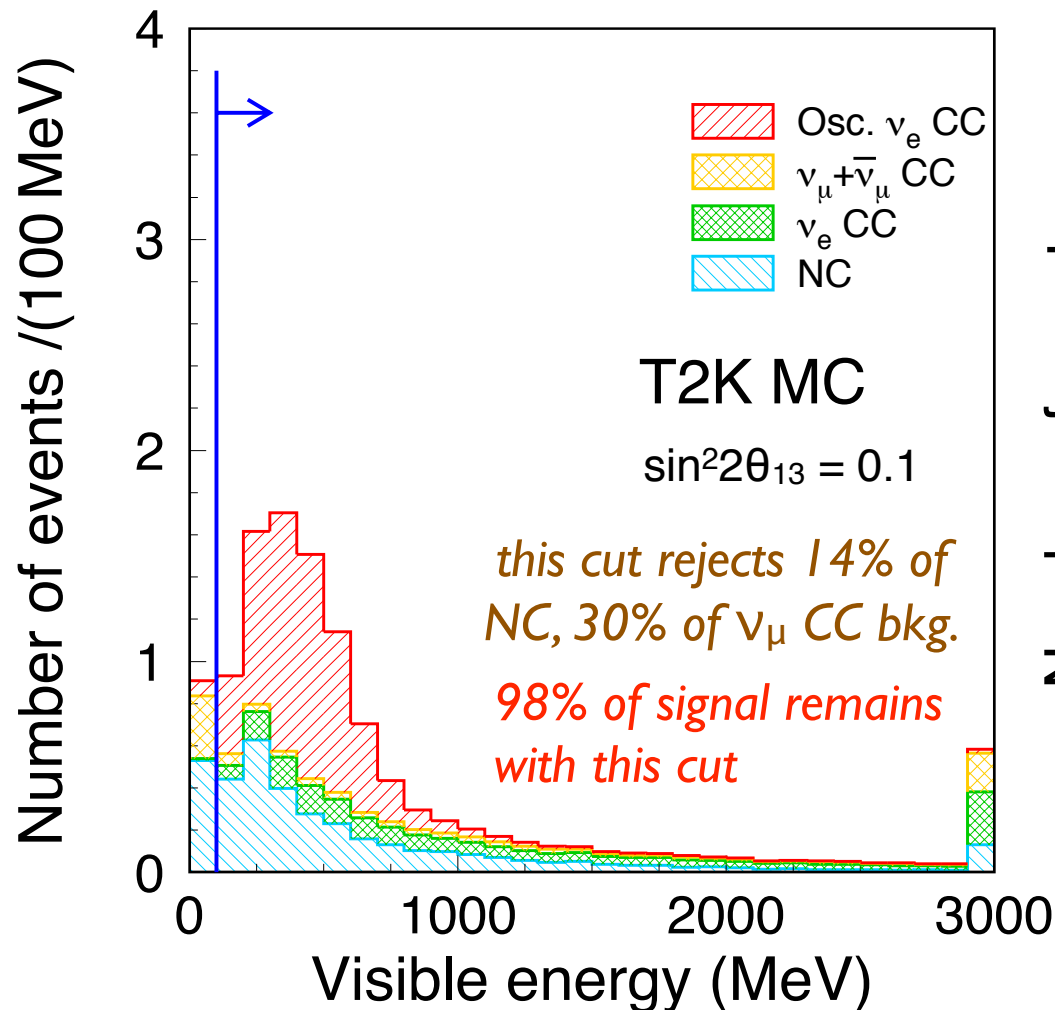
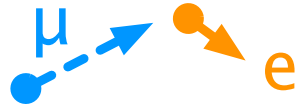




#### 4. Visible energy $> 100$ MeV

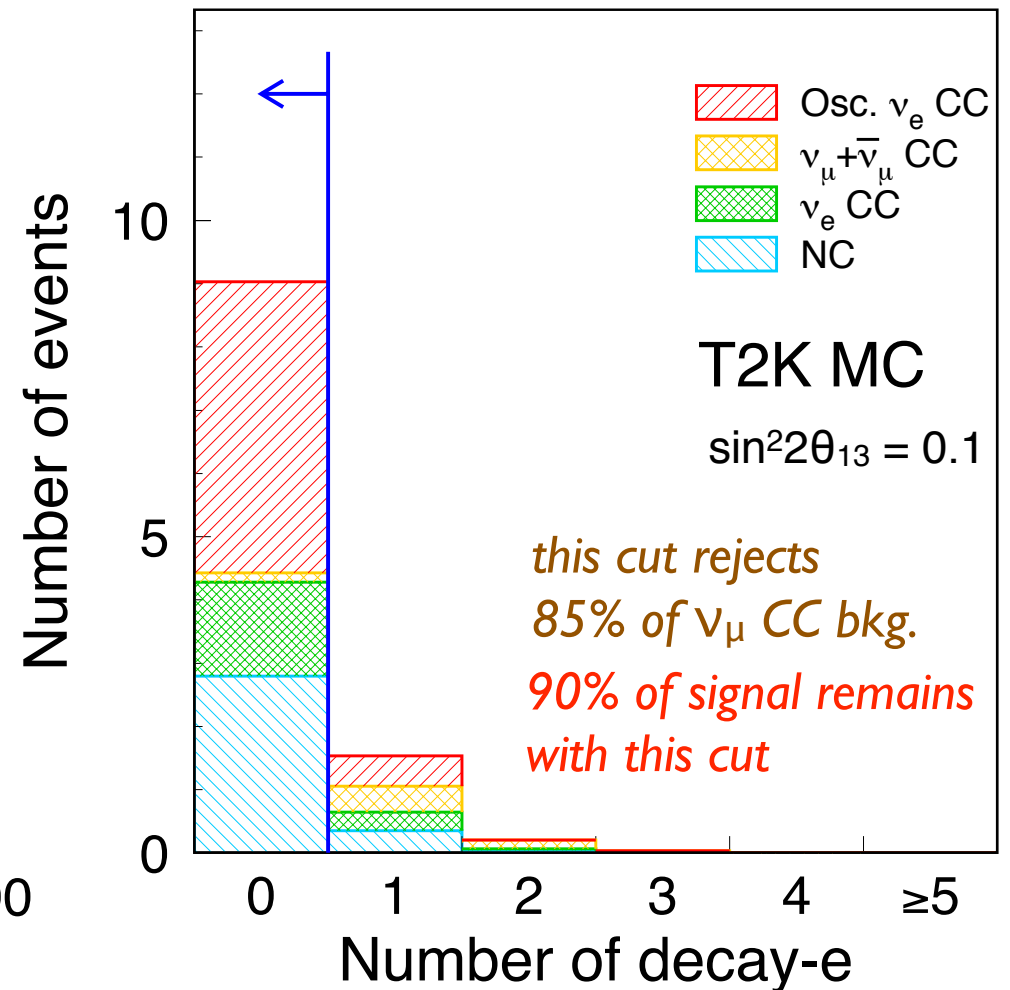
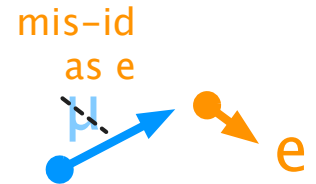
(visible energy = electron-equivalent energy deposited in ID)

- \* Reject low energy events, such as NC background and decay electrons from invisible muon decays



#### 5. No decay electron observed (no delayed electron signal)

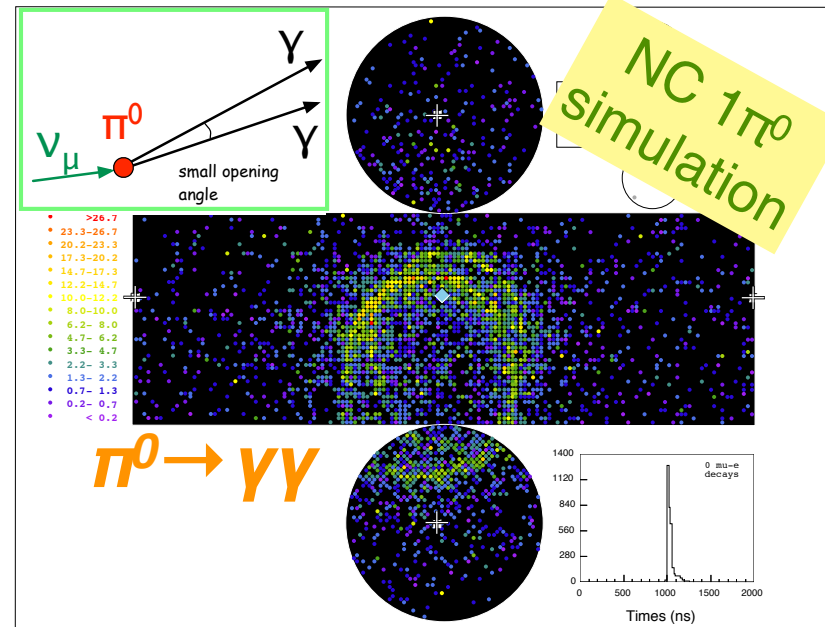
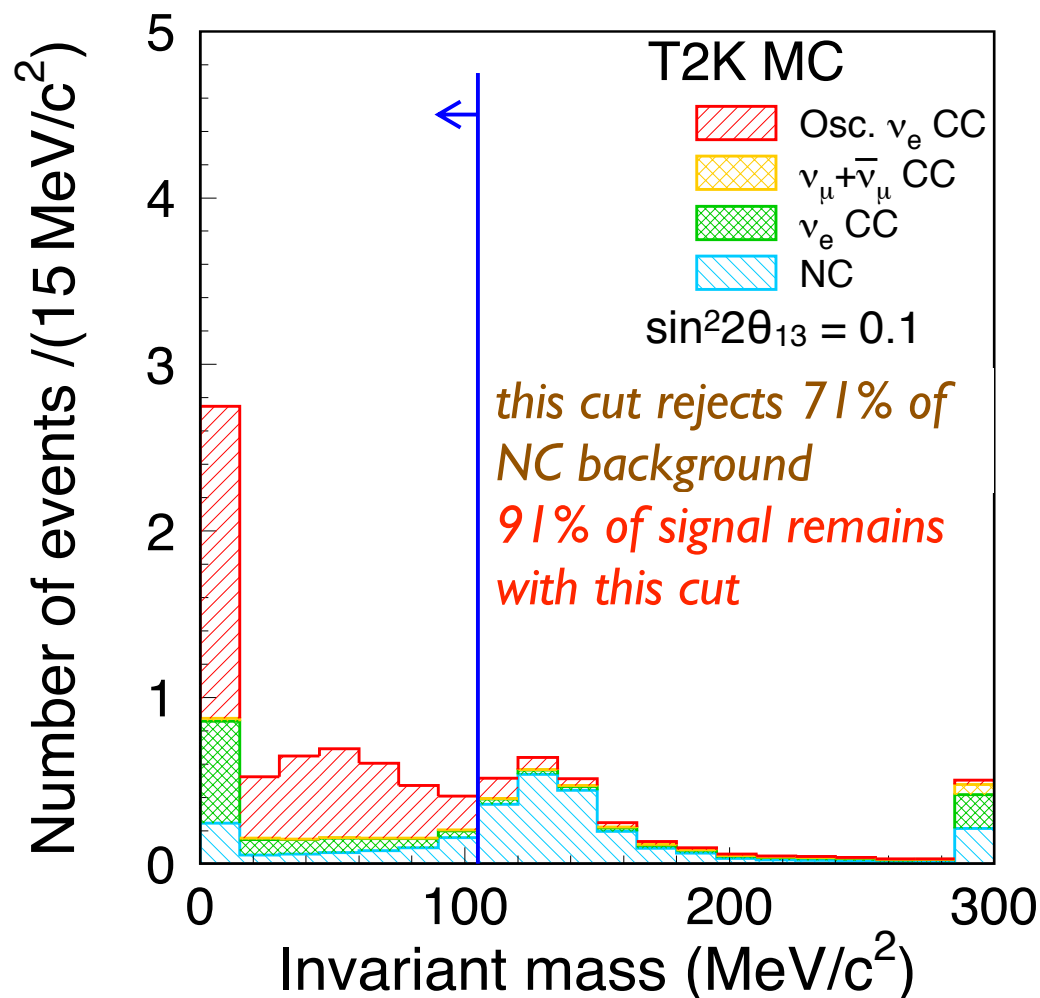
- \* Reject events with muons or pions which are invisible or mis-identified as *electron* ( $\nu_\mu$  events or CC non-QE events)



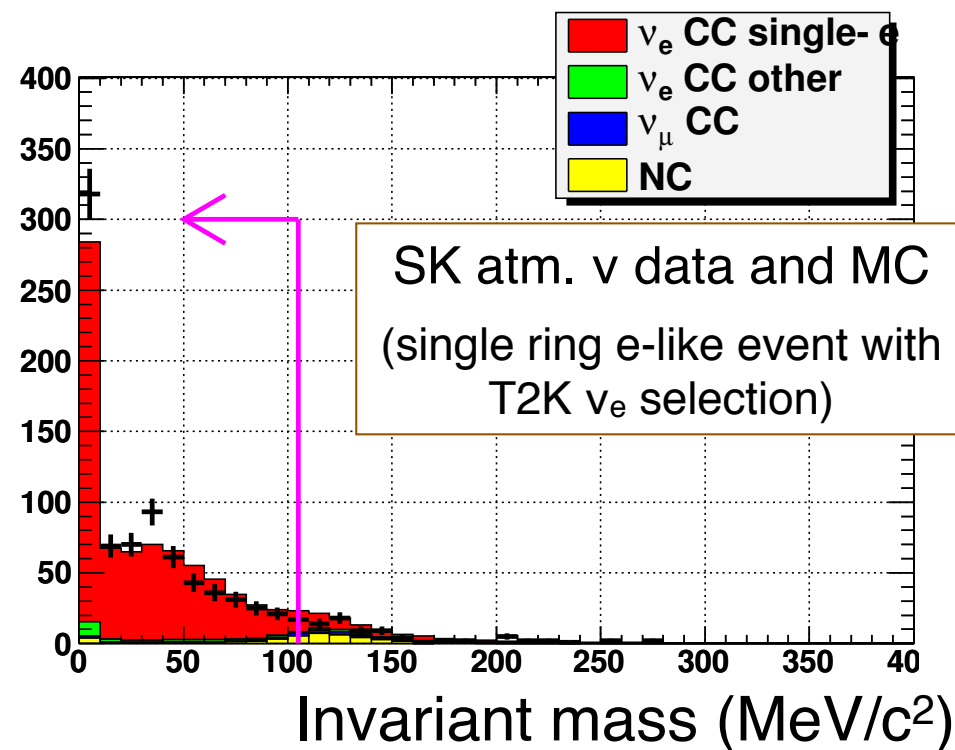
## 6. Reconstructed invariant mass ( $M_{\text{inv}}$ ) $< 105 \text{ MeV}/c^2$

### \* Suppress NC $\pi^0$ background

*Forced to find 2nd ring by using expected light pattern under the 2 e-like rings assumption, and then reconstruct invariant mass of these 2 e-like rings*

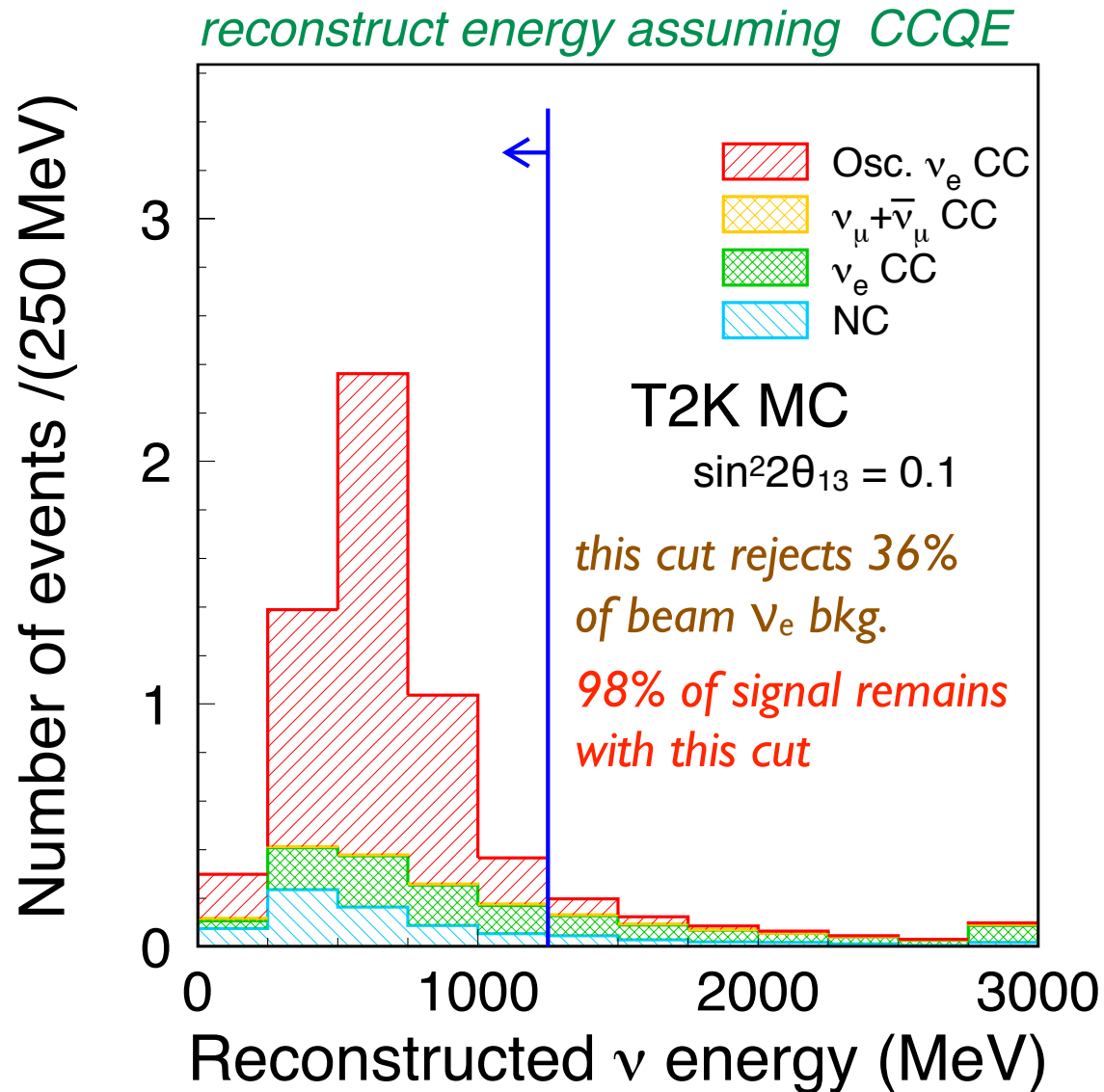
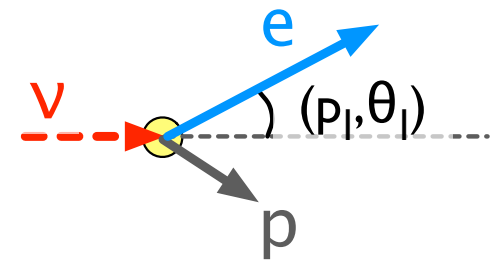


demonstrate to reconstruct invariant mass using atmospheric  $\nu$  data



7. Reconstructed energy ( $E_{\text{rec}} < 1250 \text{ MeV}$ )

- \* Reject intrinsic beam  $\nu_e$  backgrounds at high energy
- \* Signal ( $\nu_\mu \rightarrow \nu_e$ ) has a sharp peak at  $E_\nu \sim 600 \text{ MeV}$



$$E_{\text{rec}} = \frac{m_n E_l - m_l^2/2 - (m_n^2 - m_p^2)/2}{m_n - E_l + p_l \cos \theta_l}$$

(with additional correction for nuclear potential)

After all the selection criteria  
background rejection :

>99% for  $\nu_\mu$  CC,  
77 % for beam  $\nu_e$  CC,  
99 % for NC

$\nu_\mu \rightarrow \nu_e$  CC signal eff. : 66 %

✿  $\nu_e$  selection criteria

✿ **The expected number of events at Far detector**

✿ Systematic uncertainty

✿ Observation at Far detector & Results



# Expected # of events at Far detector

*The number of signal and background events are derived by the # of observed  $\nu_\mu$  event rate at near detector ( $R^{\mu, Data}_{ND}$ ) and the ratio of the expected events in the near and far detectors (F/N ratio)*

$$N_{SK}^{exp} = R_{ND}^{\mu, Data} \times \frac{N_{SK}^{MC}}{R_{ND}^{\mu, MC}}$$

ND  $\nu_\mu$  event rate  
measurement

F/N ratio is estimated by  
using MC which is based on  
measurements

# Expected # of events at Far detector

$$N_{SK}^{exp} = R_{ND}^{\mu, Data} \times \frac{N_{SK}^{MC}}{R_{ND}^{\mu, MC}}$$

## ND $\nu_\mu$ event rate

Measurement of the number of inclusive  $\nu_\mu$  charged-current events in ND per p.o.t. using data collected in Run 1 ( $2.88 \times 10^{19}$  p.o.t.)

Stability of the beam event rate is confirmed by INGRID measurement

**INGRID  $\nu$  int. rate stability Run 1+2 / Run 1 < 1%**

## F/N ratio for $\nu_e$ signal event

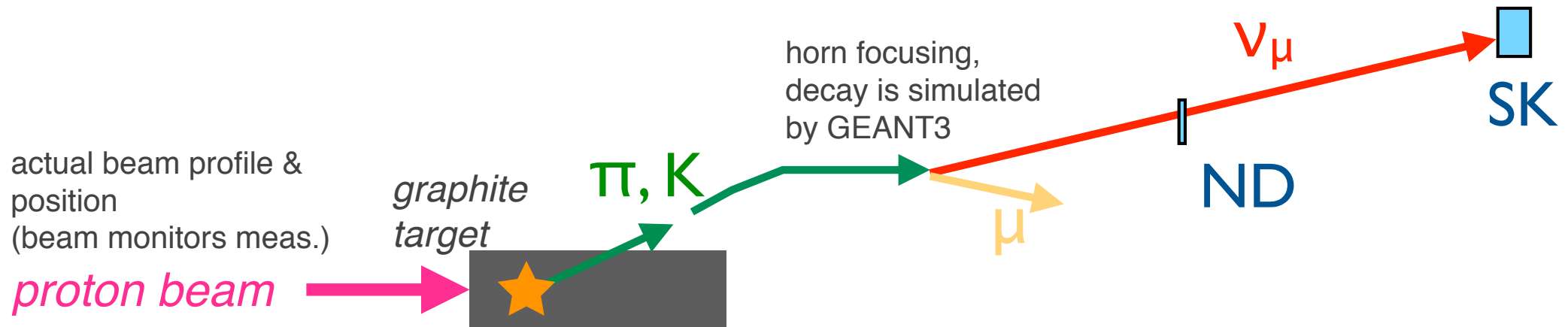
(flux) x (osc. prob.) x (x-section) x (efficiency) x (det. mass)

$$\frac{N_{SK}^{MC} \nu_e sig.}{R_{ND}^{\mu, MC}} = \frac{\int \Phi_{\nu_\mu}^{SK}(E_\nu) \cdot P_{\nu_\mu \rightarrow \nu_e}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{SK}(E_\nu) dE_\nu}{\int \Phi_{\nu_\mu}^{ND}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{ND}(E_\nu) dE_\nu} \cdot \frac{M^{SK}}{M^{ND}} \cdot POT^{SK}$$

# Neutrino flux prediction

T2K Neutrino beam simulation based on Hadron production measurements

$$\frac{\int \Phi_{\nu_{\mu}}^{\text{SK}}(E_{\nu}) \cdot P_{\nu_{\mu} \rightarrow \nu_e}(E_{\nu}) \cdot \sigma(E_{\nu}) \cdot \epsilon_{\text{SK}}(E_{\nu}) dE_{\nu}}{\int \Phi_{\nu_{\mu}}^{\text{ND}}(E_{\nu}) \cdot \sigma(E_{\nu}) \cdot \epsilon_{\text{ND}}(E_{\nu}) dE_{\nu}}$$



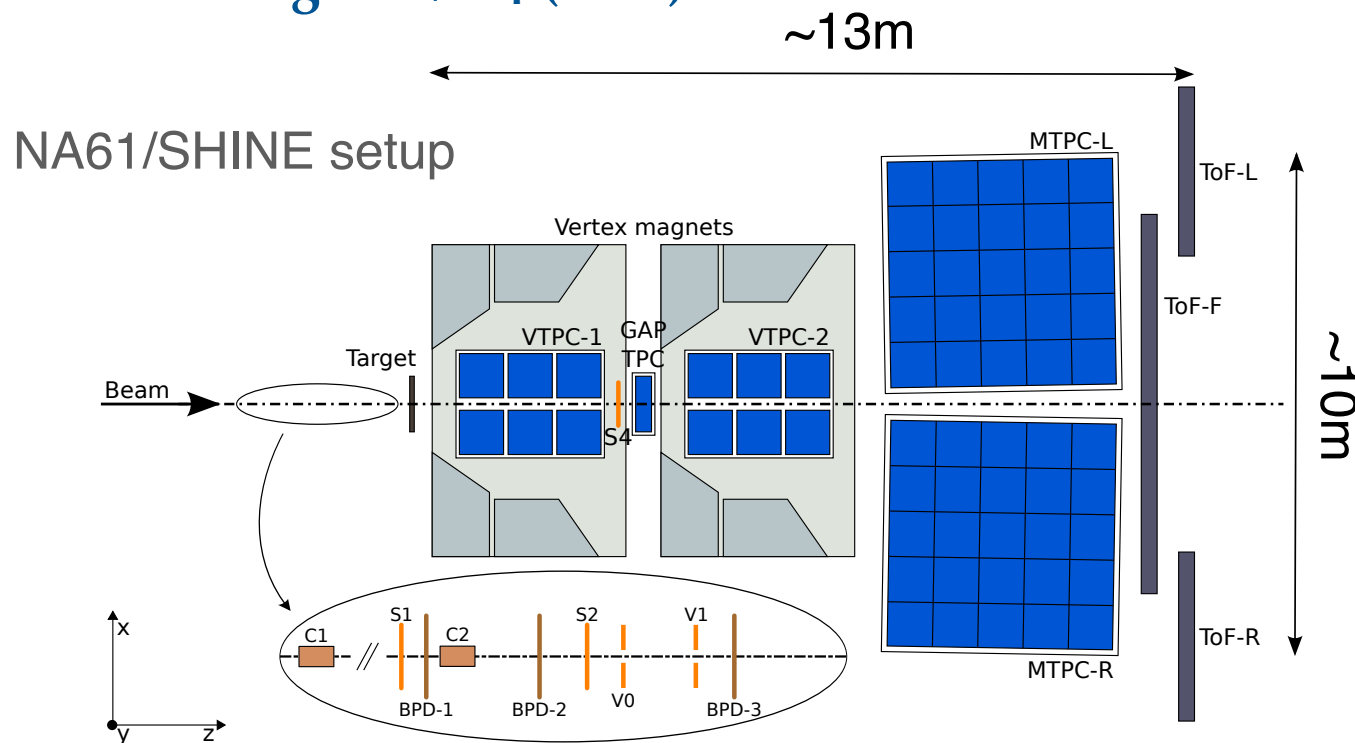
## Hadron production in 30GeV proton + C

- **Use CERN NA61/SHINE pion measurement (large acceptance: >95% coverage of  $\nu$  parent pions)**
- *Kaon, pion outside NA61 acceptance, other interaction in the target were based on FLUKA simulation*
- *Secondary interaction x-sections outside the target were based on experimental data*

# CERN NA61/SHINE measurement

Measure hadron( $\pi$ , K) yield distribution in  
30 GeV p + C inelastic interaction

- thin target  $4\% \lambda_I$  (2cm)



*Large acceptance spectrometer + TOF*

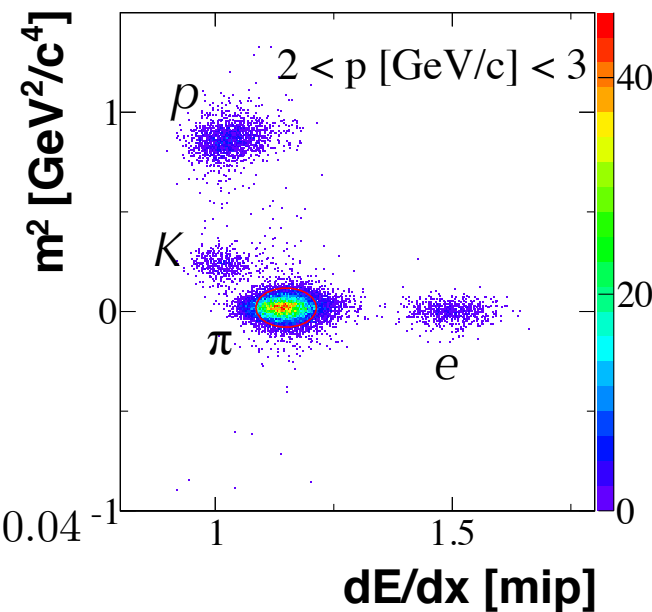
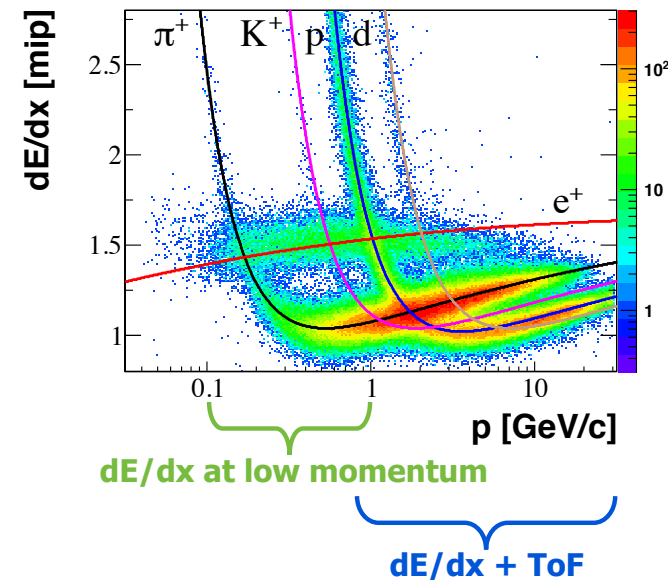
*detector performance*

$$\sigma(p)/p^2 \approx 2 \times 10^{-3}, 7 \times 10^{-3}, 3 \times 10^{-2} (\text{GeV}/c)^{-1} \quad \text{for } p > 5, p = 2, p = 1 \text{ GeV}/c$$

$$\sigma(dE/dx)/\langle dE/dx \rangle \approx 0.04$$

$$\sigma(\text{TOF-F}) \approx 115 \text{ ps}$$

*$\pi^+$  production: Two analysis for different momentum region*

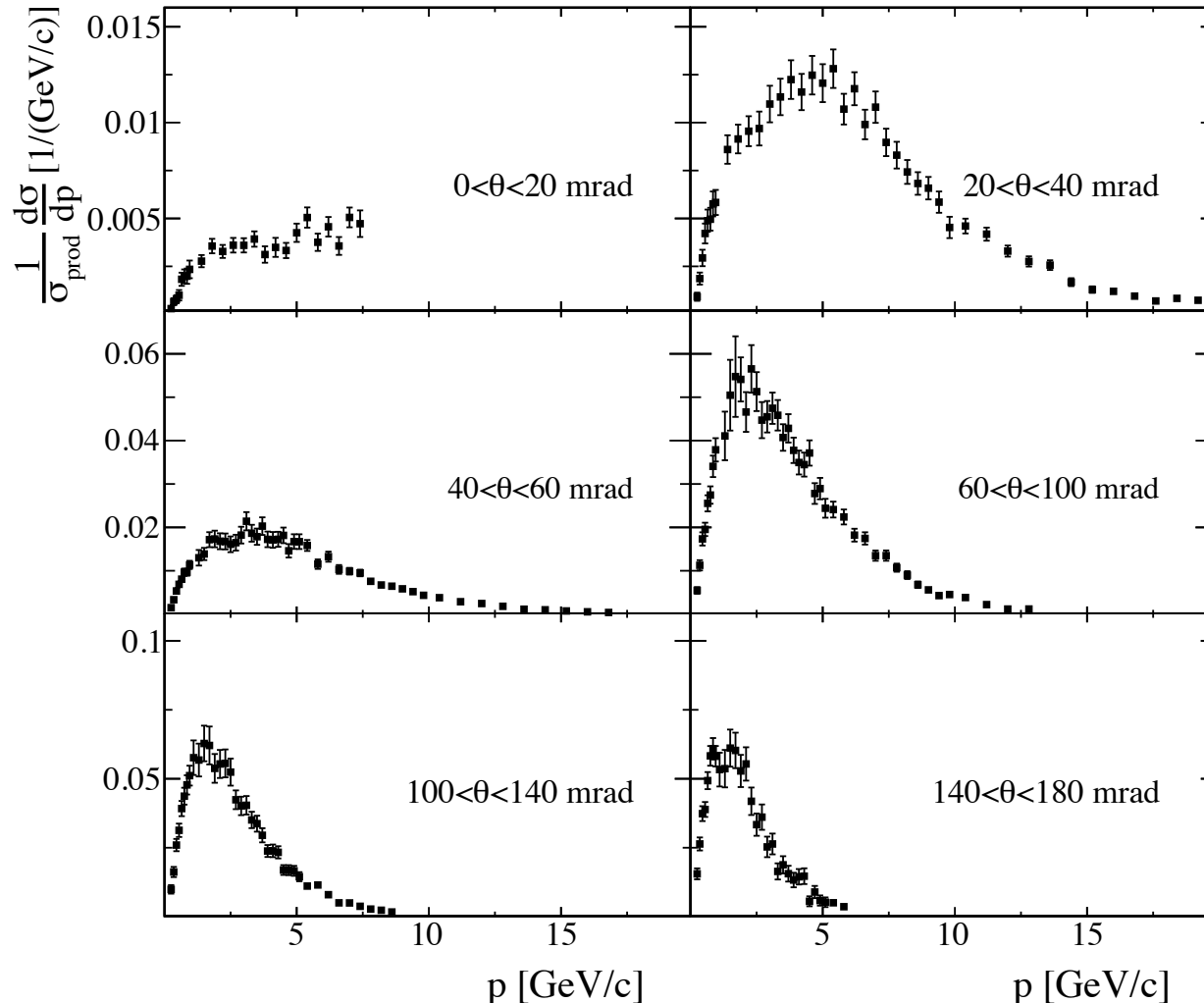


# Results of pion production from thin target (2007 data)

N.Abgrall et al., arXiv:1102.0983 [hep-ex]  
submitted to Phys.Rev.C (2011)

## Differential cross section for $\pi^+$ production in 30GeV p+C

Error bars = stat. + syst. in quadrature



Systematic uncertainty was  
evaluated in each  $(p, \theta)$  bin  
typically 5-10%

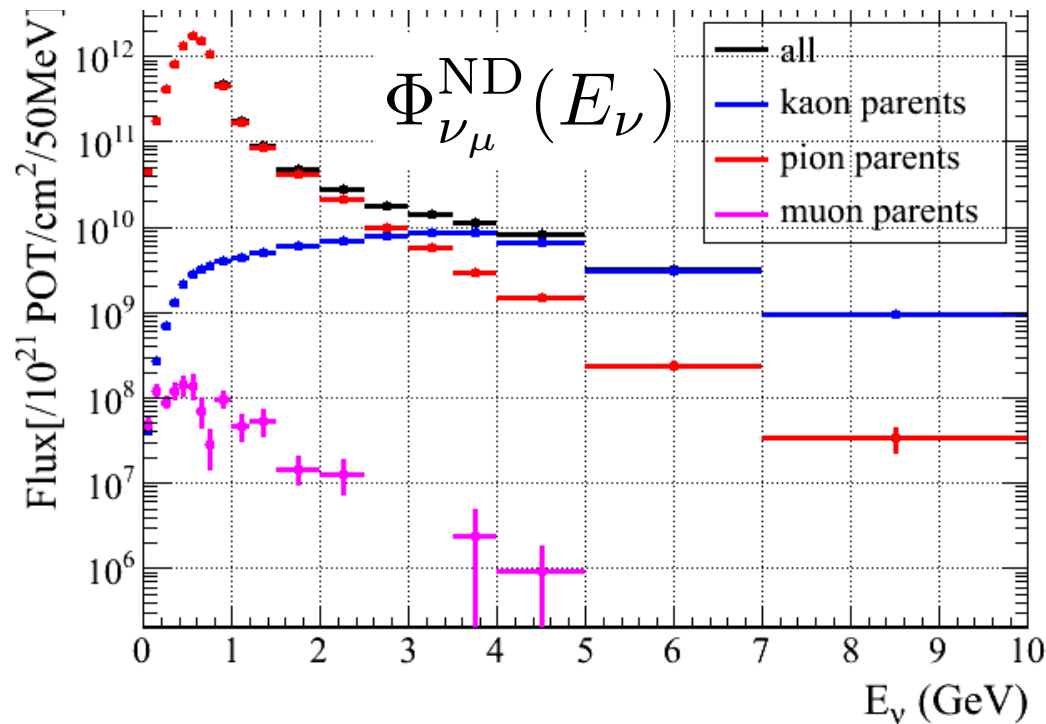
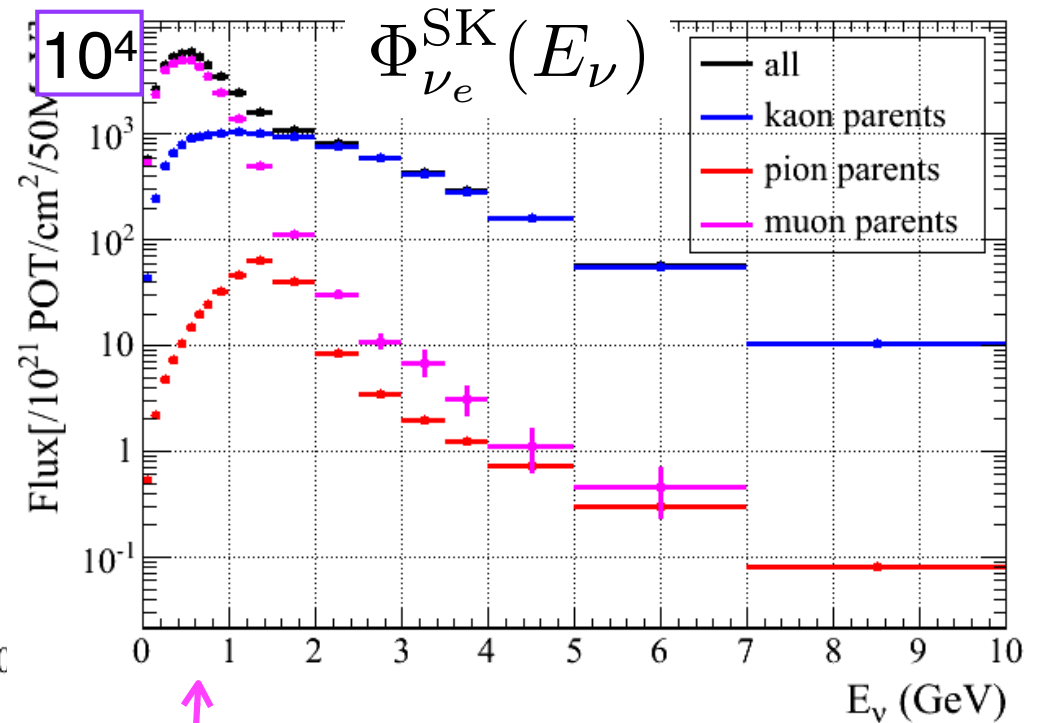
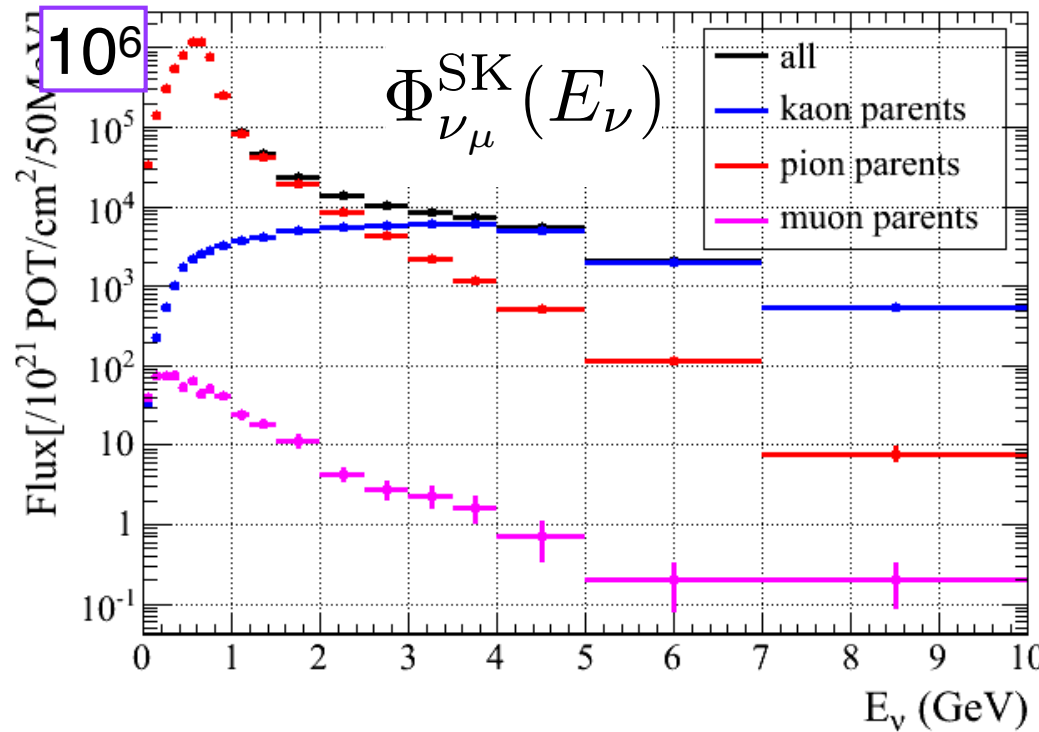
The normalization  
uncertainty is 2.3% on the  
overall  $(p, \theta)$

→ Propagate the systematic  
uncertainty in each  $(p, \theta)$  bin  
into the expected number of  
events in T2K

→ Input to T2K neutrino beam simulation



# Predicted neutrino flux (center value)



$\mu$  decay is dominated at  $E_\nu < 1250 \text{ MeV}$

$$\pi^+ \rightarrow \mu^+ \nu_\mu$$

$$\mu^+ \rightarrow e^+ \bar{\nu}_\mu \nu_e$$

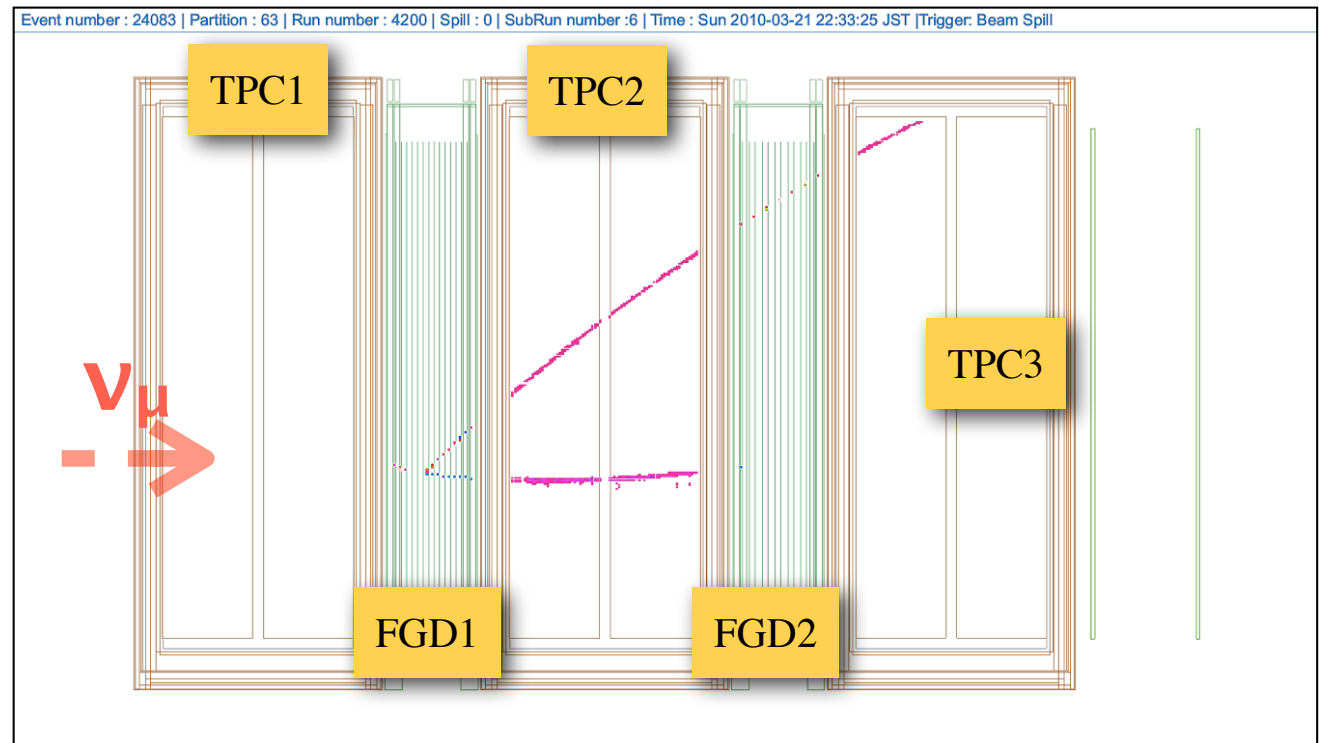
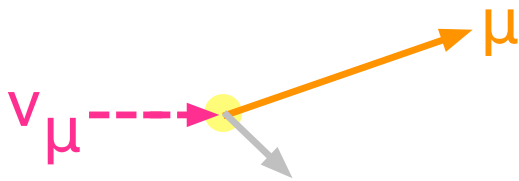
NA61 pion measurement predicts the beam  $\nu_e$  from  $\pi \rightarrow \mu \rightarrow e$  decay chain

# $\nu_\mu$ interaction rates at near detector

- Measure # of inclusive  $\nu_\mu$  charged current interaction ( $N^{\text{Data}}_{\text{ND}}$ )

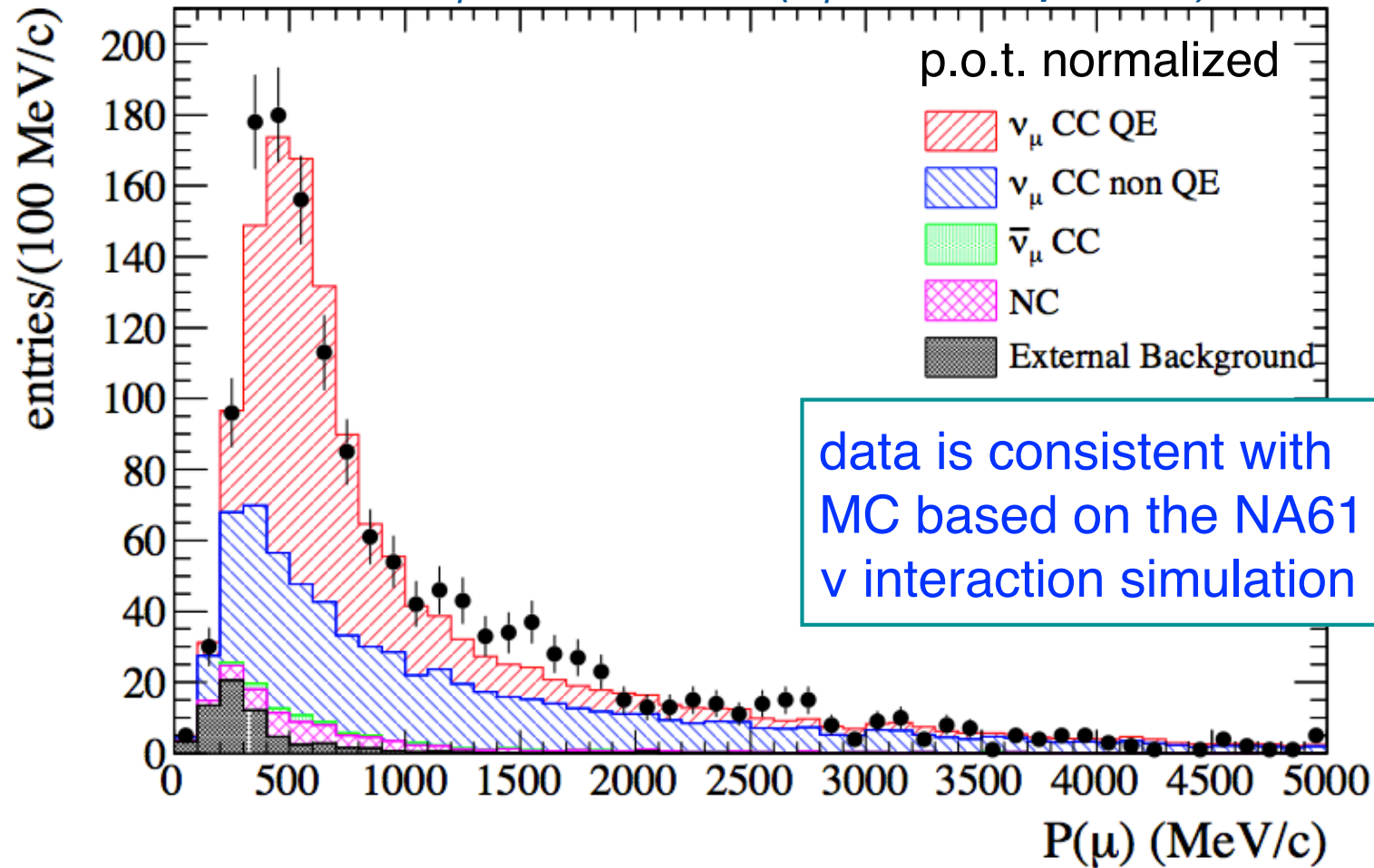
## Event display (data)

Select events  
which have FGD hits and  
 $\mu$ -like tracks reconstructed  
in single TPC



*High purity : 90%  $\nu_\mu$  Charged Current int. (50% CCQE)*

# ND Measurement of muon momentum in inclusive $\nu_\mu$ CC events ( $\nu_\mu + N \rightarrow \mu^+ + X$ )



## Results

$$R_{ND}^{\mu, Data} = 1529 \text{ events} / 2.9 \times 10^{19} \text{ p.o.t.}$$

$$\frac{R_{ND}^{\mu, Data}}{R_{ND}^{\mu, MC}} = 1.036 \pm 0.028(\text{stat.})_{-0.037}^{+0.044}(\text{det. syst.}) \pm 0.038(\text{phys. syst.})$$

# Intrinsic Beam $\nu_e$ background at Far detector

- The number of beam  $\nu_e$  background events at far detector is predicted using the  $\nu$  beam simulation based on NA61 measurements (pion) and FLUKA (kaon)
- ND measurements ( $\mu$  momentum and event rate) are consistent with MC based on the  $\nu$  beam simulation

$$N_{SK \text{ beam } \nu_e \text{ bkg.}}^{exp} = R_{ND}^{\mu, Data} \times \frac{N_{SK \text{ beam } \nu_e \text{ bkg.}}^{MC}}{R_{ND}^{\mu, MC}}$$

$$\frac{N_{SK \text{ beam } \nu_e \text{ bkg.}}^{MC}}{R_{ND}^{\mu, MC}} = \frac{\int \Phi_{\nu_e}^{SK}(E_\nu) \cdot P_{\nu_e \rightarrow \nu_e}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{SK}(E_\nu) dE_\nu}{\int \Phi_{\nu_\mu}^{ND}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{ND}(E_\nu) dE_\nu} \cdot \frac{M^{SK}}{M^{ND}} \cdot \text{POT}^{SK}$$

# The expected number of events for $\sin^2 2\theta_{13}=0$

*The expected number of events with  $1.43 \times 10^{20}$  p.o.t.*

$$N^{\text{exp}}_{\text{SK tot.}} = 1.5 \text{ events}$$

	beam $\nu_\mu$ CC	beam $\nu_e$ CC	NC	Oscillated $\nu_\mu \rightarrow \nu_e$ (solar term)	Total
<i>The expected # of events at SK</i>	<b>0.03</b>	<b>0.8</b>	<b>0.6</b>	<b>0.1</b>	<b>1.5</b>



*# of NC background is calculated by*

$$N^{\text{exp}}_{\text{SK NC bkg.}} = R^{\mu, \text{Data}}_{\text{ND}} \times \frac{N^{\text{MC}}_{\text{SK NC bkg.}}}{R^{\mu, \text{MC}}_{\text{ND}}}$$



✿  $\nu_e$  selection criteria

✿ The expected number of events at Far detector

✿ **Systematic uncertainty**

✿ Observation at Far detector & Results

# Systematic uncertainty on $N^{exp}_{SK}$

error source	syst. error	<i>for <math>\sin^2 2\theta_{13}=0</math></i>
○ (1) $\nu$ flux	$\pm 8.5\%$	
○ (2) $\nu$ int. cross section	$\pm 14.0\%$	
(3) Near detector	$+5.6\%$ $-5.2\%$	
○ (4) Far detector	$\pm 14.7\%$	
(5) Near det. statistics	$\pm 2.7\%$	
Total	$+22.8\%$ $-22.7\%$	➔ $N^{exp}_{SK} = 1.5 \pm 0.3$ <i>events</i>

$$N^{exp}_{SK} = R^{\mu, Data}_{ND} \times \frac{N^{MC}_{SK}}{R^{\mu, MC}_{ND}}$$

➔

$$\frac{\int \Phi^{\text{SK}}_{\nu_{\mu}(\nu_e)}(E_{\nu}) \cdot P_{osc.}(E_{\nu}) \cdot \sigma(E_{\nu}) \cdot \epsilon_{SK}(E_{\nu}) dE_{\nu}}{\int \Phi^{\text{ND}}_{\nu_{\mu}}(E_{\nu}) \cdot \sigma(E_{\nu}) \cdot \epsilon_{ND}(E_{\nu}) dE_{\nu}}$$

# Neutrino flux uncertainty

Uncertainties in hadron production and interaction are dominant sources

## Error source

- Pion production
  - NA61 systematic uncertainty in each pion's  $(p, \theta)$  bin
- Kaon production
  - Used model (FLUKA) is compared with the data (Eichten et. al.) in each kaon's  $(p, \theta)$  bin
- Secondary nucleon production
  - Used model (FLUKA) is compared with the experimental data
- Secondary interaction cross section
  - Used model (FLUKA and GCALOR) is compared with the experimental data of interaction x-section ( $\pi$ , K and nucleon)

error source

(1)  $\nu$  flux

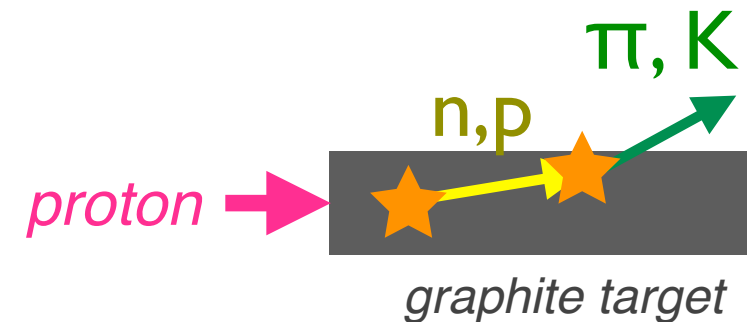
(2)  $\nu$  cross section

(3) Near detector

(4) Far detector

(5) Near det. statistics

$$\frac{\int \Phi_{\nu_{\mu}(\nu_e)}^{\text{SK}}(E_{\nu}) \cdot P_{osc.}(E_{\nu}) \cdot \sigma(E_{\nu}) \cdot \epsilon_{SK}(E_{\nu}) dE_{\nu}}{\int \Phi_{\nu_{\mu}}^{\text{ND}}(E_{\nu}) \cdot \sigma(E_{\nu}) \cdot \epsilon_{ND}(E_{\nu}) dE_{\nu}}$$



# Summary of $\nu$ flux uncertainties on $N^{\text{exp}}_{\text{SK}}$ for $\sin^2 2\theta_{13}=0$

$$N^{\text{exp}}_{\text{SK}} = R^{\mu, \text{Data}}_{\text{ND}} \times \frac{N^{\text{MC}}_{\text{SK}}}{R^{\mu, \text{MC}}_{\text{ND}}}$$

Error source	$\frac{N^{\text{MC}}_{\text{SK}}}{R^{\mu, \text{MC}}_{\text{ND}}}$	
Pion production	2.5%	
Kaon production	7.6%	<i>Hadron production &amp; interaction</i>
Nucleon production	1.4%	
Production x-section	0.7%	
Proton beam position/profile	2.2%	
Beam direction measurement	0.7%	
Target alignment	0.2%	
Horn alignment	0.1%	
Horn abs. current	0.3%	
Total	8.5%	

The uncertainty on  $N^{\text{exp}}_{\text{SK}}$  due to the beam flux syst. is 8.5%

Error cancellation works for some beam uncertainties

# $\nu$ int. cross section uncertainty

Evaluate uncertainty on F/N ratio by varying the cross section within its uncertainty

*Cross section uncertainties are estimated by Data/MC comparison, model comparison and parameter variation*

Cross section uncertainty relative to the CCQE total x-section

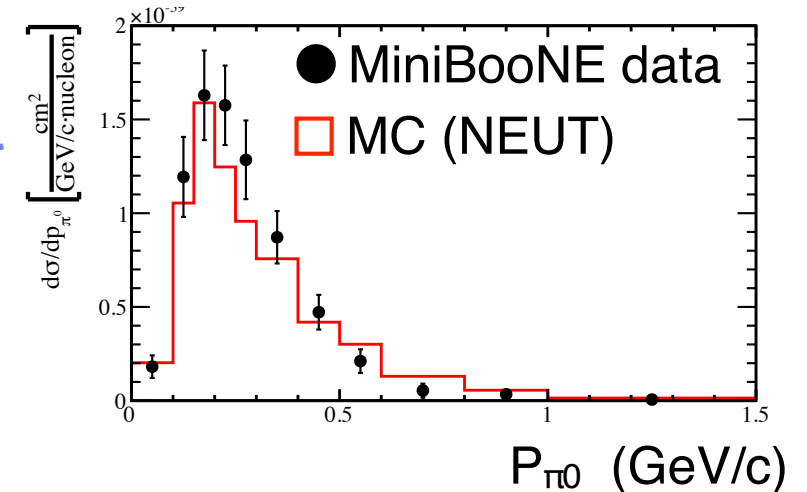
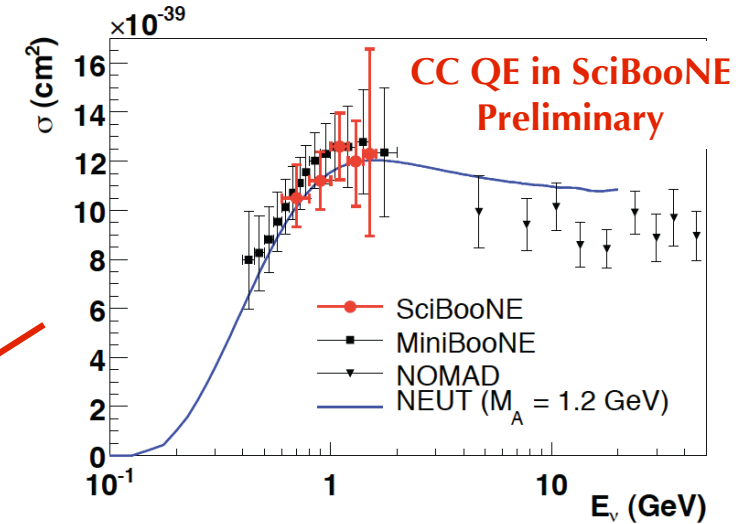
Process	Systematic error (comment)
CCQE	energy dependent ( $\sim \pm 7\%$ at 500 MeV)
CC $1\pi$	30% ( $E_\nu < 2$ GeV) – 20% ( $E_\nu > 2$ GeV)
CC coherent $\pi^0$	100% (upper limit from [30])
CC other	30% ( $E_\nu < 2$ GeV) – 25% ( $E_\nu > 2$ GeV)
NC $1\pi^0$	30% ( $E_\nu < 1$ GeV) – 20% ( $E_\nu > 1$ GeV)
NC coherent $\pi$	30%
NC other $\pi$	30%
Final State Int.	energy dependent ( $\sim \pm 10\%$ at 500 MeV)

Uncertainty of  $\sigma(\nu_e)/\sigma(\nu_\mu) = \pm 6\%$

error source

- (1)  $\nu$  flux
- (2)  $\nu$  cross section
- (3) Near detector
- (4) Far detector
- (5) Near det. statistics

$$\frac{\int \Phi_{\nu_\mu(\nu_e)}^{\text{SK}}(E_\nu) \cdot P_{\text{osc.}}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{\text{SK}}(E_\nu) dE_\nu}{\int \Phi_{\nu_\mu}^{\text{ND}}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{\text{ND}}(E_\nu) dE_\nu}$$



# $\nu$ int. cross section uncertainty on $N^{\text{exp}}_{SK}$ for $\sin^2 2\theta_{13}=0$

- error source
- (1)  $\nu$  flux
  - (2)  $\nu$  cross section
  - (3) Near detector
  - (4) Far detector
  - (5) Near det. statistics

Error source

Source	syst. error on $N^{\text{exp}}_{SK}$
CC QE shape	3.1%
CC $1\pi$	2.2%
CC Coherent $\pi$	3.1%
CC Other	4.4%
NC $1\pi^0$	5.3%
NC Coherent $\pi$	2.3%
NC Other	2.3%
$\sigma(\nu_e)$	3.4%
FSI	10.1%
Total	14.0%

*Main  $\nu$  interaction in each event*

NC background : NC  $1\pi^0$   
 Beam  $\nu_e$  background :  $\nu_e$  CCQE  
 Signal :  $\nu_e$  CCQE  
 ND CC event : CCQE(50%)  
 CC  $1\pi$ (23%)

*Uncertainty in pion's  
 final state interaction  
 is dominant*

The uncertainty on  $N^{\text{exp}}_{SK}$  due to the  $\nu$  x-section syst. is 14% ( $\sin^2 2\theta_{13}=0$ )



# Far detector uncertainty

error source

- (1)  $\nu$  flux
- (2)  $\nu$  cross section
- (3) Near detector
- (4) Far detector
- (5) Near det. statistics

- Uncertainty due to the SK detector systematic
- Evaluate using control sample

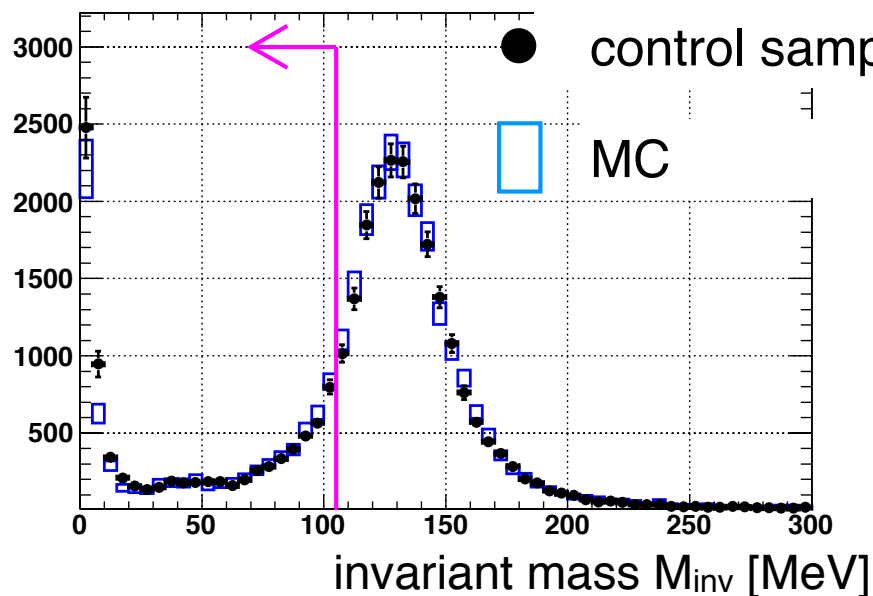
$$\frac{\int \Phi_{\nu_{\mu}(\nu_e)}^{\text{SK}}(E_{\nu}) \cdot P_{\text{osc.}}(E_{\nu}) \cdot \sigma(E_{\nu}) \cdot \epsilon_{\text{SK}}(E_{\nu}) dE_{\nu}}{\int \Phi_{\nu_{\mu}}^{\text{ND}}(E_{\nu}) \cdot \sigma(E_{\nu}) \cdot \epsilon_{\text{ND}}(E_{\nu}) dE_{\nu}}$$

One of biggest error source:

## detection efficiency of NC $1\pi^0$ background

*Topological control sample of  $\pi^0$*

*made by combining one data electron + one simulated  $\gamma$*



apply T2K  $\nu_e$  selection and compare the cut efficiency between control sample data and its MC

→ difference is assigned as sys. error

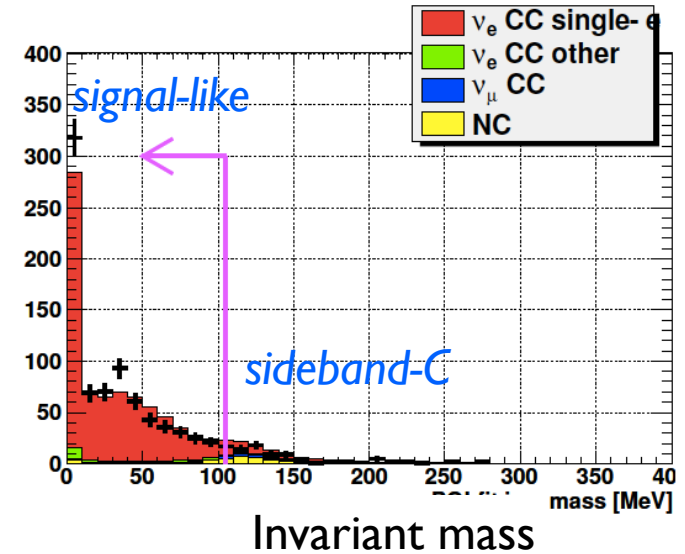
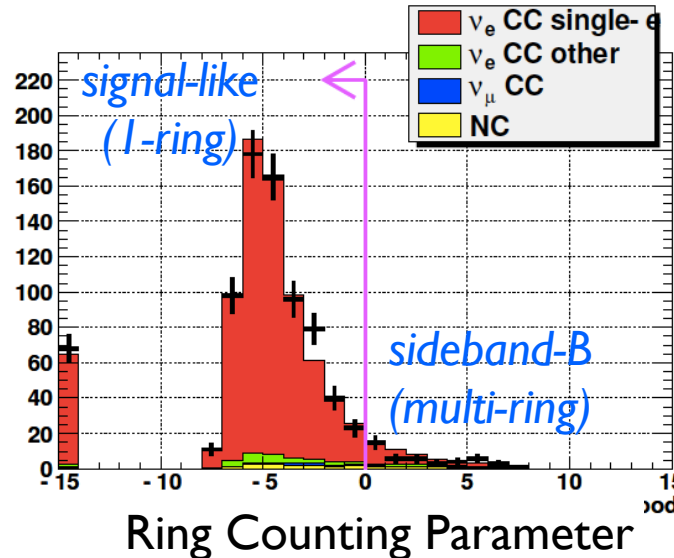
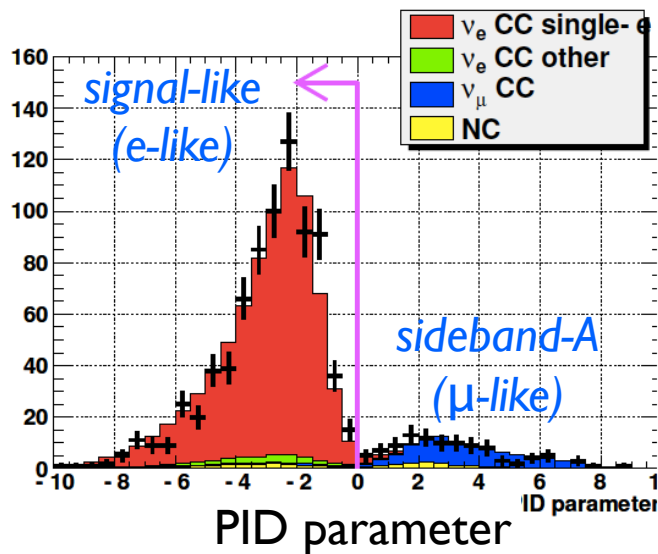
$$\pi^0 \text{ efficiency} = 6.8 \pm 0.7(\text{syst.})\%$$

# Uncertainty of $\nu_e$ CCQE selection efficiency

detection efficiency of  $\nu_e$  CC (for dominant BG and signal)

atmospheric  $\nu$  sample

subsample which satisfies all T2K  $\nu_e$  selection criteria (signal-like)  
and sidebands

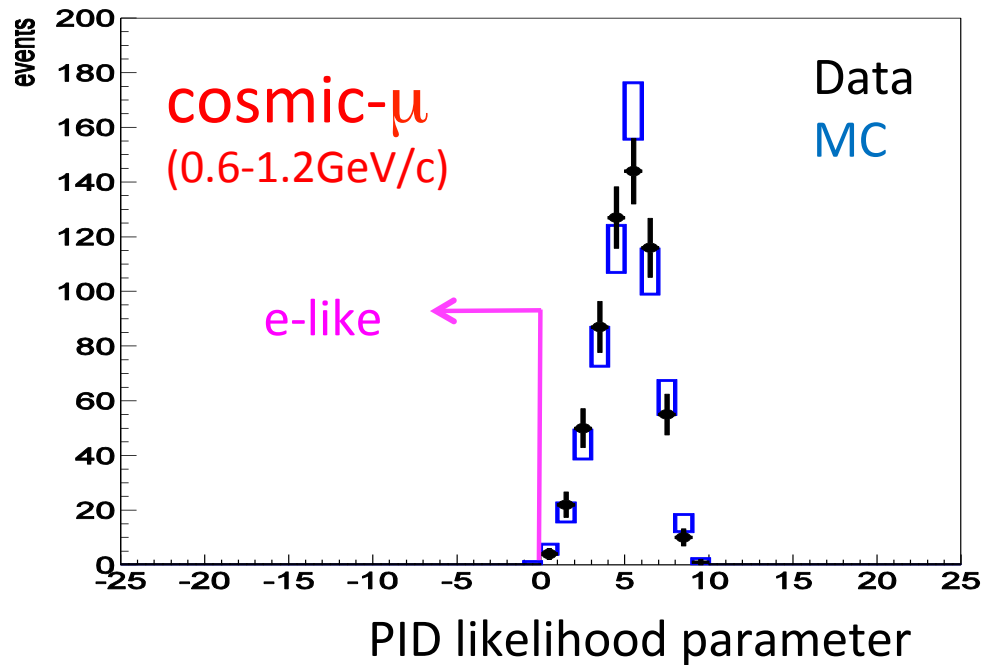


From comparisons btw the atm $\nu$  data and MC,  
we constrain selection efficiency of each cuts.

	Efficiency [%] (T2K beam $\nu_e$ )	Efficiency [%] (T2K signal $\nu_e$ )
Ring-counting	$96.8 \pm 1.9$ (syst.)	$96.6 \pm 1.6$ (syst.)
PID	$98.9 \pm 1.1$ (syst.)	$98.8 \pm 1.4$ (syst.)
POLfit mass	$90.1 \pm 6.1$ (syst.)	$90.7 \pm 4.1$ (syst.)

# Particle ID uncertainty study

Cosmic ray  $\mu$  sample



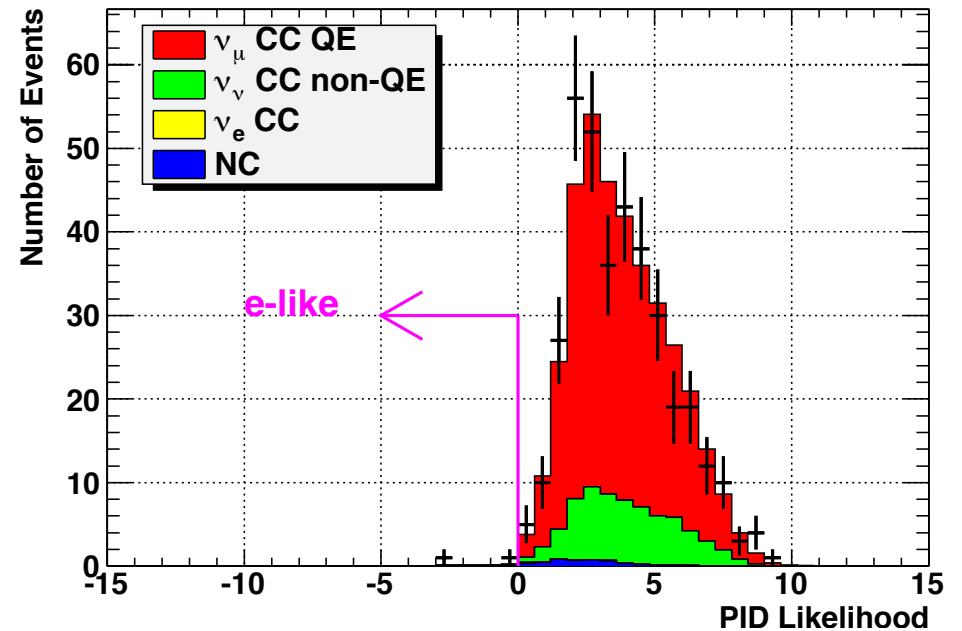
mis-PID:

Data:  $0.00 \pm 0.16(\text{stat.})\%$

MC :  $0.10 \pm 0.10(\text{stat.})\%$

atmospheric  $\nu$  sample

$\mu$  control sample selected by decay electrons



mis-PID:

Data:  $0.54 \pm 0.39(\text{stat.})\%$

MC : 0.20%

The mis-ID fraction and the likelihood are well reproduced.  
→ PID uncertainty < 1%

# Summary of Far detector systematics uncertainty

Error source	$\frac{\delta N_{SK \nu_e \text{ sig.}}^{MC}}{N_{SK \nu_e \text{ sig.}}^{MC}}$	$\frac{\delta N_{SK \text{ bkg. tot.}}^{MC}}{N_{SK \text{ bkg. tot.}}^{MC}}$	Evaluated by atmospheric $\nu_e$ enriched data
$\pi^0$ rejection	-	3.6%	
Ring counting	3.9%	8.3%	
Electron PID	3.8%	8.0%	
Invariant mass cut	5.1%	8.7%	
Fiducial volume cut etc.	1.4%	1.4%	
Energy scale	0.4%	1.1%	
Decay electron finding	0.1%	0.3%	
Muon PID	-	1.0%	
<b>Total</b>	<b>7.6%</b>	<b>15%</b>	

→ The total uncertainty on  $N_{SK \text{ tot.}}^{MC}$  is **14.7 %** ( $\sin^2 2\theta_{13}=0$ )  
(uncertainty on the background + solar term oscillated  $\nu_e$ )

# Total Systematic uncertainties

*Summary of systematic uncertainties on  $N^{\text{exp}}_{SK \text{ tot.}}$  for  $\sin^2 2\theta_{13}=0$  and 0.1*

Error source	$\sin^2 2\theta_{13} = 0$	$\sin^2 2\theta_{13} = 0.1$	cf. $\sin^2 2\theta_{13}=0$ : #sig = 0.1 #bkg = 1.4  $\sin^2 2\theta_{13}=0.1$ : #sig = 4.1 #bkg = 1.3
(1) Beam flux	$\pm 8.5\%$	$\pm 8.5\%$	
(2) $\nu$ int. cross section	$\pm 14.0\%$	$\pm 10.5\%$	
(3) Near detector	$+5.6\%$ $-5.2\%$	$+5.6\%$ $-5.2\%$	
(4) Far detector	$\pm 14.7\%$	$\pm 9.4\%$	
(5) Near det. statistics	$\pm 2.7\%$	$\pm 2.7\%$	
Total	$+22.8\%$ $-22.7\%$	$+17.6\%$ $-17.5\%$	

(due to small Far det.  
uncertainty for signal)

$$N^{\text{exp}}_{SK \text{ tot.}} = 1.5 \pm 0.3 \quad \text{at } \sin^2 2\theta_{13}=0$$

✿  $\nu_e$  selection criteria

✿ The expected number of events at Far detector

✿ Systematic uncertainty

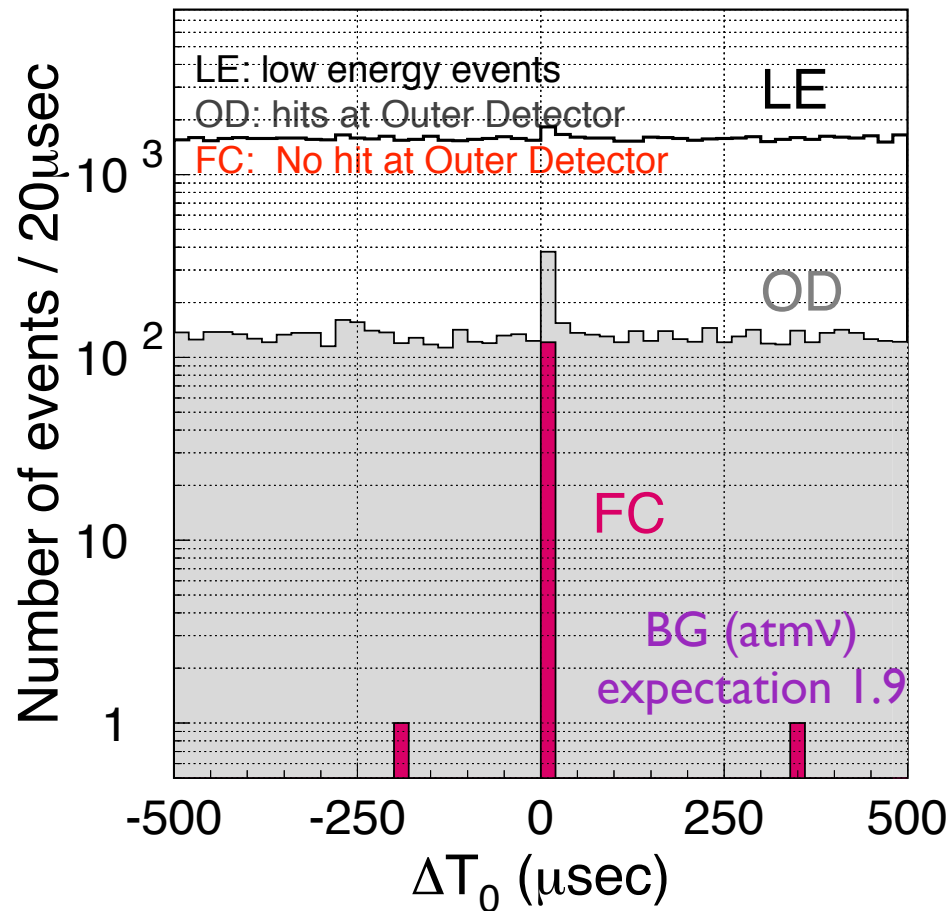
✿ **Observation at Far detector & Results**



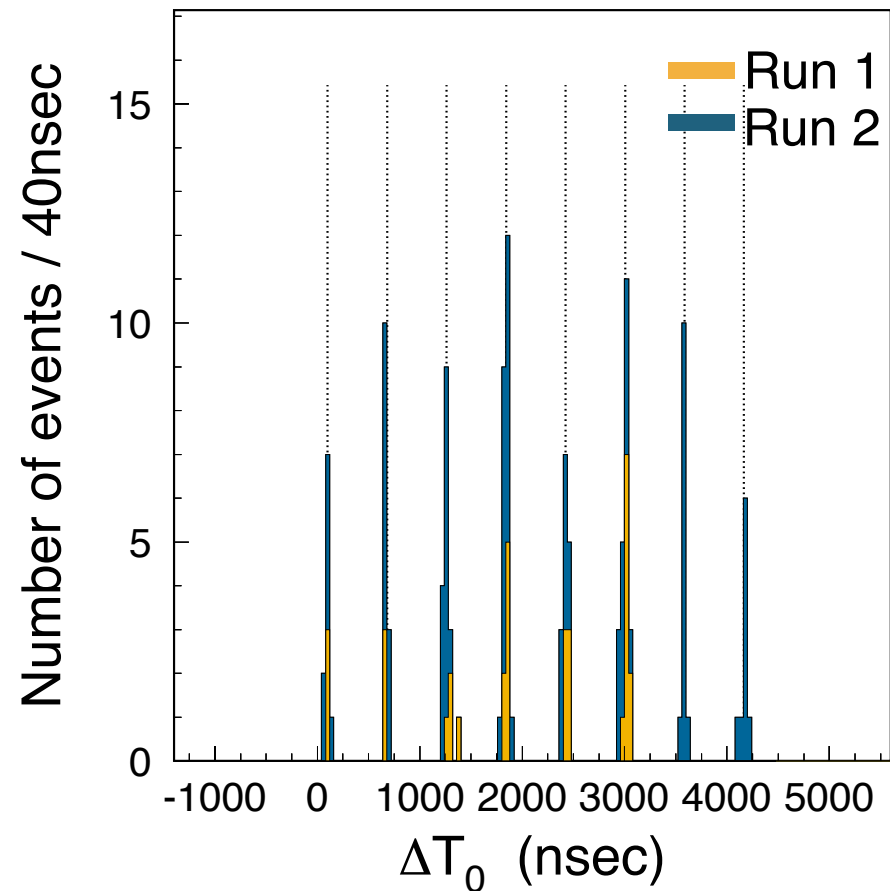
# SK events in beam timing

- Events in the T2K beam timing synchronized by GPS

relative event timing to the spill timing



Clear beam structure !



$$\Delta T_0 = T_{\text{GPS@SK}} - T_{\text{GPS@J-PARC}} - \text{TOF}(\sim 985 \mu\text{sec})$$

# Number of T2K events at far detector

Number of events in on-timing windows ( $-2 \sim +10 \mu\text{sec}$ )

Class / Beam run	RUN-1	RUN-2	Total	non-beam background
POT ( $\times 10^{19}$ )	3.23	11.08	<b>14.31</b>	
Fully-Contained (FC)	33	88	<b>121</b>	0.023

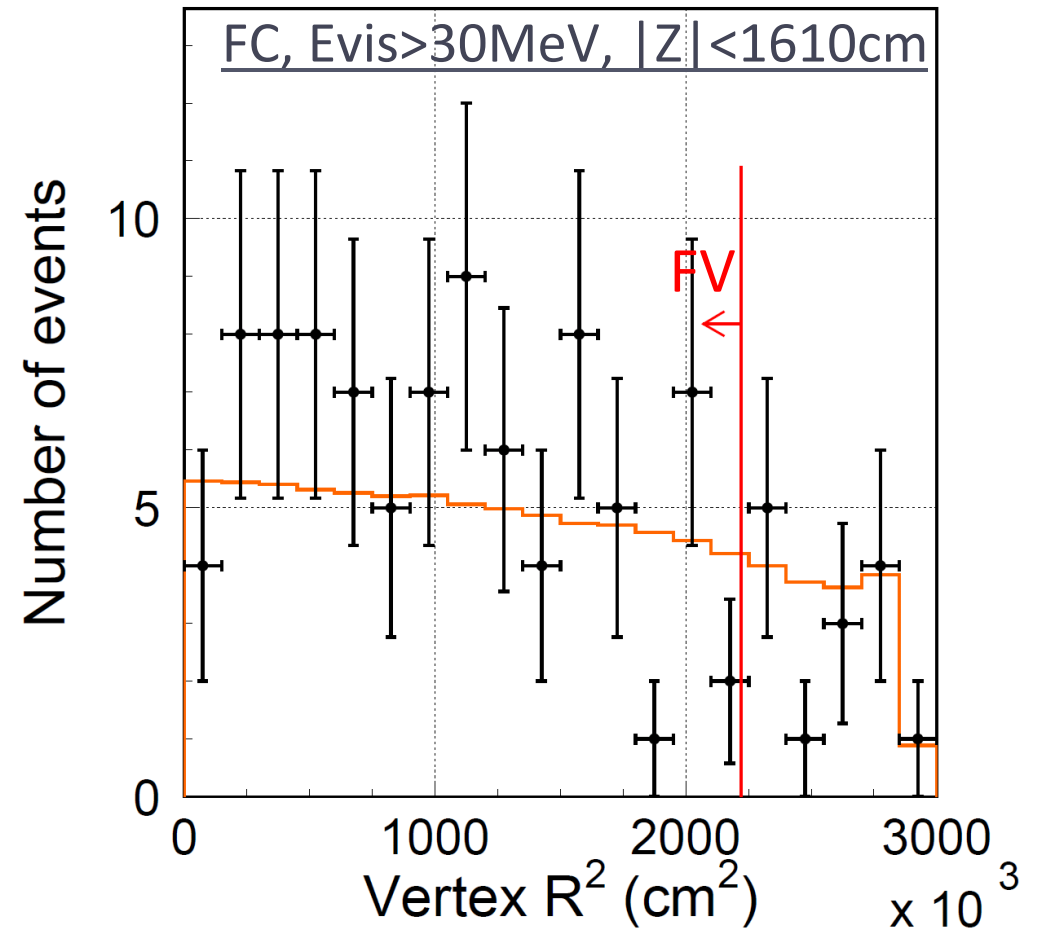
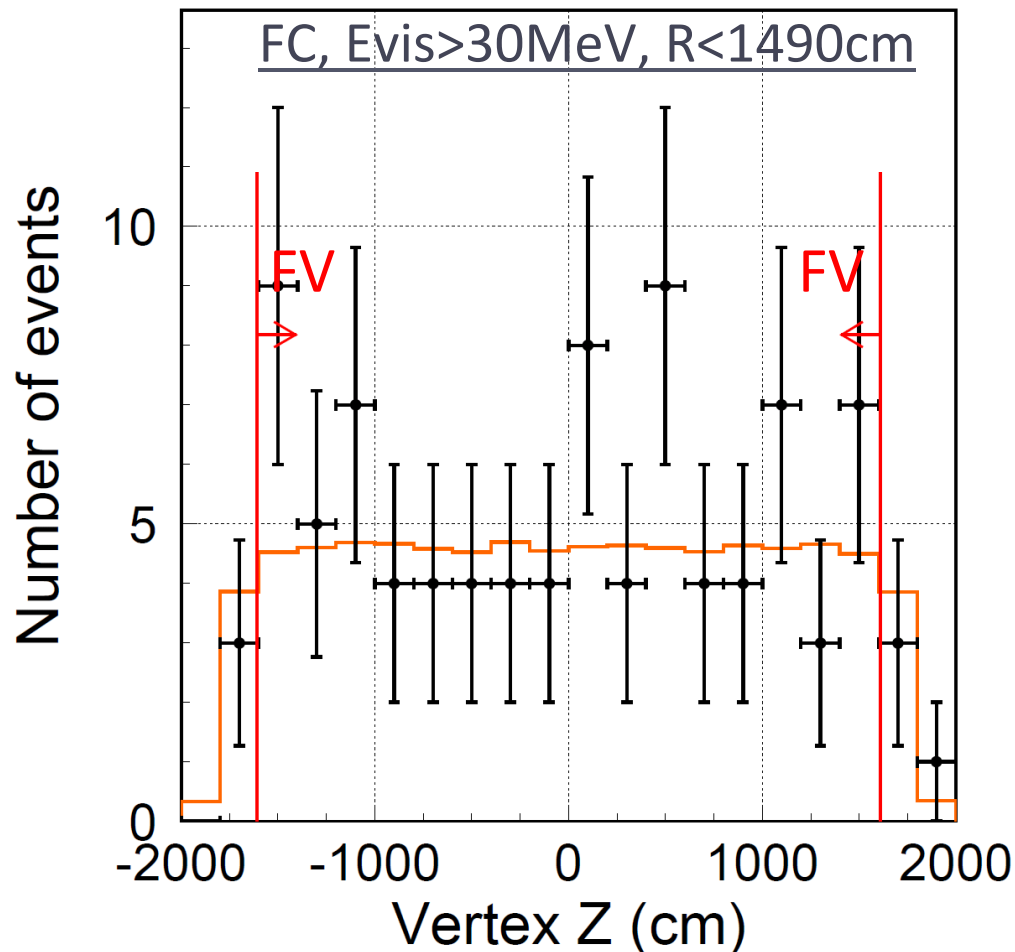
*The accidental contamination from atmospheric  $\nu$  background is estimated using the sideband events to be 0.023*

# apply the $\nu_e$ event selection

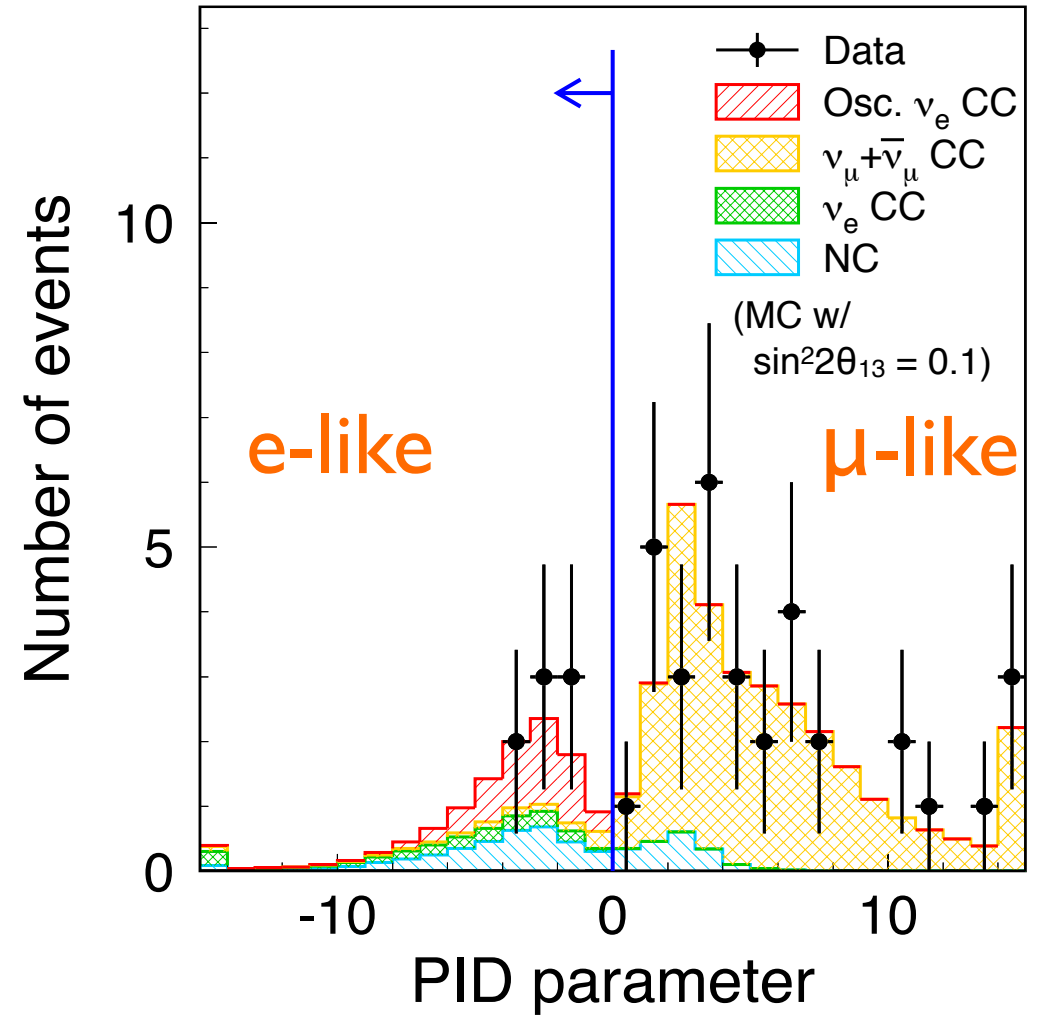
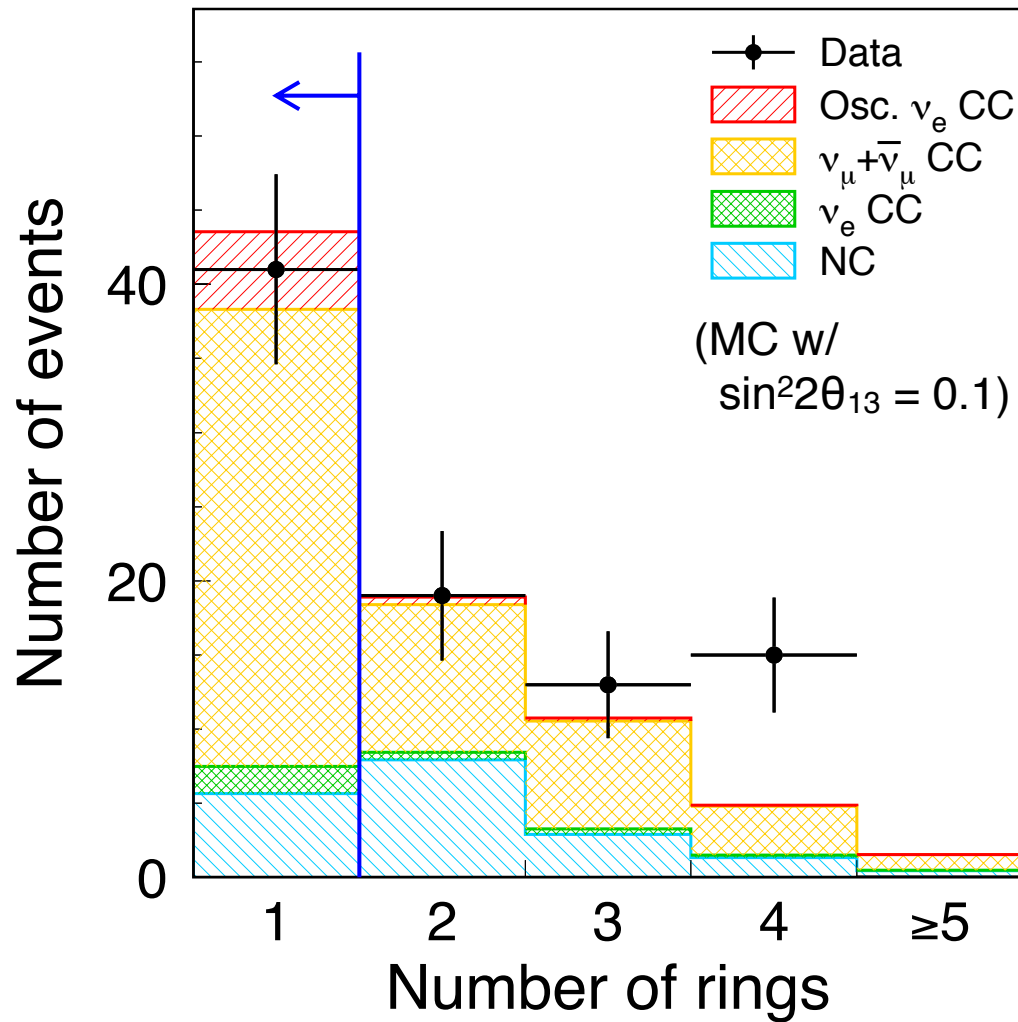
defined before the data collection  
6 selection cuts other than FC cut

## Fiducial volume cut

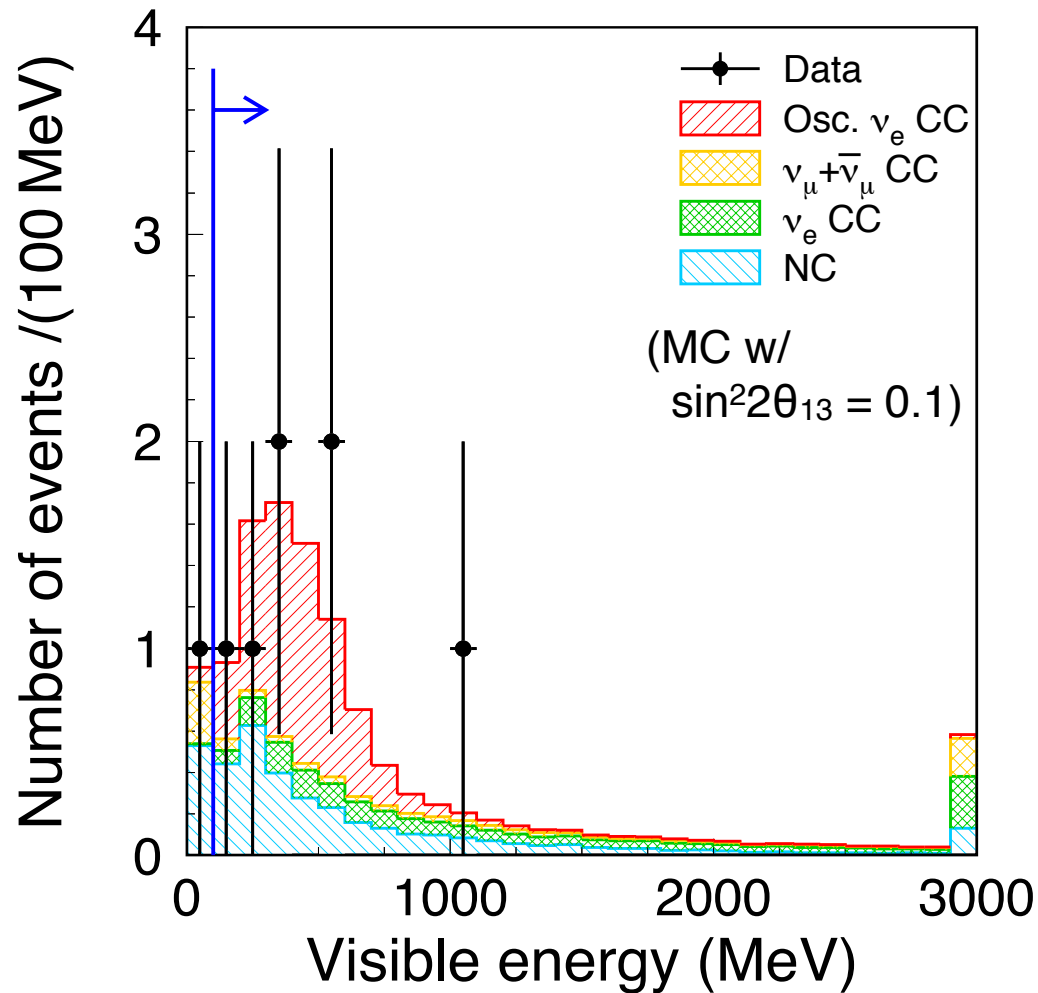
(distance between recon. vertex and wall  $> 200\text{cm}$ )



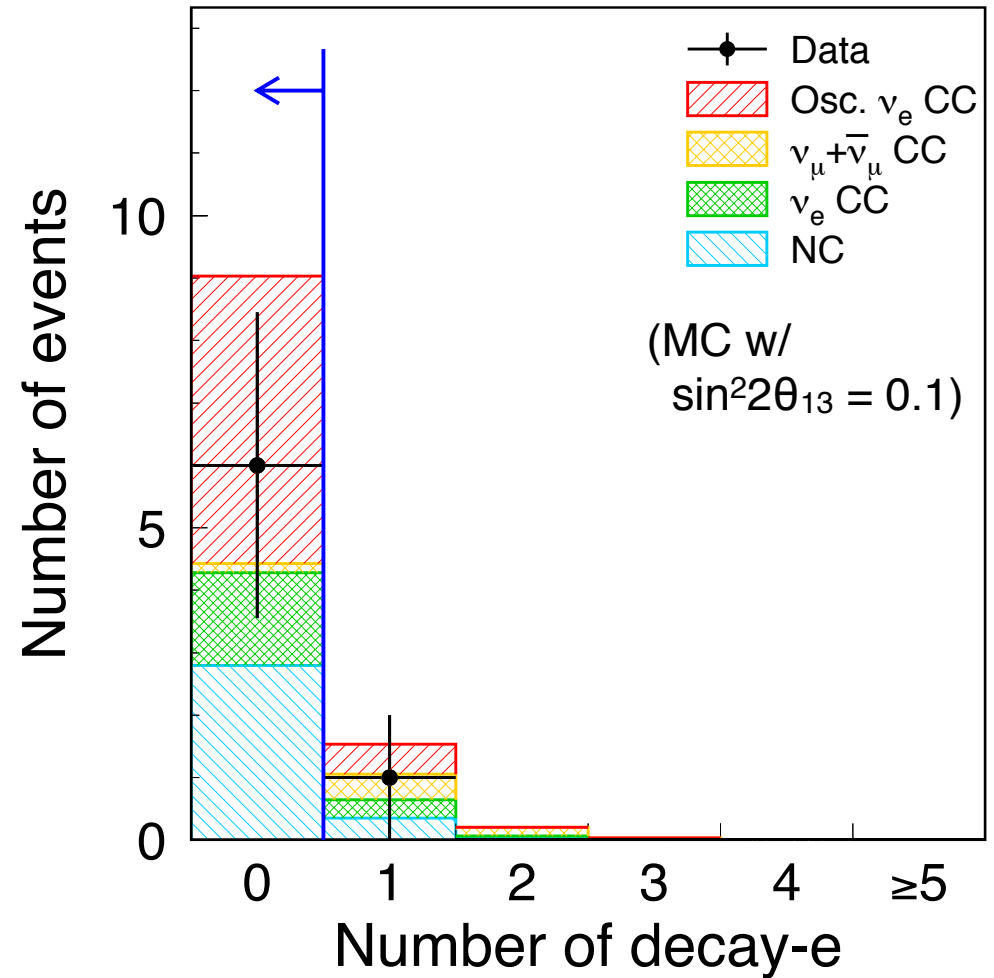
# Single electron cut (# of ring is one & e-like)



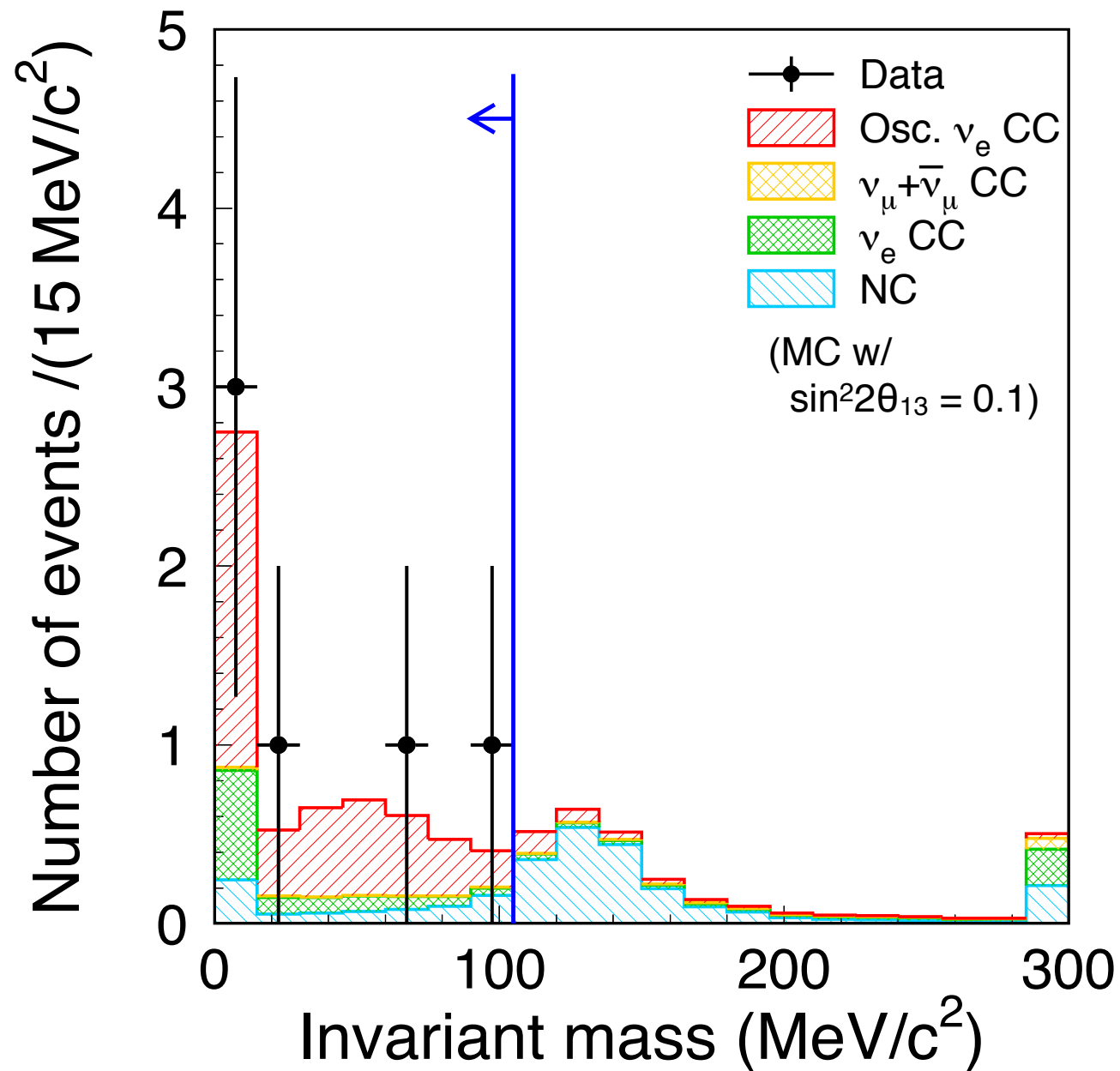
Visible energy cut  
(visible energy  $> 100\text{MeV}$ )



No decay electron

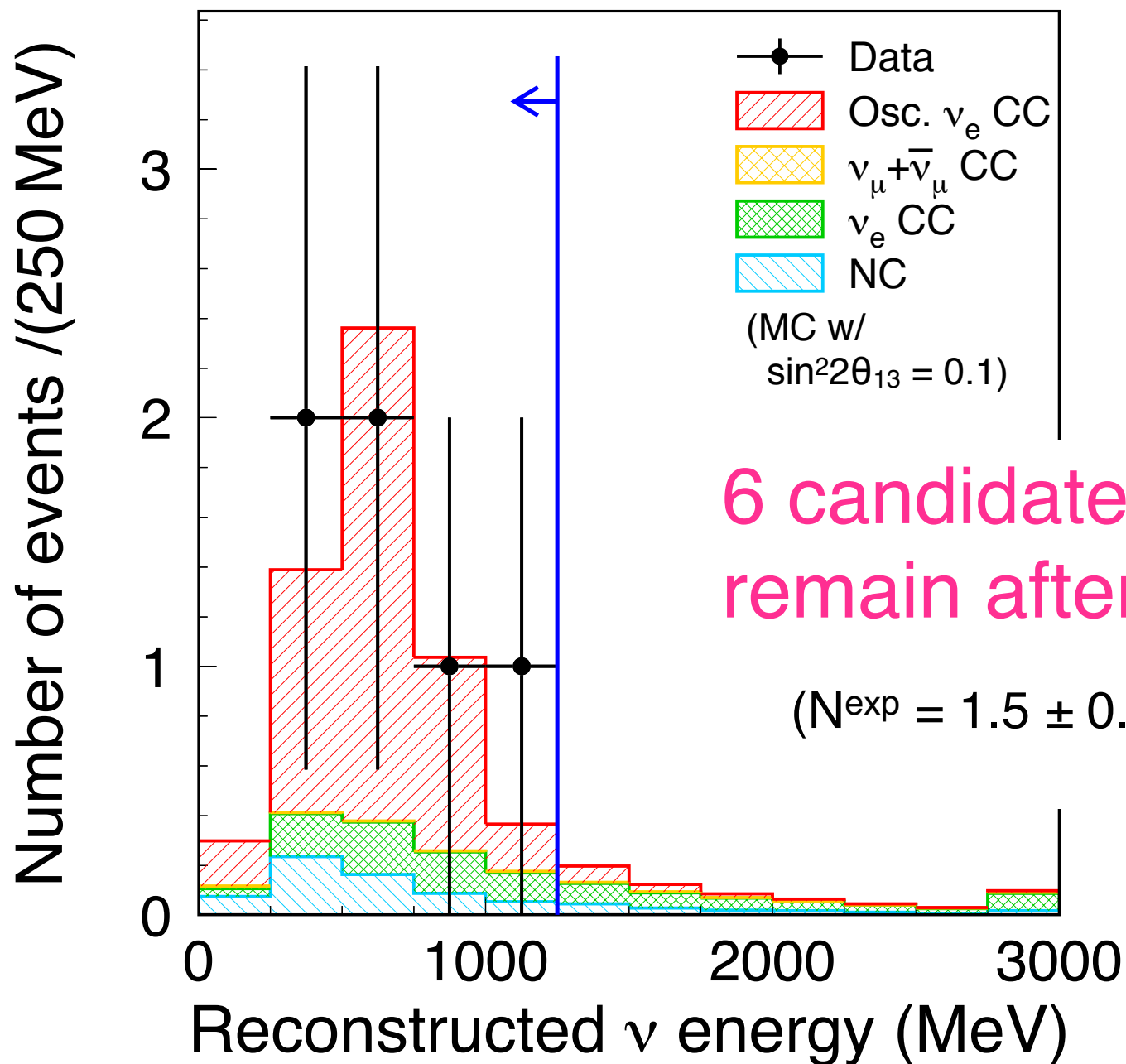


Invariant mass cut ( $M_{\text{inv}} < 105 \text{ MeV}/c^2$ )





# Reconstructed $\nu$ energy cut ( $E_{\text{rec}} < 1250 \text{ MeV}$ ) : *Final cut*



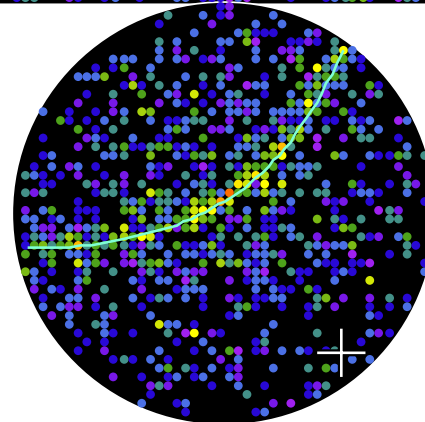
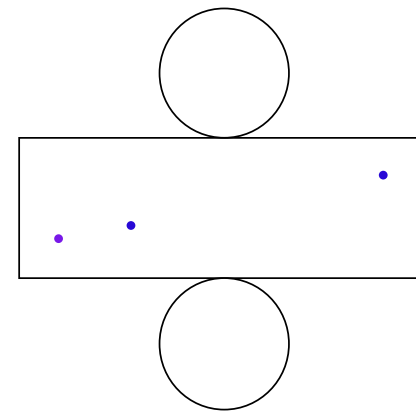
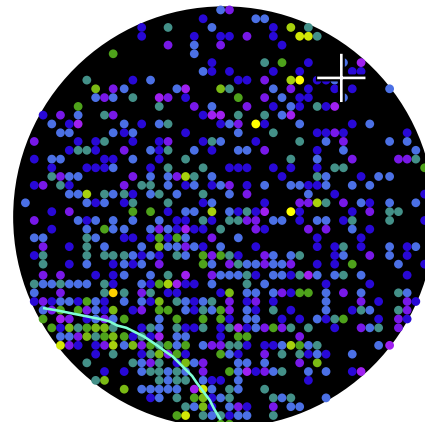
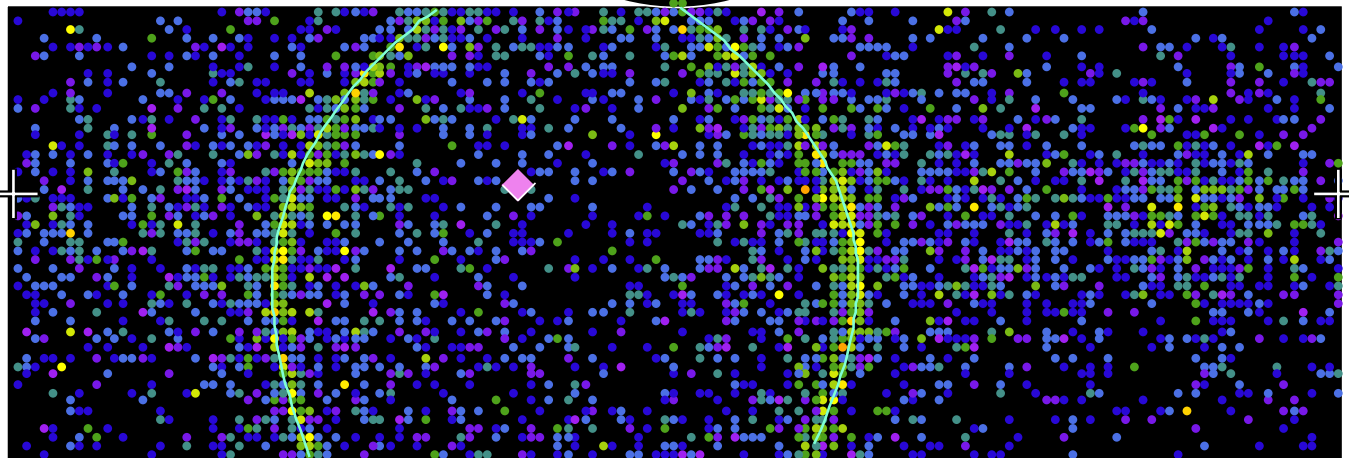
# $\nu_e$ candidate event

## Super-Kamiokande IV

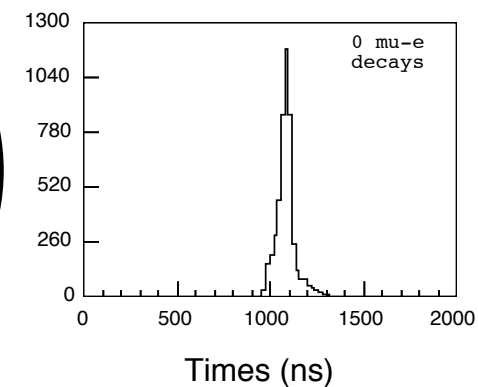
T2K Beam Run 0 Spill 1039222  
Run 67969 Sub 921 Event 218931934  
10-12-22:14:15:18  
T2K beam dt = 1782.6 ns  
Inner: 4804 hits, 9970 pe  
Outer: 4 hits, 3 pe  
Trigger: 0x80000007  
D\_wall: 244.2 cm  
e-like, p = 1049.0 MeV/c

### Charge (pe)

- >26.7
- 23.3-26.7
- 20.2-23.3
- 17.3-20.2
- 14.7-17.3
- 12.2-14.7
- 10.0-12.2
- 8.0-10.0
- 6.2- 8.0
- 4.7- 6.2
- 3.3- 4.7
- 2.2- 3.3
- 1.3- 2.2
- 0.7- 1.3
- 0.2- 0.7
- < 0.2

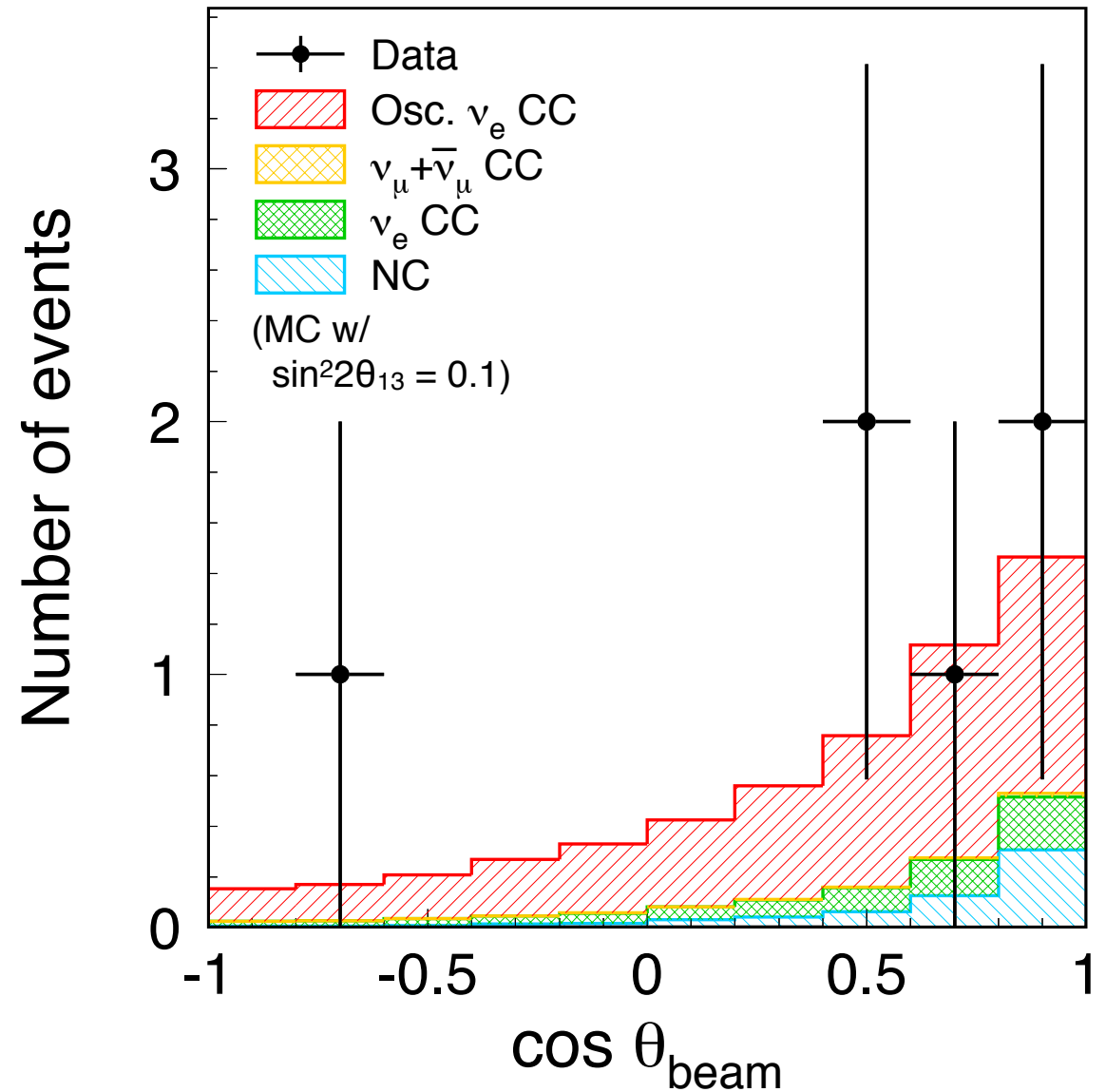
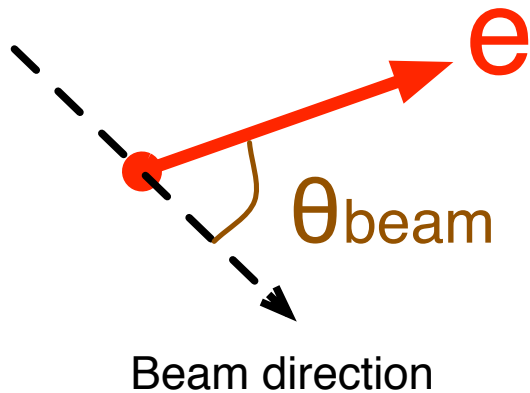


visible energy : 1049 MeV  
# of decay-e : 0  
2 $\gamma$  Inv. mass : 0.04 MeV/c<sup>2</sup>  
recon. energy : 1120.9 MeV

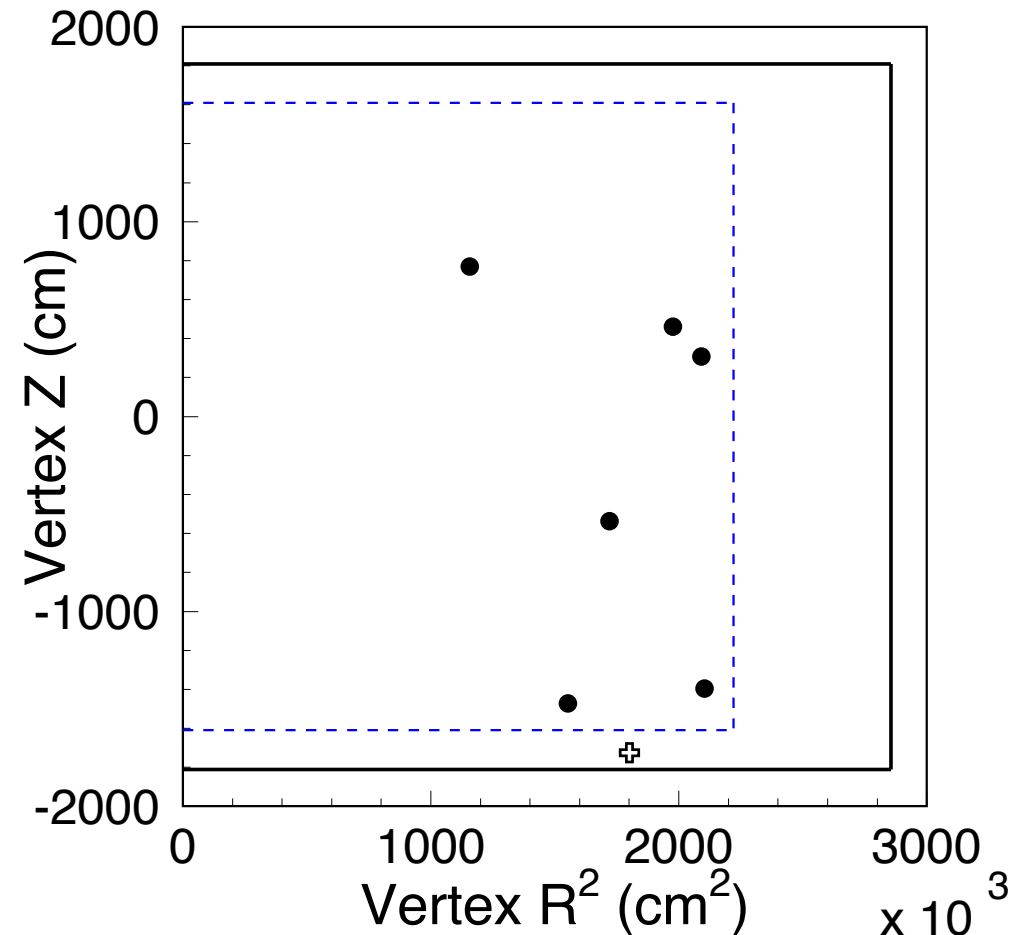
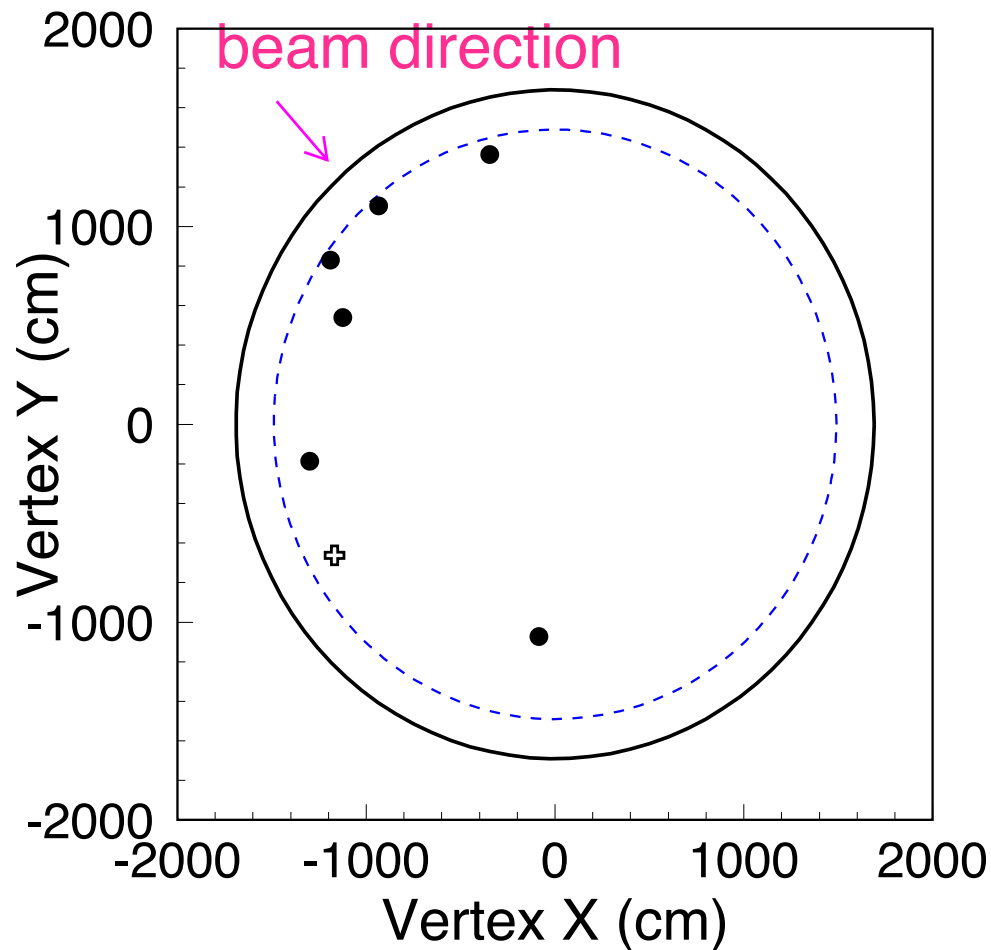


# Further check

Check several distribution of  $\nu_e$  candidate events



# Vertex distribution of $\nu_e$ candidate events



+ Event outside FV

Events tend to cluster at large R

→ Perform several checks. for example

- \* Check distribution of events outside FV → no indication of BG contamination
- \* Check distribution of OD events → no indication of BG contamination
- \* A K.S. test on the R<sup>2</sup> distribution yields a p-value of 0.03

# Results for $\nu_e$ appearance search with $1.43 \times 10^{20}$ p.o.t.

The observed number of events is **6**

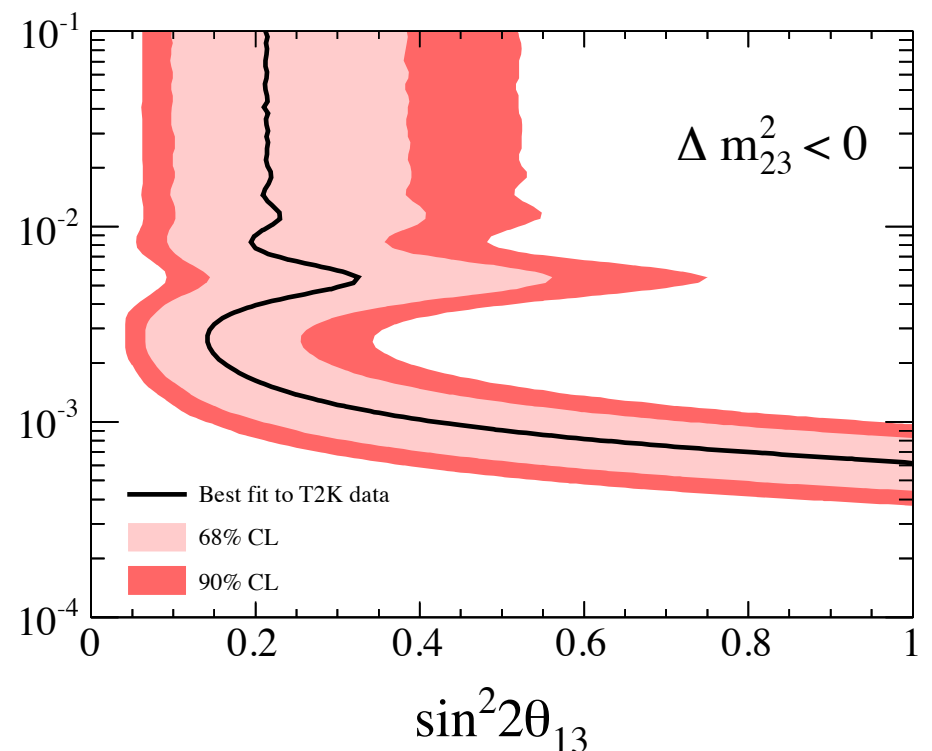
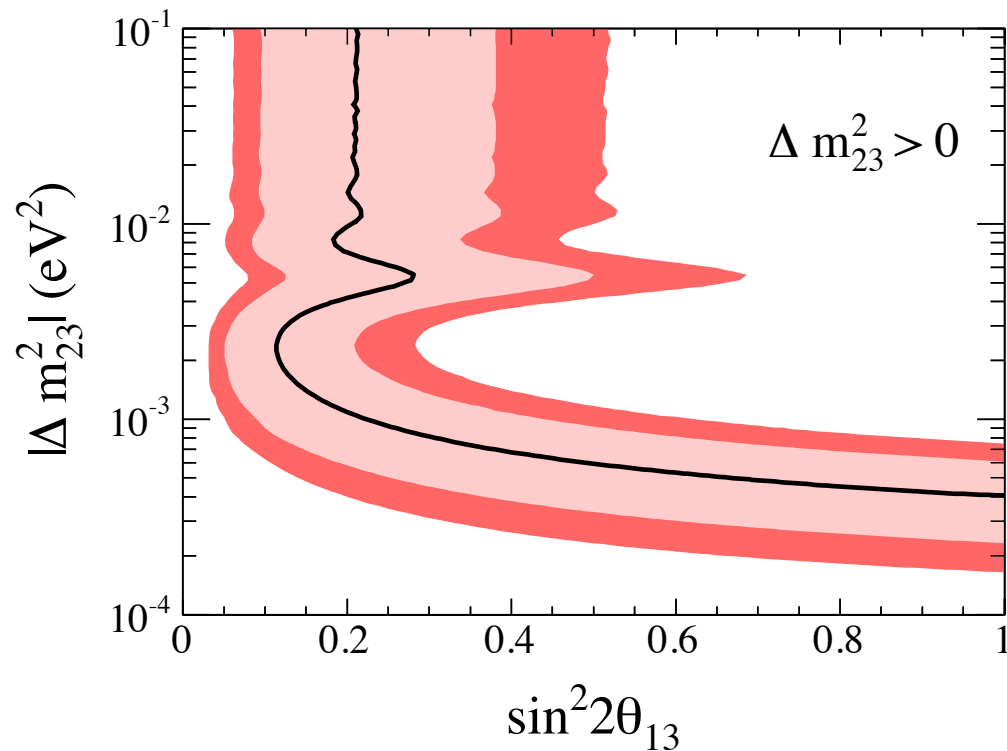
The expected number of events is  $1.5 \pm 0.3$

for  $\sin^2 2\theta_{13}=0$

→ Probability to observe 6 or more events is 0.007, assuming  $\theta_{13}=0$ , corresponding to  $2.5\sigma$  significance.

# Allowed region of $\sin^2 2\theta_{13}$ for each $\Delta m^2_{23}$

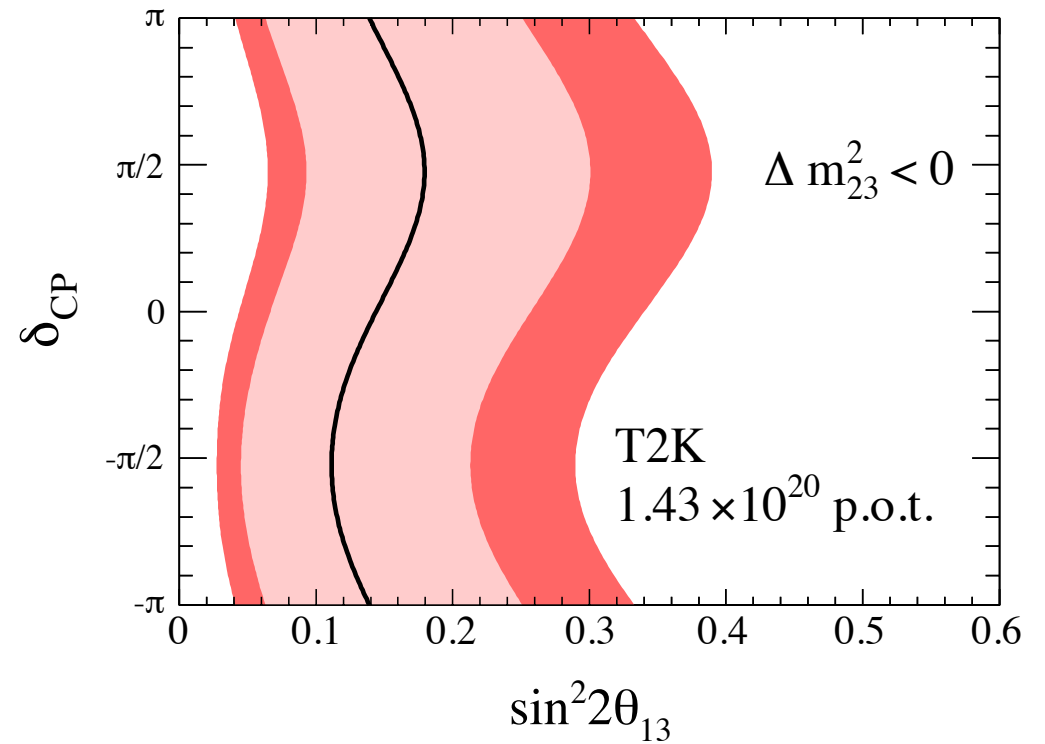
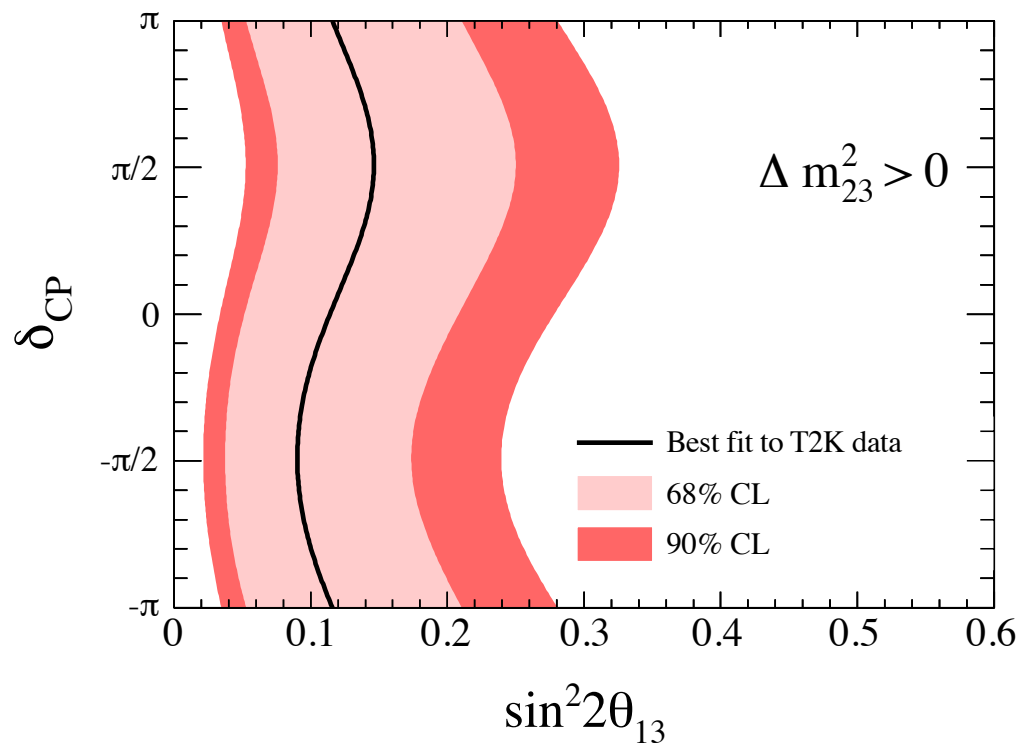
(assuming  $\delta_{CP}=0$ )



Feldman-Cousins method was used

# Allowed region of $\sin^2 2\theta_{13}$ for each $\delta_{CP}$

(assuming  $\Delta m_{23}^2 = 2.4 \times 10^{-3} \text{ eV}^2$ )



90% C.L. interval (assuming  $\Delta m_{23}^2 = 2.4 \times 10^{-3} \text{ eV}^2$ ,  $\delta_{CP} = 0$ )

$$0.03 < \sin^2 2\theta_{13} < 0.28$$

$$0.04 < \sin^2 2\theta_{13} < 0.34$$



# T2K Next steps

***Aim to firmly establish  $\nu_e$  appearance and to better determine the angle  $\theta_{13}$***

*This result is obtained by only 2% exposure of T2K's goal.*

- Plan for re-starting experiment in this calendar year
  - Recovery works in progress
- Analysis improvement
  - New analysis methods using  $\nu_e$  signal shape (e.g. recon. energy) are under developing
  - Improve uncertainties in the Super-K for subdominant BG sources, *i.e.*  $\pi^\pm$ ,  $\pi^\pm\pi^0$ ,  $\mu\pi^0$  etc.

# Conclusion

- We reported new results from  $\nu_\mu \rightarrow \nu_e$  oscillation analysis based on  $1.43 \times 10^{20}$  p.o.t. (2010 Jan. - 2011 Mar.)
  - Observe 6 candidate events
  - # of expected events =  $1.5 \pm 0.3(\text{syst.})$  ( $\sin^2 2\theta_{13} = 0$ )
  - Under null  $\theta_{13}$  hypothesis, prob. of observing 6 or more events is 0.007, equivalent to  $2.5\sigma$  significance.
  - $0.03 (0.04) < \sin^2 2\theta_{13} < 0.28 (0.34)$  at 90% C.L. for normal (inverted) hierarchy (assuming  $\Delta m^2_{23} = 2.4 \times 10^{-3} \text{ eV}^2$ ,  $\delta_{CP}=0$ )

## **Indication of $\nu_\mu \rightarrow \nu_e$ appearance**

*The paper was submitted to PRL and the preprint will appear in arXiv tomorrow.*

- Plan for improve the measurement after recovery of the experiment in this calendar year
- $\nu_\mu$  disappearance result with  $1.43 \times 10^{20}$  p.o.t. data will be reported this summer

# Epilogue

Personal view of future prospects...

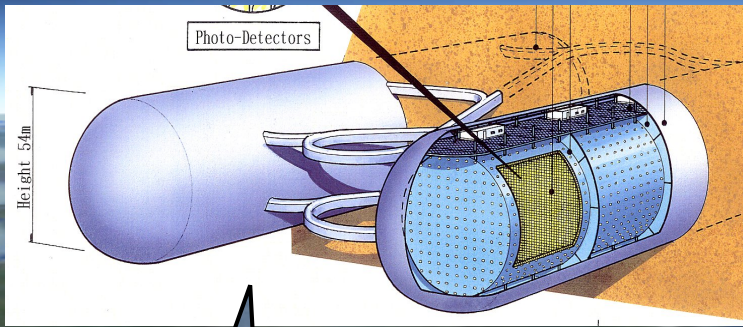
# Toward full picture of neutrino masses and mixings

Discovery of  $(\theta_{23}, \Delta m^2_{23})$  <sup>atmospheric  $\nu$</sup>   
 $\rightarrow (\theta_{12}, \Delta m^2_{12})$  <sup>solar, reactor  $\nu$</sup>   
 $\rightarrow \theta_{13}$  in a few year?

If  $\theta_{13}$  is really large ( $\sin^2 2\theta_{13} \sim 0.1$ ) as indicated by T2K, we have to think very seriously how to explore last  $\nu$ 's parameter in the MNS matrix:

$$\delta_{CP}$$

CP odd term in  $P(\nu_\mu \rightarrow \nu_e)$   
 $\propto \sin\theta_{12} \sin\theta_{13} \sin\theta_{23} \sin\delta$



Hyper-K

Super-K

x20 Larger Target

$\sim 0.6 \text{ GeV } \nu_\mu$   
295km

Quest for CP Violation  
in lepton sector.

Higher Intensity



JPARC



© 2010 ZENRIN  
Data © 2010 MIRC/JHA  
© 2010 Cnes/Spot Image  
© 2010 Mapabc.com

©2009 Google

36°24'46.66" N 139°18'01.27" E 標高 214 メートル

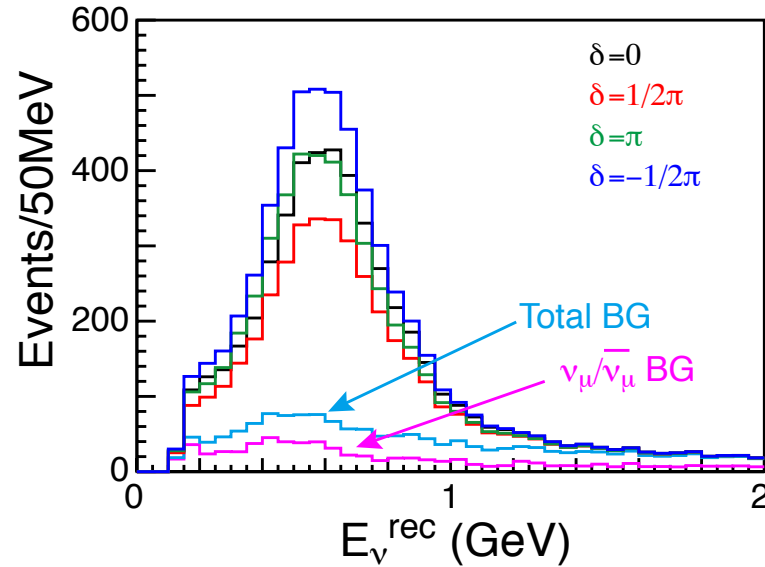
高度 188.55 キロメートル



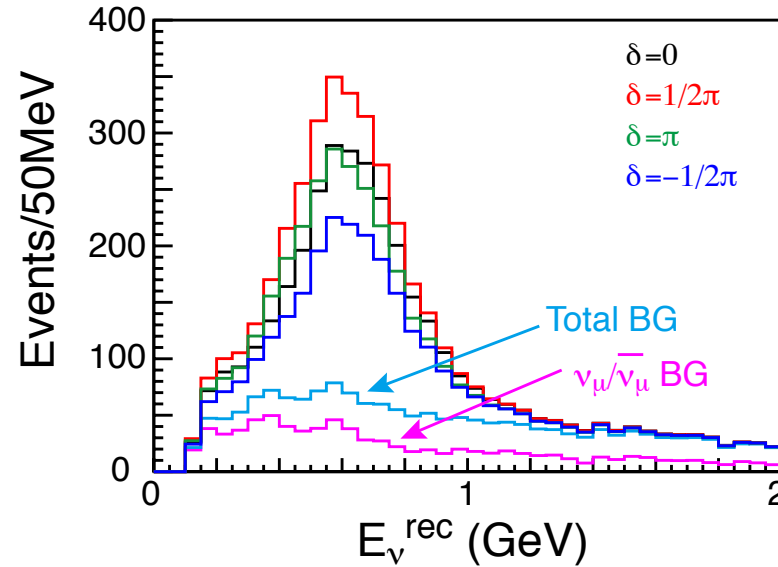
# Compare electron appearance (number and spectrum) in $\nu$ and anti- $\nu$ beam

$V_e$  candidates

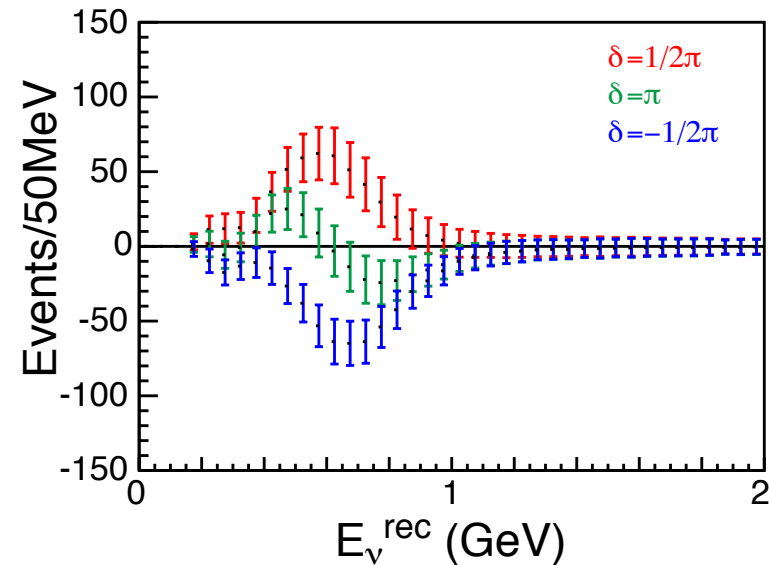
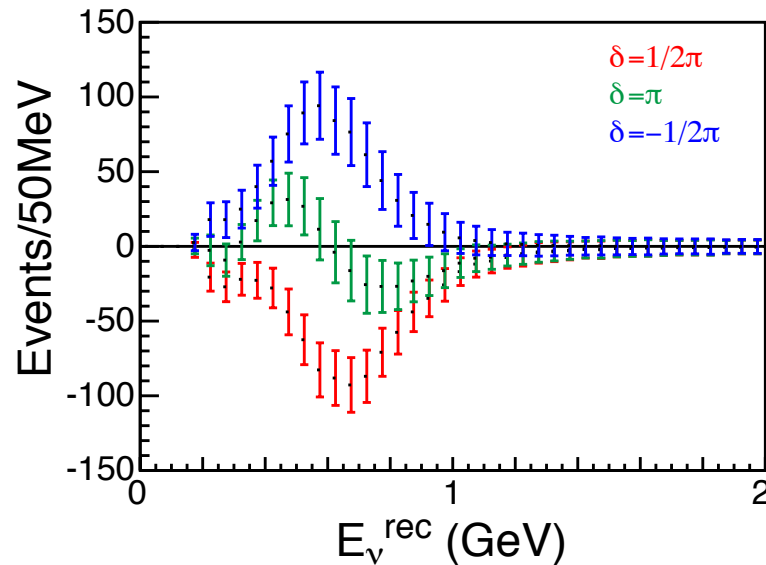
$\nu$  mode



$\bar{\nu}$  mode

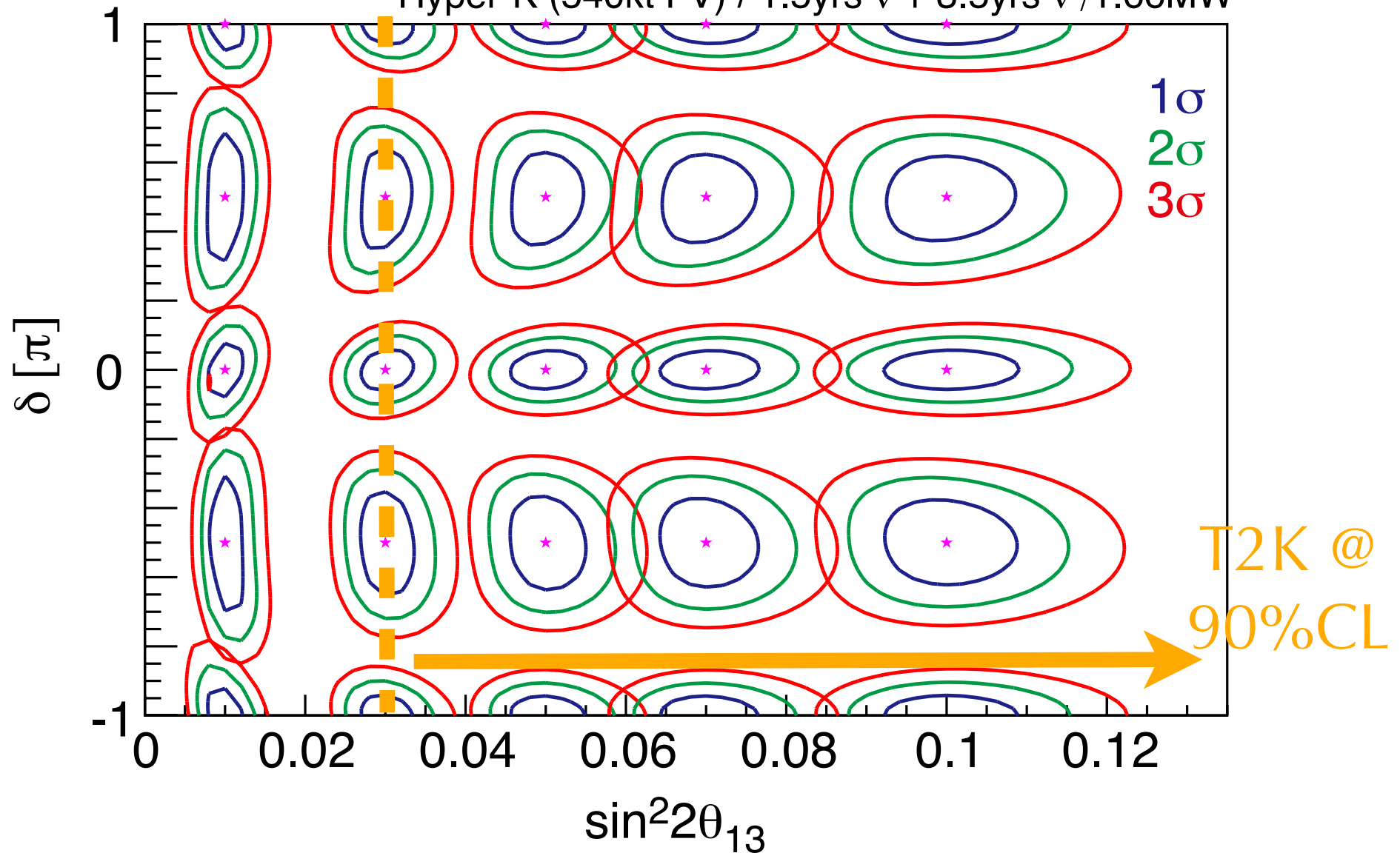


diff. from  
 $\delta=0$  case



# Sensitivity on $\delta_{\text{CP}}$

Hyper-K (540kt FV) / 1.5yrs  $\nu$  + 3.5yrs  $\bar{\nu}$  / 1.66MW



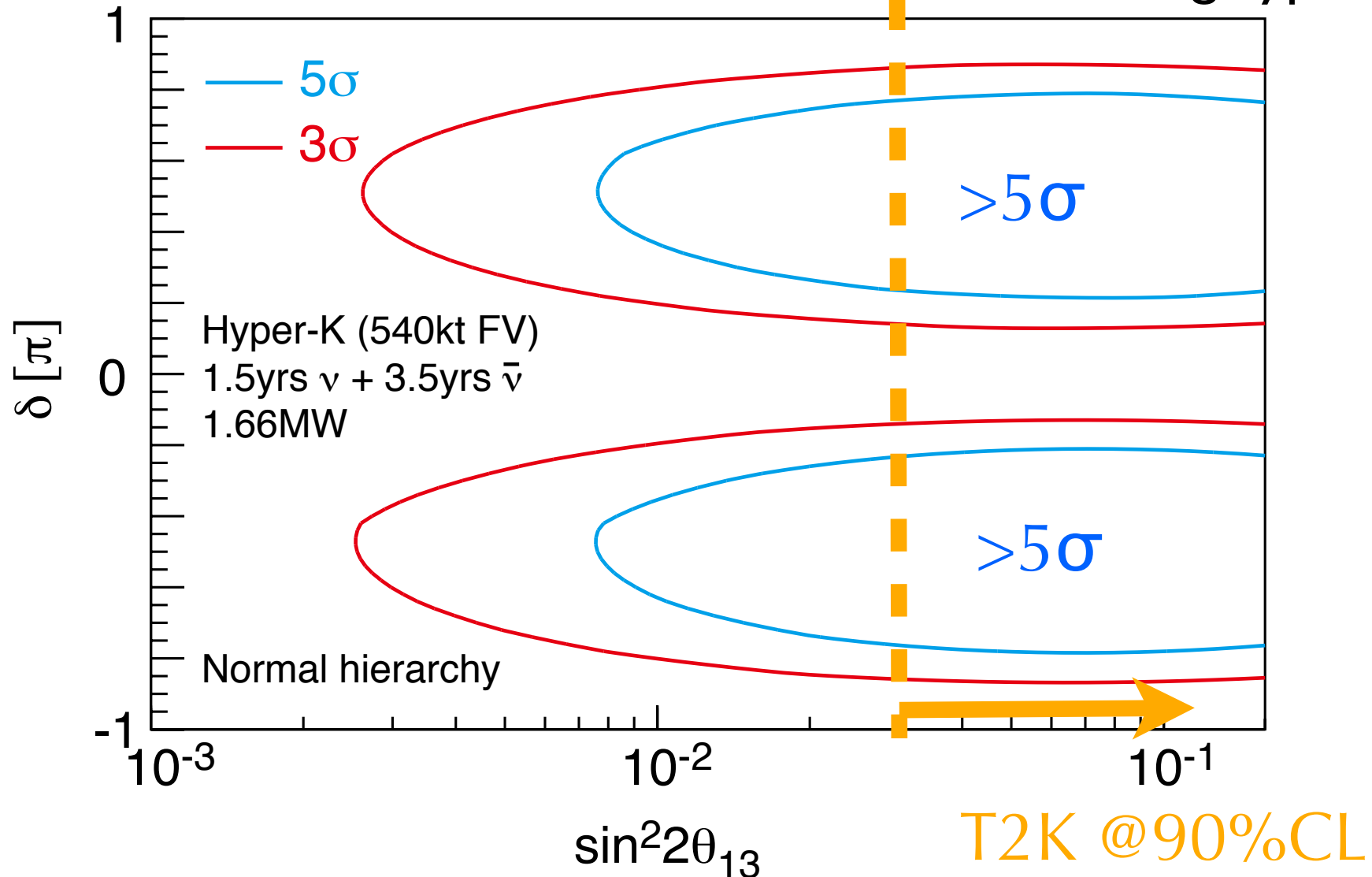
5 years (1.1yrs  $\nu$  beam and 3.9yrs anti- $\nu$  beam )

assuming 5% uncertainties for signal,  $\nu_{\mu}$  BG,  $\nu_e$  BG, and  $\nu_e/\text{anti-}\nu_e$ .



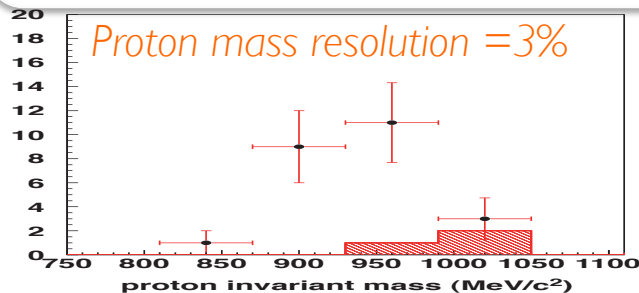
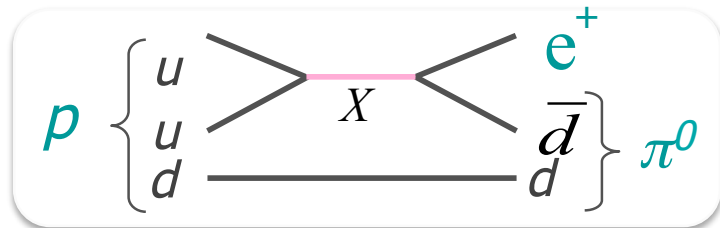
# CPV discovery potential

CP  $\delta$  value for which we can exclude CP conserving hypothesis.



# Proton Decay

- explore quark/lepton unification -



$$p \rightarrow e^+ \pi^0$$

•  $1.0 \times 10^{34}$  years (Super-K I+II+III @ 90% C.L.)

→  $1 \times 10^{35}$  years (0.54 Mton x 10yrs @ 90% CL)

$$p \rightarrow \nu K^+$$

•  $3.3 \times 10^{33}$  years (Super-K I+II+III @ 90% C.L.)

→  $2 \times 10^{34}$  years (0.54 Mton x 10yrs @ 90% CL)

