





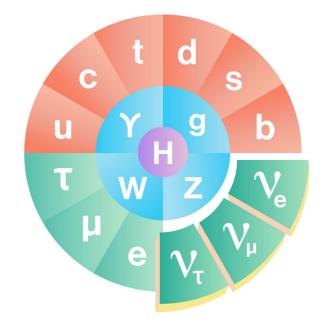


Large Enriched Germanium Experiment for Neutrinoless ββ Decay



(SOME) OPEN QUESTIONS IN NEUTRINO PHYSICS

- What is the absolute mass of neutrinos?
- Are neutrinos their own antiparticles?



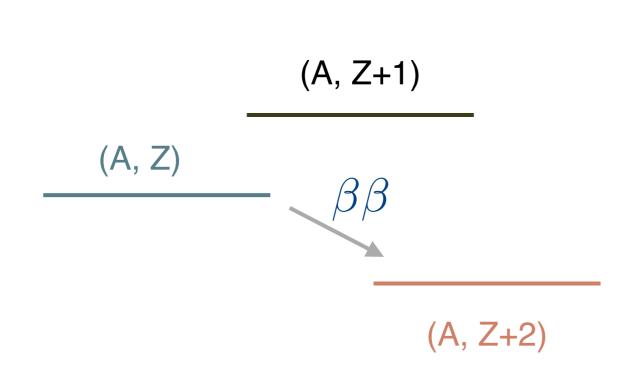
These can be addressed with an extremely rare nuclear decay process: the double beta decay

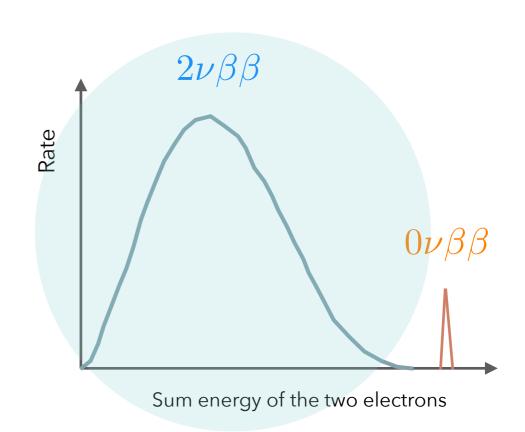


THE DOUBLE BETA DECAY



- Predicted by Maria-Goeppert Mayer in 1935
- ▶ The SM decay, with 2 neutrinos, was observed in 14 nuclei
- $T_{1/2} > 10^{18} \text{ y; } ^{48}\text{Ca, } ^{76}\text{Ge, } ^{82}\text{Se, } ^{96}\text{Zr, } ^{100}\text{Mo, } ^{116}\text{Cd, } ^{128}\text{Te, } ^{130}\text{Te, } ^{136}\text{Xe, } ^{150}\text{Nd, } ^{238}\text{U}$

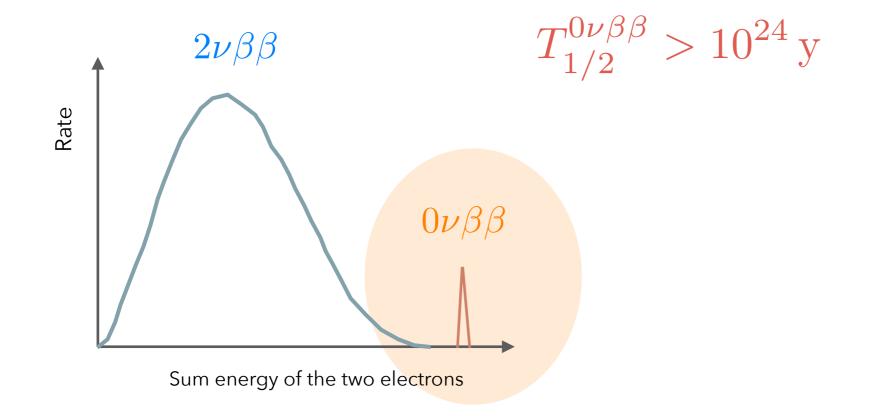


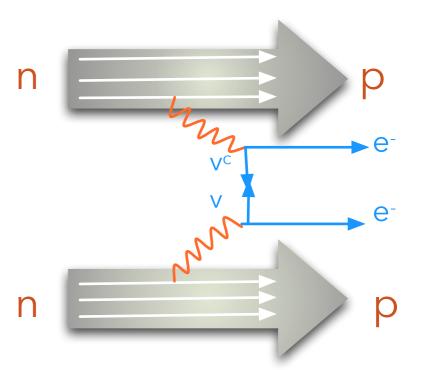


THE NEUTRINOLESS DOUBLE BETA DECAY



- Can only occur if neutrinos have mass and if they are their own anti-particles; $\Delta L = 2$
- ▶ Expected signature: sharp peak at the Q-value of the decay





OBSERVABLE DECAY RATE

$$\Gamma^{0\nu} = \frac{1}{T_{1/2}^{0\nu}} = \frac{G^{0\nu} \times g_A^4 \times |M^{0\nu}|^2}{G^{2}} \times \frac{|\langle m_{\beta\beta} \rangle|^2}{m_e^2}$$

With the effective Majorana neutrino mass:

$$|\langle m_{\beta\beta}\rangle| = |U_{e1}^2 m_1 + U_{e2}^2 m_2 e^{i(\alpha_1 - \alpha_2)} + U_{e3}^2 m_3 e^{i(-\alpha_1 - 2\delta)}|$$

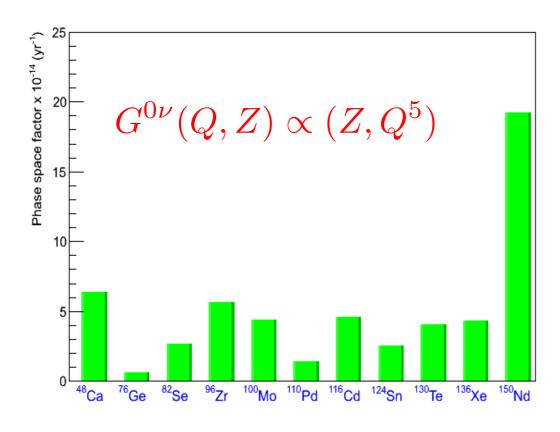
- a coherent sum over mass ES, with potentially CP violating phases
- ightharpoonup a mixture of m₁, m₂, m₃, proportional to U²

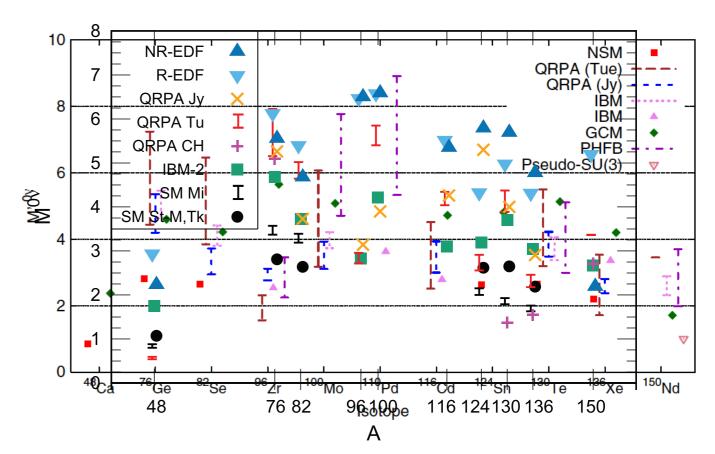
PHASE SPACE AND MATRIX ELEMENTS

$$\left(T_{1/2}^{0\nu}\right)^{-1} = G^{0\nu} \left| M^{0\nu} \right|^2 \left(\frac{\left\langle m_{\nu} \right\rangle}{m_e} \right)^2$$

$$\Gamma^{0\nu} = \frac{1}{T_{1/2}^{0\nu}} = G^{0\nu}(Q, Z) |M^{0\nu}|^2 \frac{|m_{\beta\beta}|^2}{m_e^2}$$

$$\Gamma^{0\nu} = \frac{1}{T_{1/2}^{0\nu}} = G^{0\nu}(Q, Z) |M^{0\nu}|^2 \frac{|m_{\beta\beta}|^2}{m_e^2}$$





Matrix elements: vary by a factor of 2-3 for a given A

EMPLOYED NUCLEI

- Even-even nuclei
- Natural abundance is low (except ¹³⁰Te)
- Must use enriched material

$$(A, Z+1)$$

$$(A, Z)$$

$$\beta\beta$$

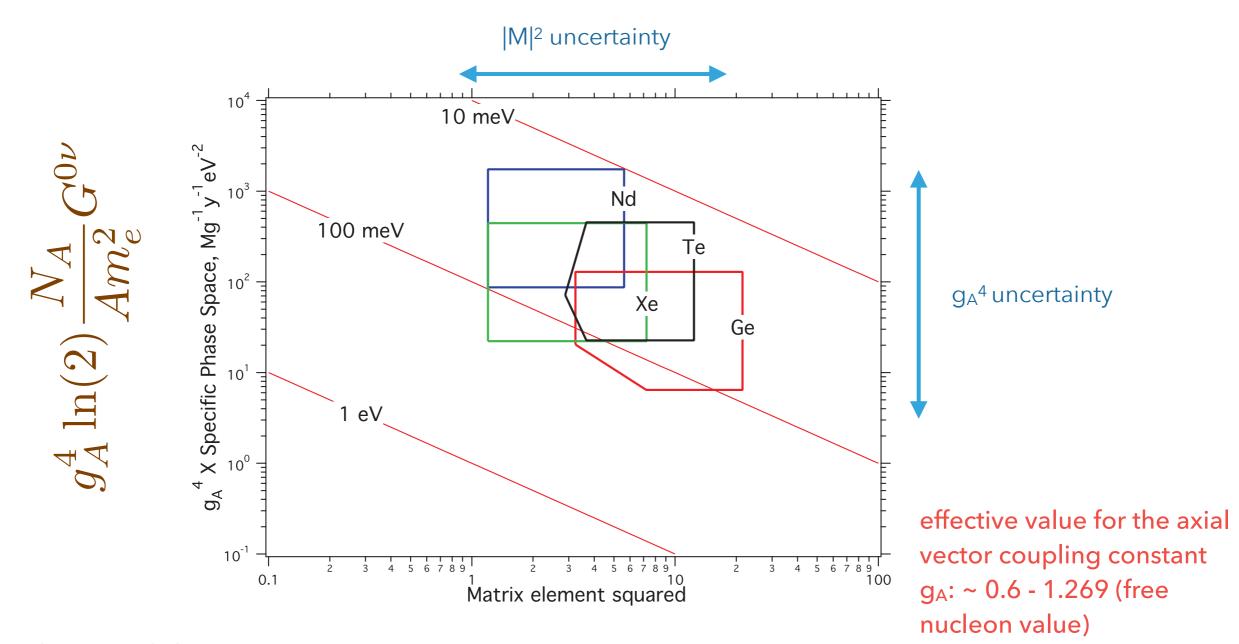
$$(A, Z+2)$$

Candidate	Q [MeV]	Abund [%]
⁴⁸ Ca -> ⁴⁸ Ti	4.271	0.187
⁷⁶ Ge -> ⁷⁶ Se	2.039	7.8
⁸² Se -> ⁸² Kr	2.995	9.2
⁹⁶ Zr -> ⁹⁶ Mo	3.350	2.8
¹⁰⁰ Mo -> ¹⁰⁰ Ru	3.034	9.6
¹¹⁰ Pd -> ¹¹⁰ Cd	2.013	11.8
¹¹⁶ Cd -> ¹¹⁶ Sn	2.802	7.5
¹²⁴ Sn -> ¹²⁴ Te	2.228	5.64
¹³⁰ Te -> ¹³⁰ Xe	2.530	34.5
¹³⁶ Xe -> ¹³⁶ Ba	2.479	8.9
¹⁵⁰ Nd -> ¹⁵⁰ Sm	3.367	5.6

ISOTOPES AND SENSITIVITY TO THE DECAY

$$\frac{1}{T_{1/2}^{0\nu}} = G^{0\nu} g_A^4 |M^{0\nu}|^2 \frac{|\langle m_{\beta\beta} \rangle|^2}{m_e^2}$$

Isotopes have comparable sensitivities in terms of rates per unit mass



EXPRIMENTAL REQUIREMENTS

 Experiments measure the half-life, with a sensitivity (in the case of non-zero background)

$$T_{1/2}^{0\nu} \propto a \cdot \epsilon \cdot \sqrt{\frac{M \cdot t}{B \cdot \Delta E}}$$



Minimal requirements:

large detector masses
high isotopic abundance
ultra-low background noise
good energy resolution



$$\langle m_{\beta\beta} \rangle \propto \frac{1}{\sqrt{T_{1/2}^{0\nu}}}$$

Additional tools to distinguish signal from background:

event topology pulse shape discrimination particle identification



Heat

CUORE CUPID



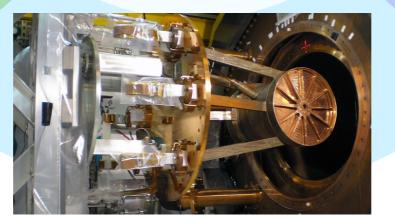


GERDA MAJORANA LEGEND SuperNEMO

Charge

Light

KAMLAND-Zen SNO+



nEXO, NEXT DARWIN

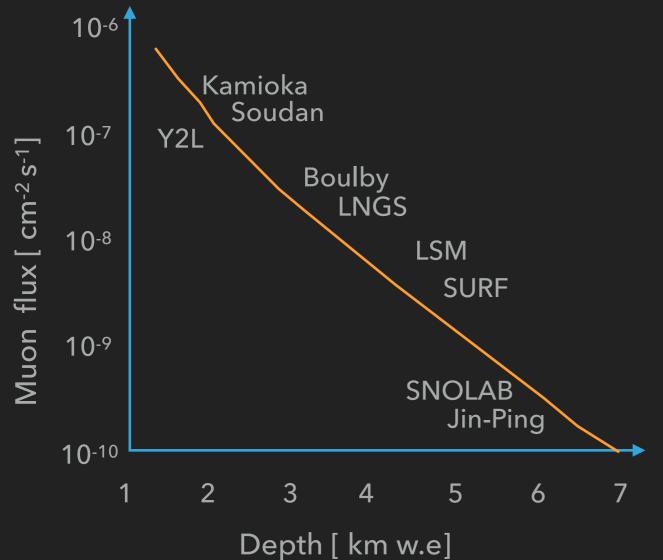
(not a complete list)

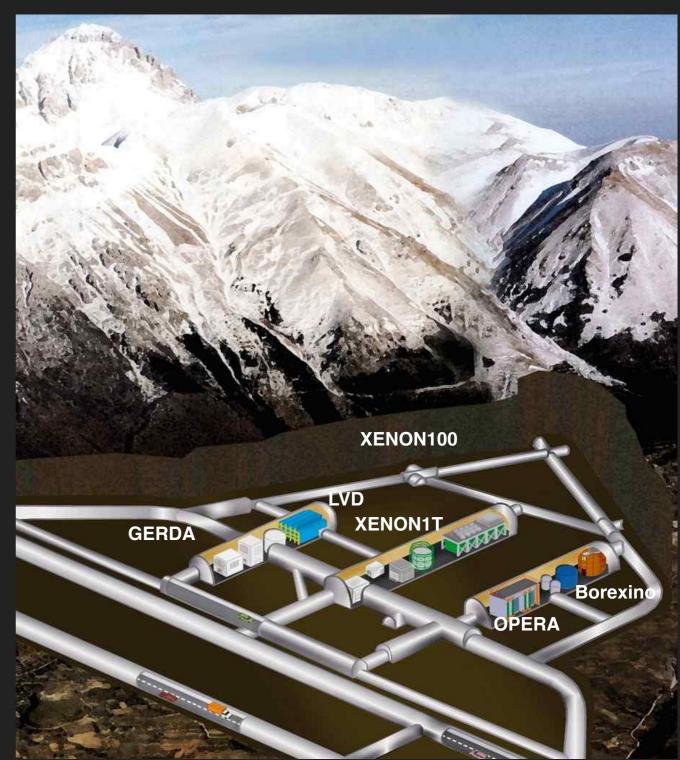
MAIN CHALLENGES

- ► Energy resolution (ultimate background from 2νββ-decay)
- Backgrounds
 - cosmic rays & cosmogenic activation
 - radioactivity of detector materials (²³⁸U, ²³²Th, ⁴⁰K, ⁶⁰Co, etc: α, β, γ-radiation)
 - anthropogenic (e.g., ¹³⁷Cs, ^{110m}Ag)
 - neutrinos: $\nu + e^- \rightarrow \nu + e^-$

GO UNDERGROUND

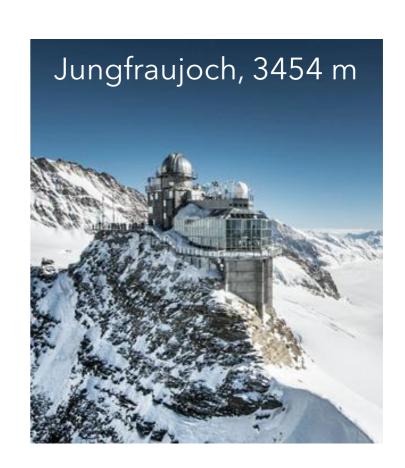
Network of underground laboratories

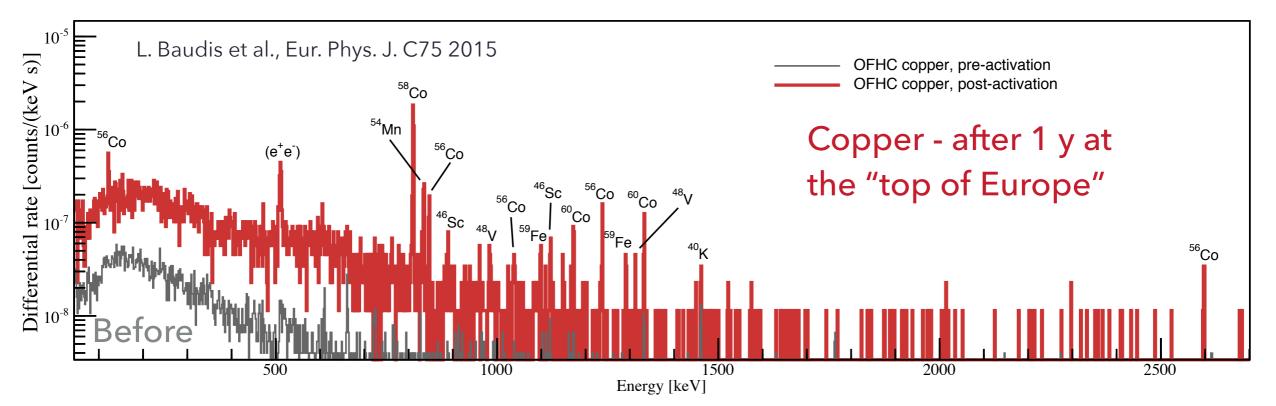




AVOID EXPOSURE TO COSMIC RAYS

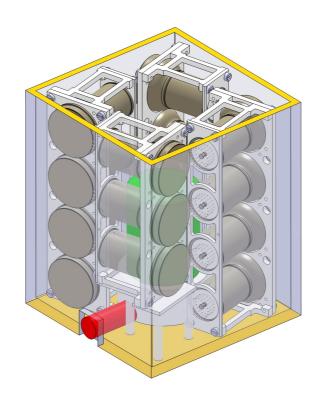
- Spallation reactions can produce longlived isotopes
- Activate and compare with predictions (Activia, Cosmo, etc)





MATERIAL SCREENING AND SELECTION

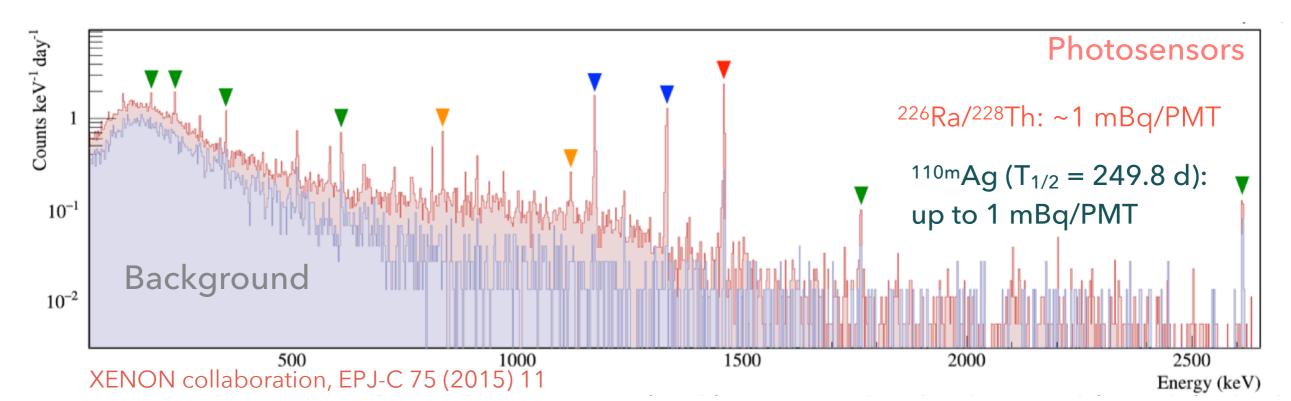
- Ultra-low background,
 HPGe detectors
- Mass spectroscopy
- Rn emanation facilities



Gator HPGe detector at LNGS



L. Baudis et al., JINST 6, 2011



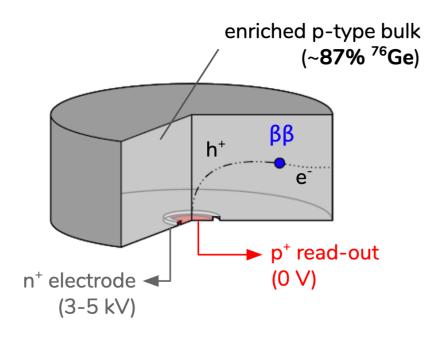
CURRENT STATUS OF THE FIELD

- No observation of this extremely rare nuclear decay (so far)
- ▶ Best lower limits on $T_{1/2}$: 1.07x10²⁶ y (¹³⁶Xe), 0.9x10²⁶ y (⁷⁶Ge), 2.7x10²⁴ y (¹³⁰Te)

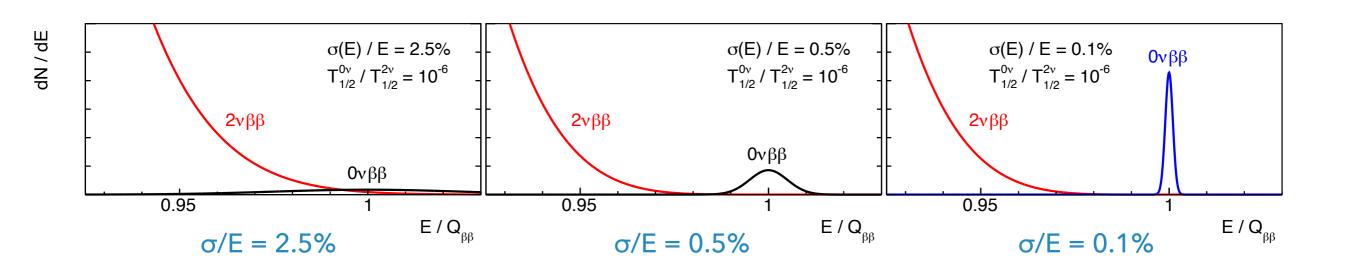
$$0.07 \text{ eV} \leq |\langle m_{\beta\beta} \rangle| \leq 0.16 \text{ eV}$$

- Running and upcoming experiments (a selection)
 - ▶ ¹³ºTe: CUORE, SNO+
 - ▶ ¹³6Xe: KAMLAND-Zen, KAMLAND2-Zen, EXO-200, nEXO, NEXT, DARWIN
 - > ⁷⁶Ge: GERDA Phase-II, Majorana, LEGEND (GERDA & Majorana + new groups)
 - ▶ ¹00Mo AMoRE, LUMINEU; 82Se: LUCIFER, CUPID = CUORE with light read-out
 - ▶ 82Se (150Nd, 48Ca): SuperNEMO

SEARCH FOR THE NEUTRINOLESS DECAY OF 76GE

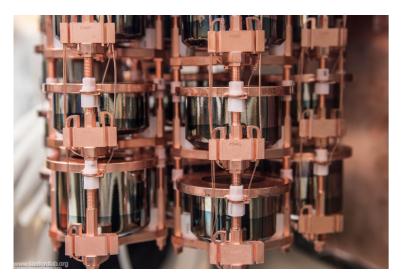


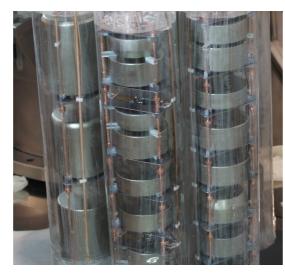
- ▶ HPGe detectors enriched in ⁷⁶Ge
 - Source = detector: high detection efficiency
 - High-purity material: no intrinsic backgrounds
 - Semiconductor: energy resolution $\sigma/E < 0.1\%$ at $Q_{\beta\beta}$ (2039.061 ± 0.007 keV)
 - \blacktriangleright High stopping power: β absorbed within O(1) mm

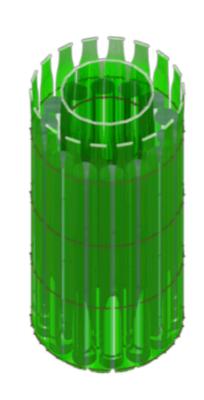




EXISTING AND FUTURE GERMANIUM EXPERIMENTS







LEGEND-1t

Goal: $T_{1/2} \sim 1 \times 10^{28}$ y (90% CL)

Location: tbd

MAJORANA at SURF

GERDA at LNGS

on Veto 29.7 kg of 88% enrighed ⁷⁶Ge crystals Lead Bricks 2.5 keV FWHM at 2039 keV

35.6 kg of 86% enriched ⁷⁶Ge crystals

3.0 keV FWHM at 2039 keV

Vacuum and 26 kg y exposure; PRL

120^{Cryogenig}58.9 kg y exposure;

(2018)published in Science 2019

 $T_{1/2} > 2.7 \times 10^{25} \text{ y (90\% CL)}$ $T_{1/2} > 0.9 \times 10^{26} \text{ y (90\% CL)}$

Cryostats

LEGEND-200 at LNGS

200 kg of ⁷⁶Ge crystals at LNGS

Goal: 1 tonne year exposure

Goal: $T_{1/2} \sim 1 \times 10^{27} \text{ y } (90\% \text{ CL})$

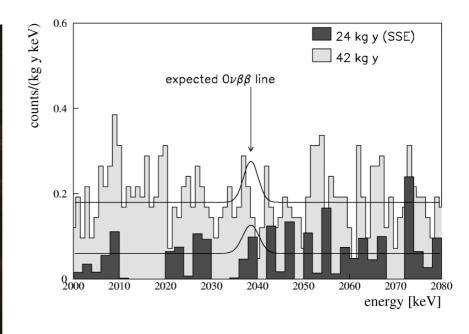
Start in 2021

THE HEIDELBERG-MOSCOW EXPERIMENT

- Detectors in conventional shield: five 76Ge detectors, mass 10.96 kg
- Concept to operate directly in cryogenic liquid: Genius now GERDA



A first "bare" HPGe detector



Limits on the Majorana neutrino mass in the 0.1 eV range, L. Baudis et al., Phys. Rev. Lett. 83, 1999

Heidelberg-Moscow detector in conventional shield

Sensitivity $T_{1/2} > 1.6 \times 10^{25} \,\mathrm{y} \,\, 90\% \,\mathrm{C.L.}$

GENIUS background and technical studies: L. Baudis et al, NIM A 426 (1999)

THE GERDA EXPERIMENT

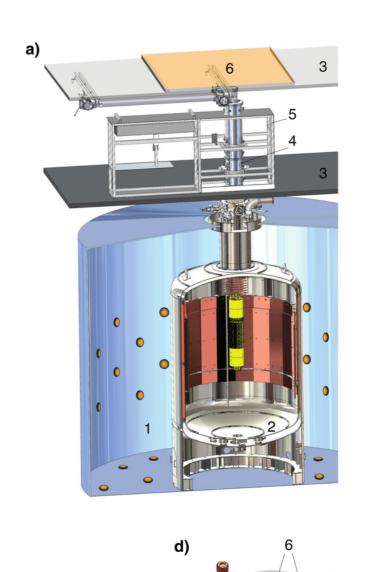
- Liquid Ar (64 m³) as cooling medium and shielding, surrounded by 590 m³ of ultrapure water as muon Cherenkov veto
- ▶ U/Th in LAr $< 7x10^{-4} \mu Bq/kg$
- A minimal amount of surrounding material

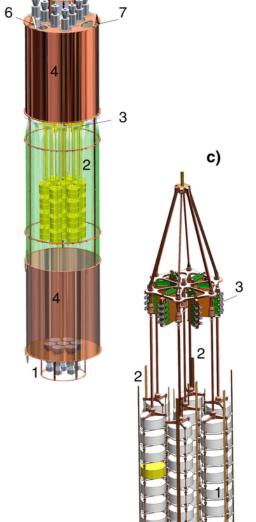
Phase I: 2011-2014

Phase II: 2015-2019

GERDA collaboration, EPJ C78 (2018) no.5

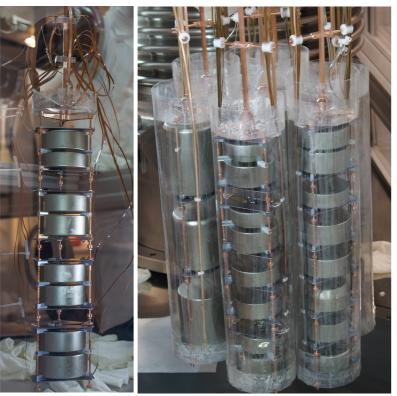
b)



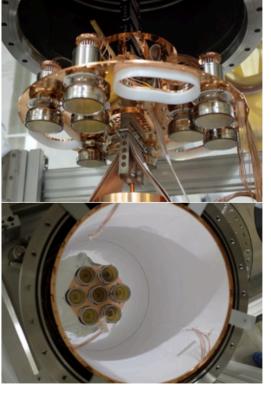


THE GERDA PHASE II PROJECT

- Seven string with 40 detectors (30 BEGe*, 7 coaxial, 3 natural coaxial -> enriched IC)
- Liquid argon veto, equipped with optical fibres and SiPMs, plus 2 arrays of 3-inch PMTs
- Science run started in December 2015
- Summer 2018: central string replaced with enriched, inverted coaxial detectors

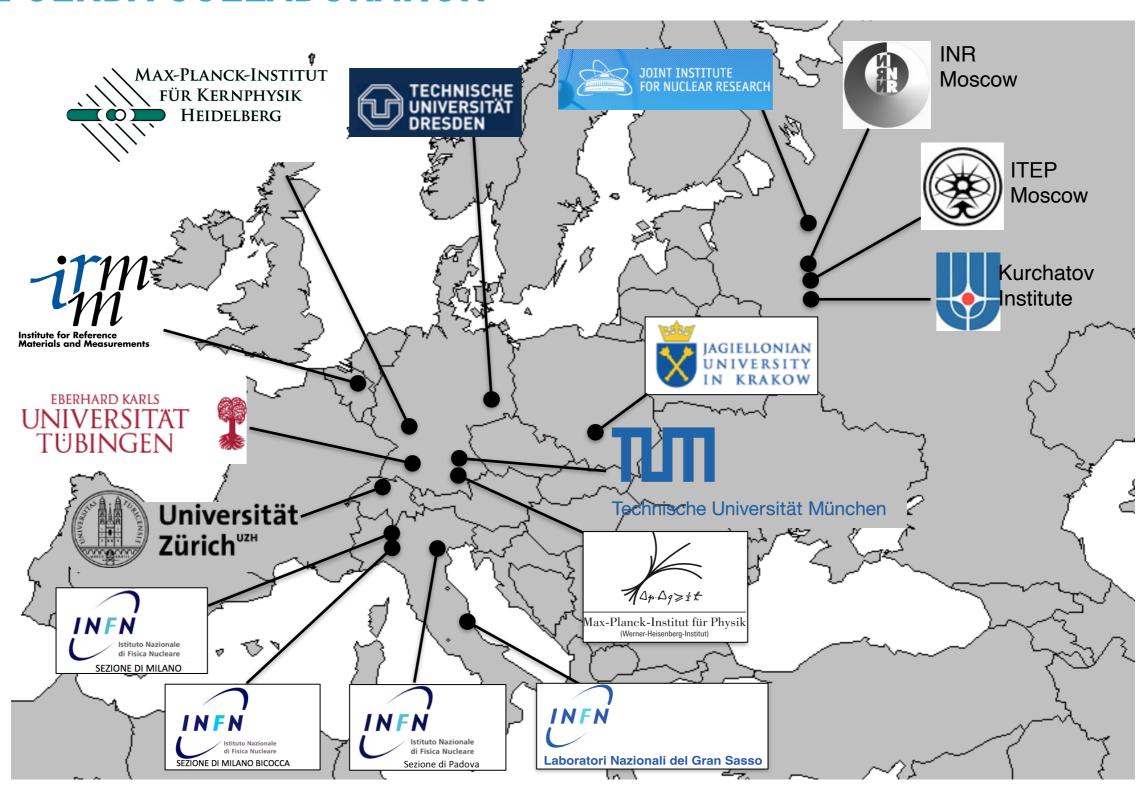






^{*} GERDA collaboration, Characterisation of 30 76Ge enriched Broad Energy Ge detectors for GERDA Phase II; arXiv:1901.0650

THE GERDA COLLABORATION



THE GERDA COLLABORATION

COLLABORATION MEETING IN ZURICH, JUNE 2019



CATA PHASE-II DETECTORS

GERDA Ge and coaxial

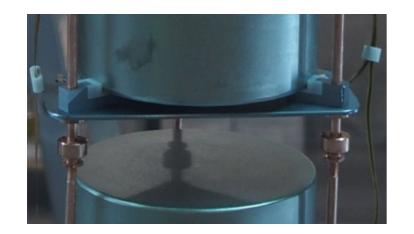
• p+ electrodes:

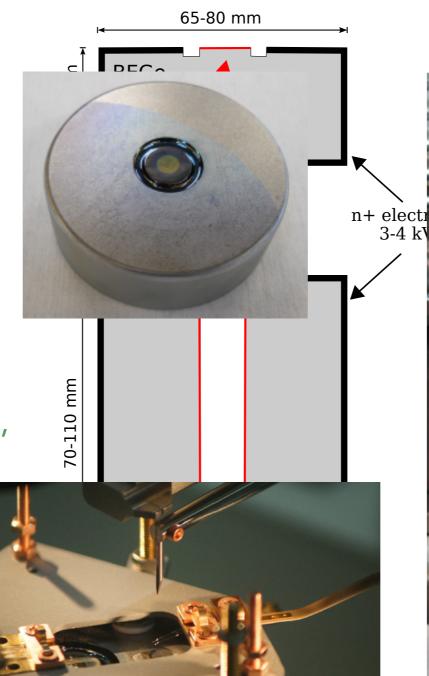
• 0.3 µm boron implantation

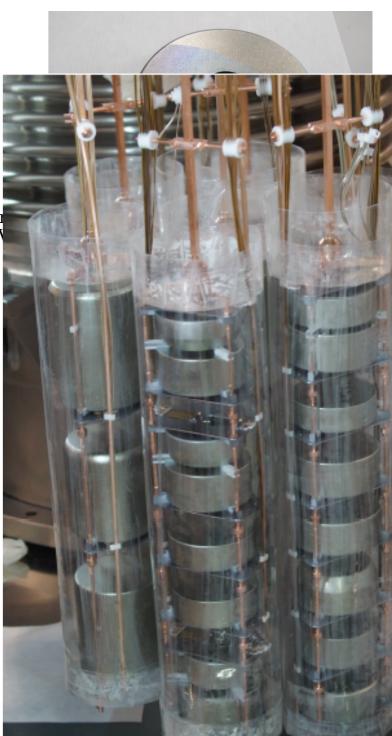
• n+ electrodes:

• 1-2 mm lithium layer (biased up to +4.5 kV)

 Low-mass detector holders (Si, Cu, PTFE)

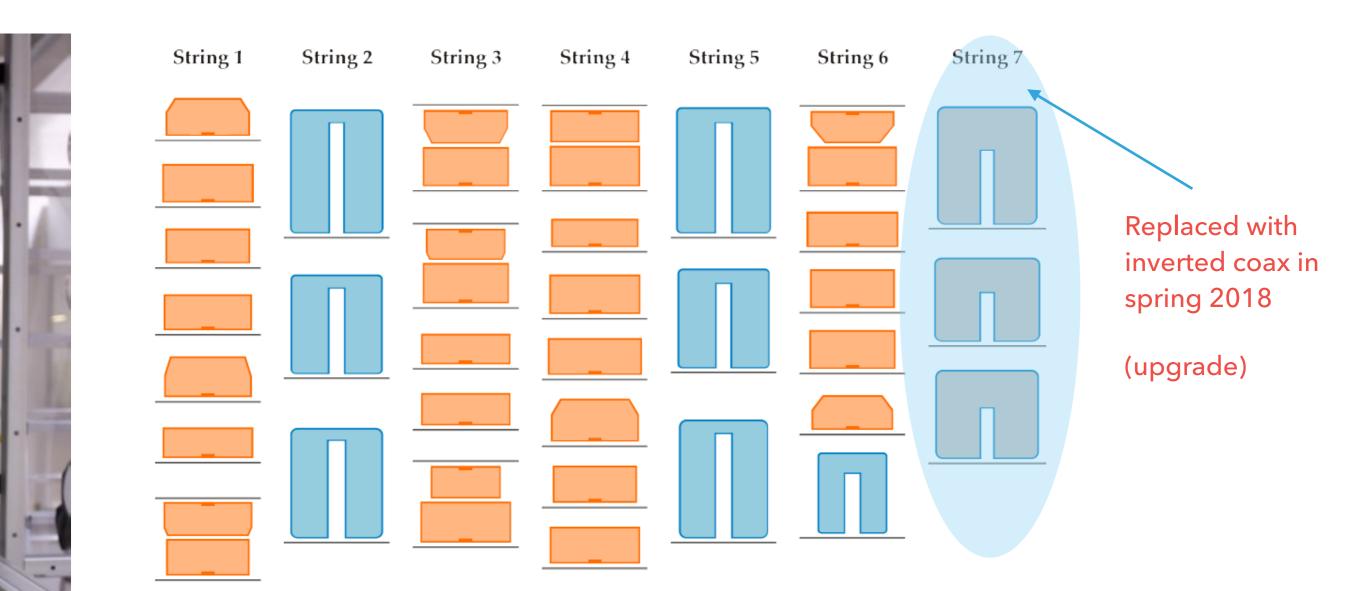






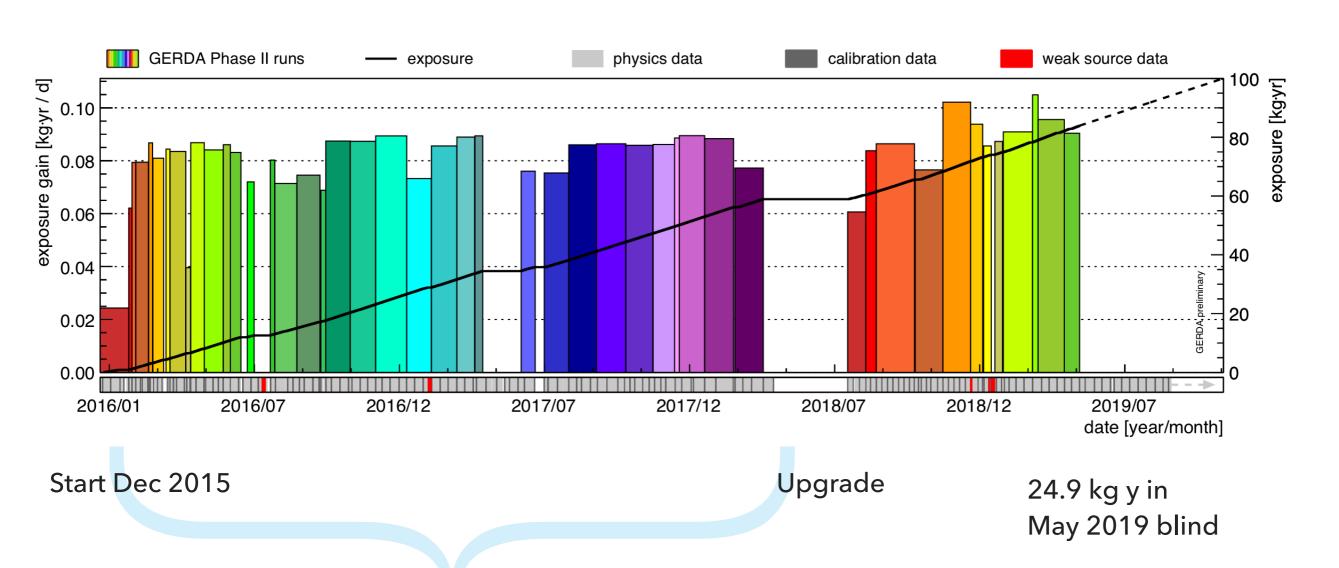
GERDA PHASE-II DETECTORS

- > 7 strings, 40 detectors in total:
 - > 7 semi-coax (15.8 kg), 30 BEGe (20 kg), 3 nat semi-coax (7.6 kg) s



PHASE II DATA TAKING

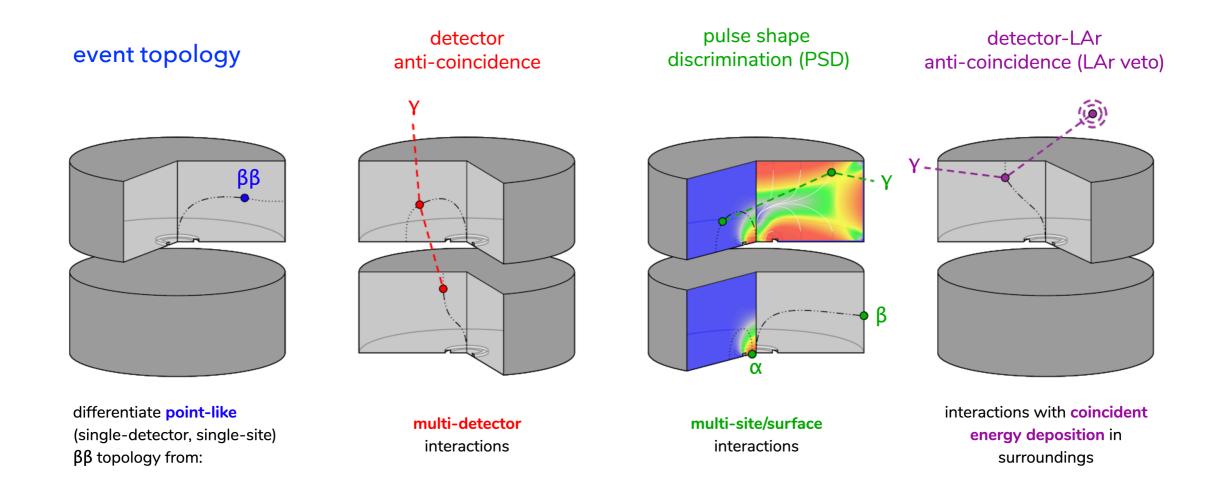
100 kg y, end 2019

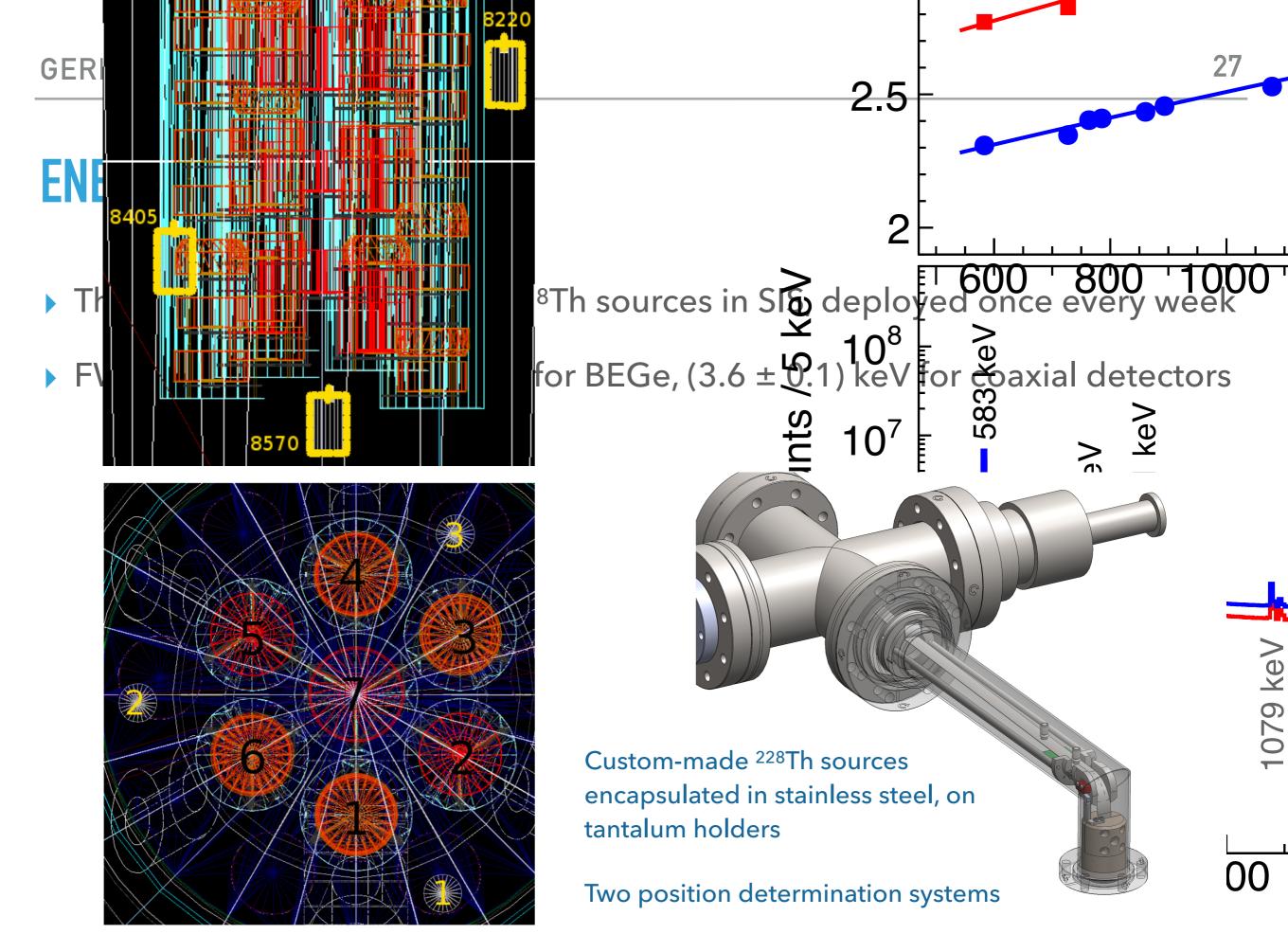


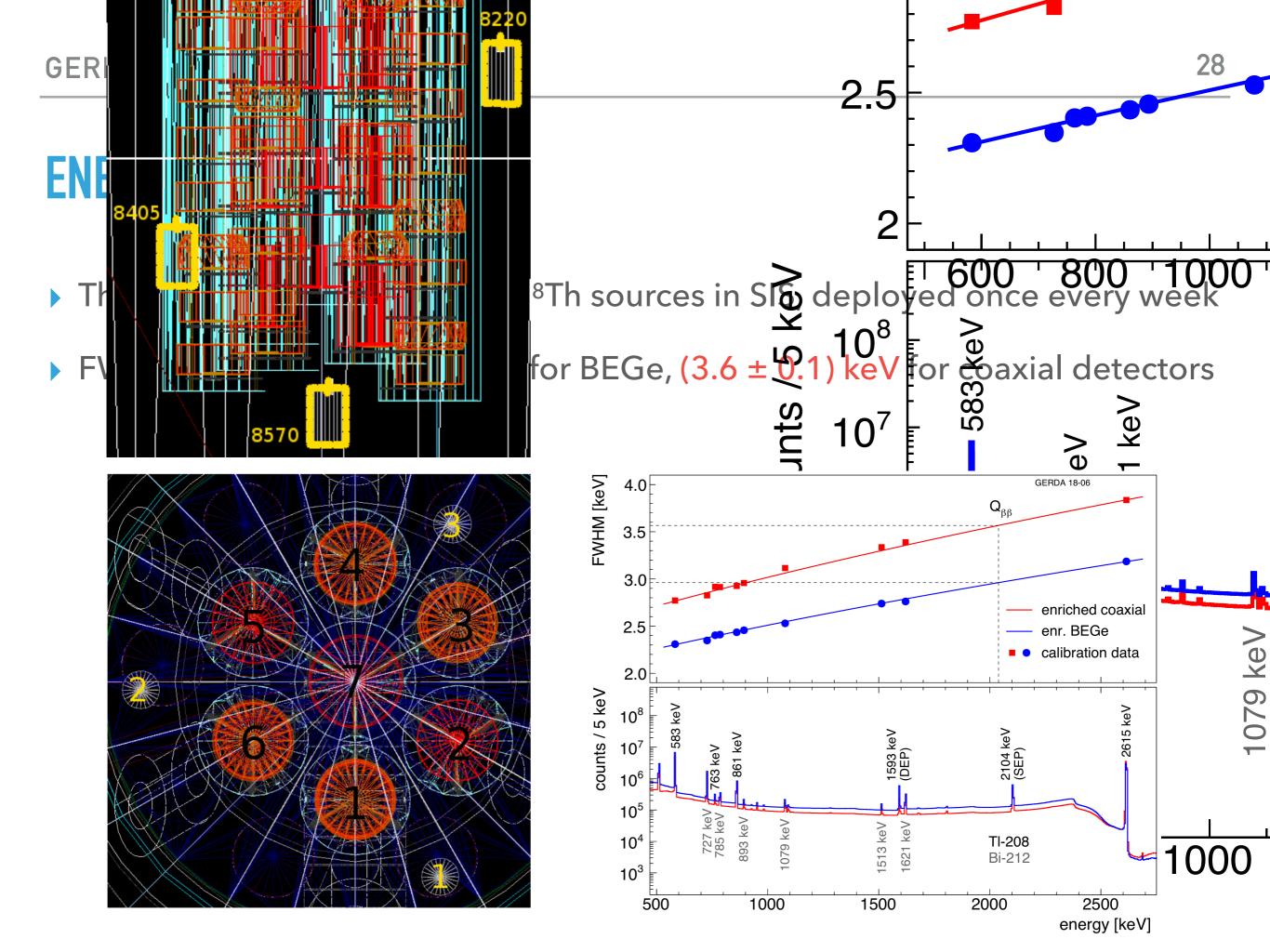
58.9 kg y unblind

BACKGROUND SUPPRESSION

 Event topology + anti-coincidence between HPGe detectors + pulse shape discrimination + liquid argon veto

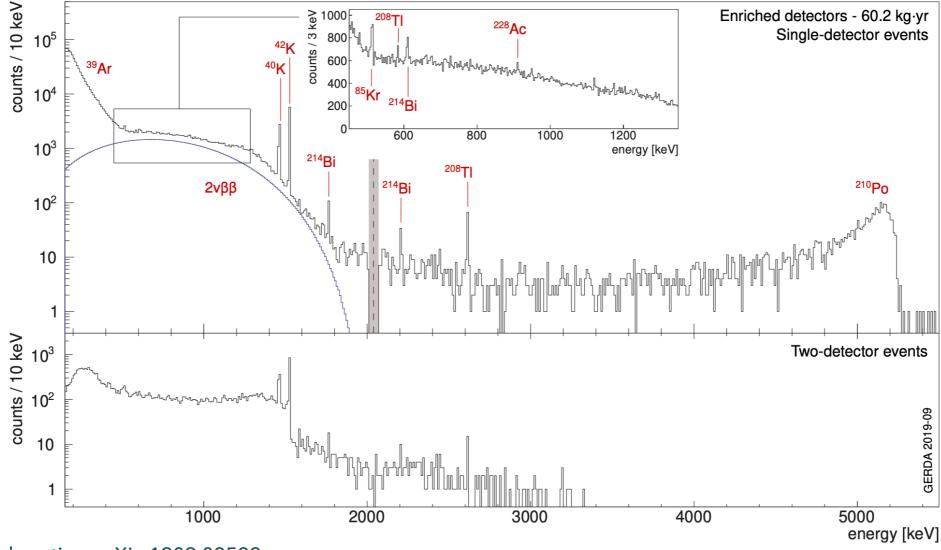






ENERGY SPECTRA

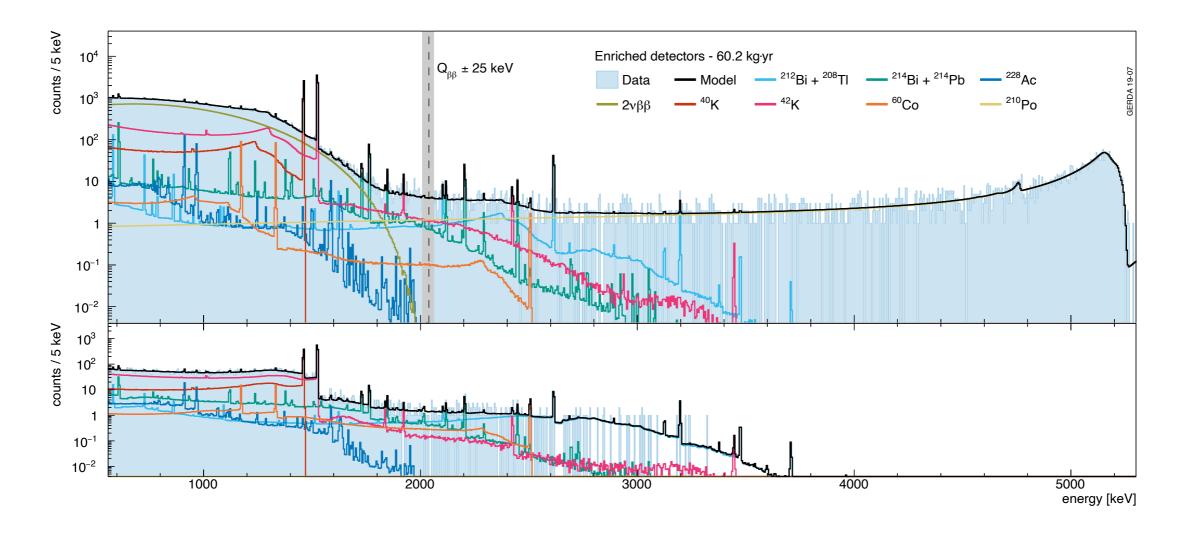
- ▶ Intrinsic $2v\beta\beta$ -events, ³⁹Ar, ⁴²Ar ($T_{1/2} = 33$ y) and ⁸⁵Kr in liquid argon
- ▶ 60Co, 40K, 232Th, 238U in materials, α-decays (210Po) on the thin p+ contact



GERDA collaboration, arXiv:1909.02522

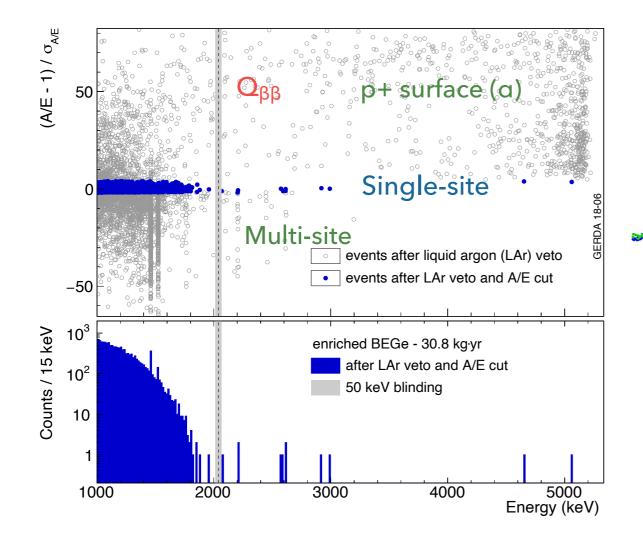
BACKGROUND MODEL

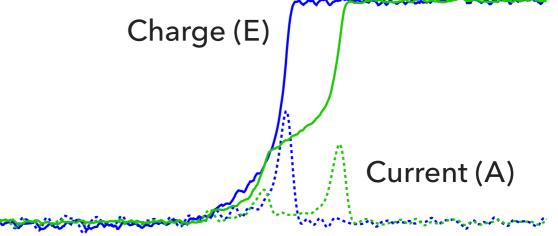
- Intrinsic $2v\beta\beta$ -events, ³⁹Ar, ⁴²Ar (T_{1/2} = 33 y) and ⁸⁵Kr in liquid argon
- ▶ ⁶⁰Co, ⁴⁰K, ²³²Th, ²³⁸U in materials, α-decays (²¹⁰Po) on the thin p+ contact



PULSE SHAPE DISCRIMINATION

- ▶ Cut based on 1 parameter: max of current pulse (A) normalised to total energy (E) (BEGe)
- ▶ Tuned on calibration data (90% ²⁰⁸TI DEP acceptance)
- Acceptance at 0vββ: (87.6±2.5)%





PSD parameter: $(A/E - 1)/\sigma_{A/E}$

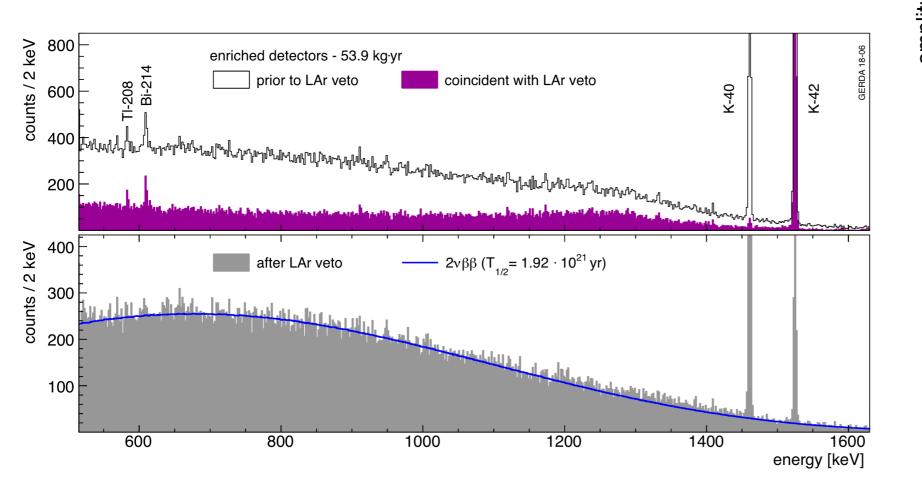
Mean and resolution corrected for E-dependance

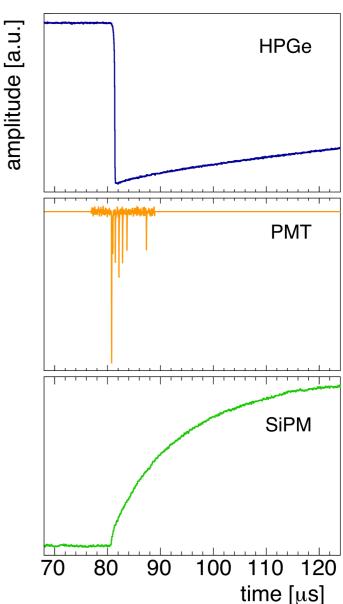
A/E normalised to 1

Accept events around (A/E -1)/ $\sigma_{A/E} = 0$

LIQUID ARGON VETO

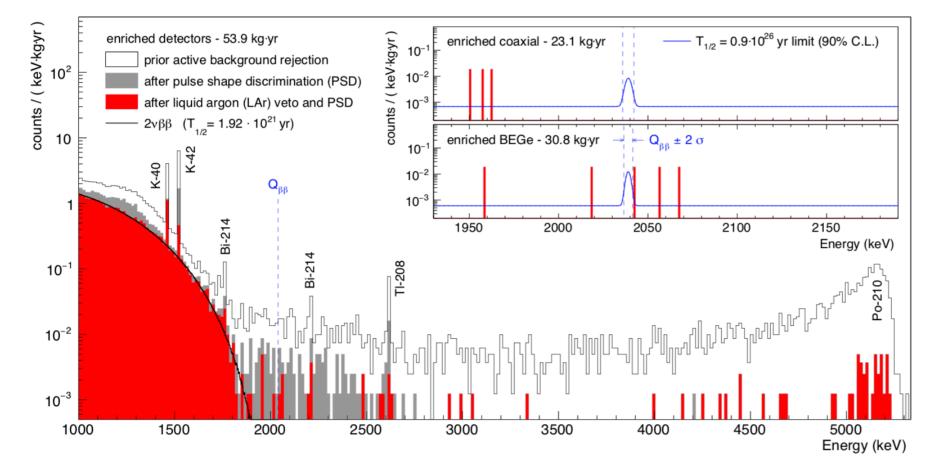
- Anti-coincidence with signals in PMTs and SiPMs (0.5 p.e. threshold)
- Acceptance at $0v\beta\beta$: (97.7±0.1)%





DOUBLE BETA DECAY RESULTS

- Measured $T_{1/2}$ of the 2vββ-decay: $1.92 \times 10^{21} \text{ y}$
- LAr veto: factor 5 background suppression at 1525 keV (42K line)
- ▶ Background level: 5.6 x 10⁻⁴ events/(keV kg y) in 230 keV window around Q-value



New constraints on the $0v\beta\beta$ -decay of ^{76}Ge

$$T_{1/2}^{0\nu} > 0.9 \times 10^{26} \,\mathrm{y} \,(90\% \mathrm{C.L.})$$

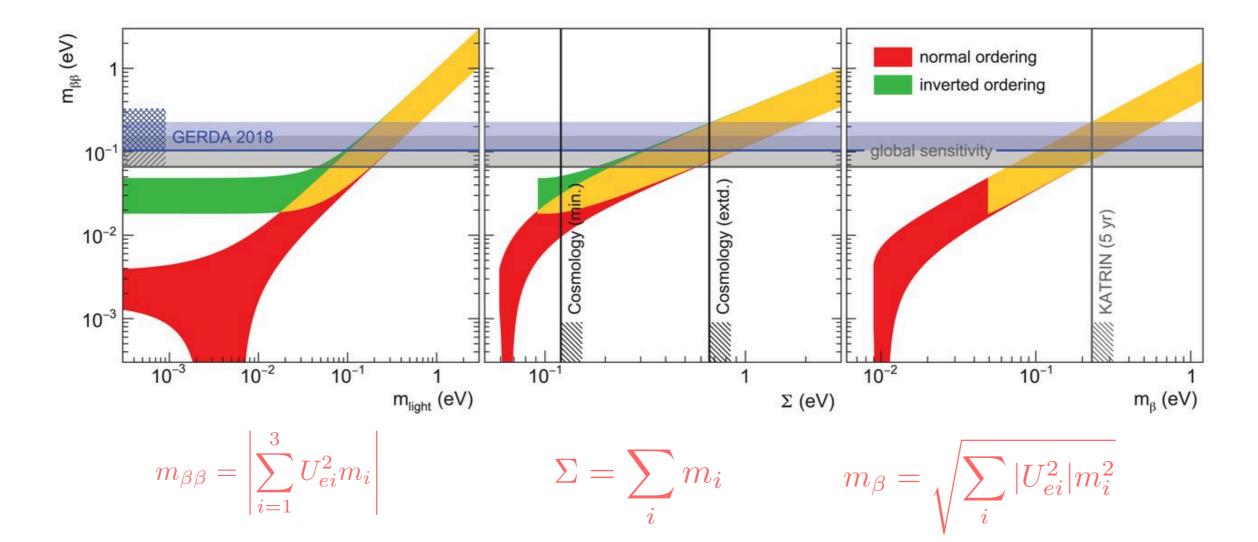
$$m_{\beta\beta} < 0.11 - 0.26 \,\text{eV} \,(90\%\text{C.L.})$$

Median sensitivity

$$T_{1/2}^{0\nu} > 1.1 \times 10^{26} \,\mathrm{y} \,\,(90\% \mathrm{C.L.})$$

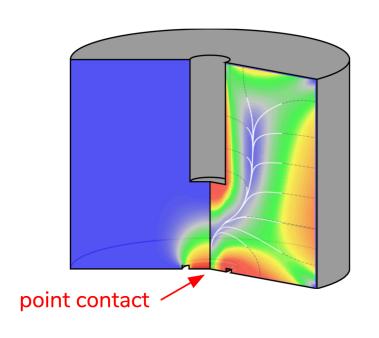
MASS OBSERVABLES

- \blacktriangleright Constraints in the $m_{\beta\beta}$ parameters space in the 3 light v scenario
- GERDA + leading experiments in the field

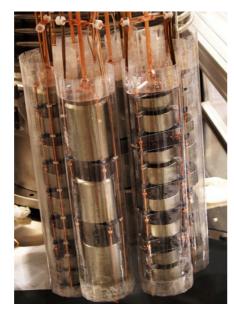


UPGRADE: INVERTED COAXIAL DETECTORS

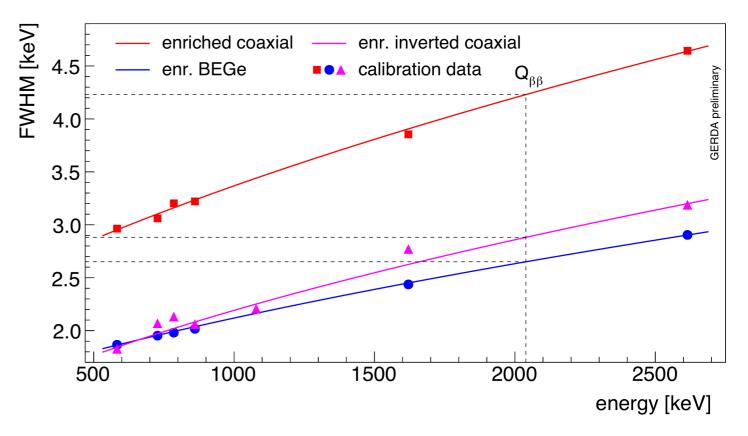
- Large point-contact detectors with ~ 3 kg mass, excellent PSD performance
- First 5 enriched IC detectors installed in spring 2018; baseline for LEGEND



R.J Cooper et al., NIM A 665 (2011) 25



Detector mass increase: 35.6 kg -> 44.2 kg



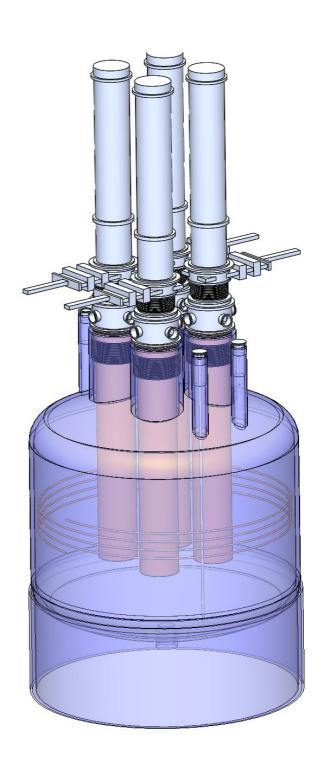
FWHM at $Q_{\beta\beta}$ [keV]: 4.2±0.1 coax; 2.7 ± 0.1 BEGe; 2.9±0.1 IC



Large Enriched Germanium Experiment for Neutrinoless ββ Decay

THE LEGEND EXPERIMENT

- Large enriched germanium experiment for neutrinoless double beta decay
- Collaboration formed in October 2016
- 2019 members, 48 institutions, 16 countries
 - LEGEND-200: 200 kg in existing (upgraded) infrastructure at LNGS
 - Background goal: 0.6 events/(FWHM t y)
 - LEGEND-1t: 1000 kg, staged
 - Background goal: 0.1 events/(FWHM t y)



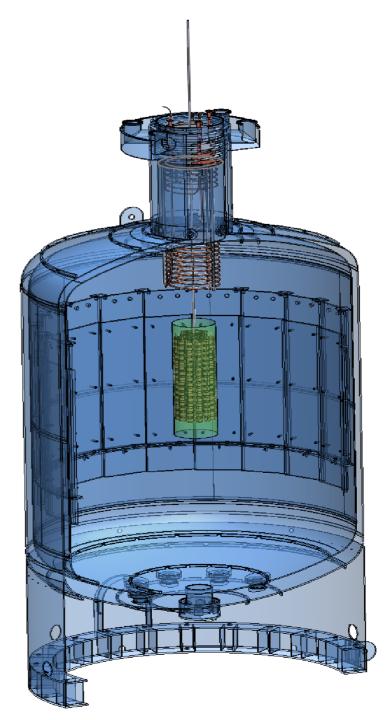


Large Enriched Germanium Experiment for Neutrinoless ββ Decay

LEGEND-200

- 200 kg HPGe in existing (upgraded) infrastructure at LNGS
- Ge detectors from Majorana & GERDA & new inverted coaxials
- Background reduction: factor 5
 compared to GERDA (reduce ⁴²K, ²¹⁴Bi,
 ²⁰⁸Tl background)
- Discovery sensitivity:

$$T_{1/2}^{0\nu} > 10^{27} \,\mathrm{y}$$

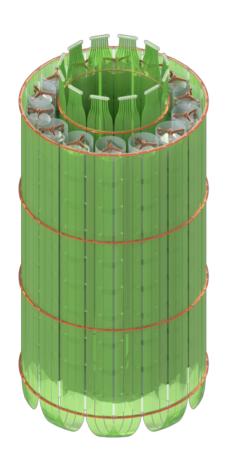


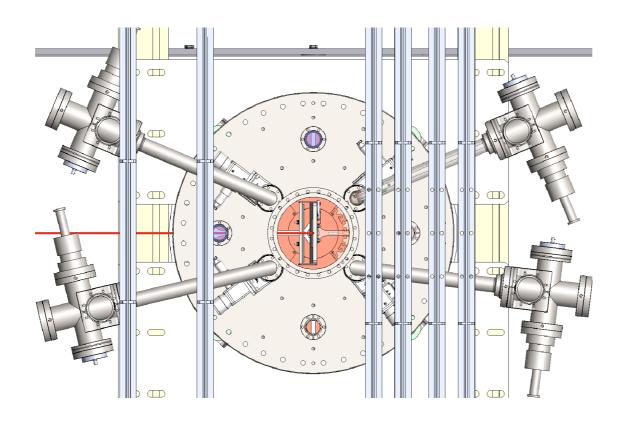


LEGEND-200

Large Enriched Germanium Experiment for Neutrinoless ββ Decay

- Existing GERDA infrastructure sufficient (800 mm cryostat neck)
- New lock system, new cabling & feedthroughs
- ▶ 19 string, 4 calibration systems with multiple ²²⁸Th sources

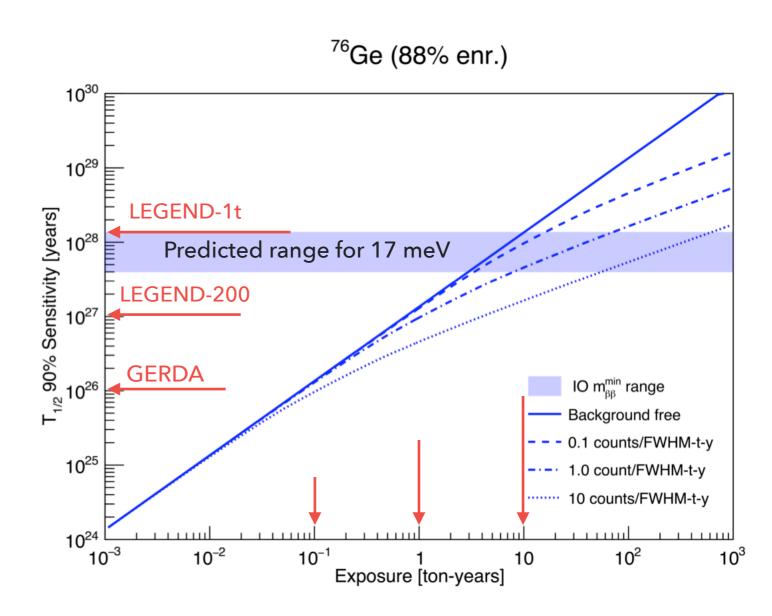






EXPECTED SENSITIVITY

- ▶ LEGEND-200: 10²⁷y
- ▶ LEGEND-1t: 10²⁸ y
- $m_{\beta\beta} = 17 \text{ meV}$ (for worst case ME = 3.5)

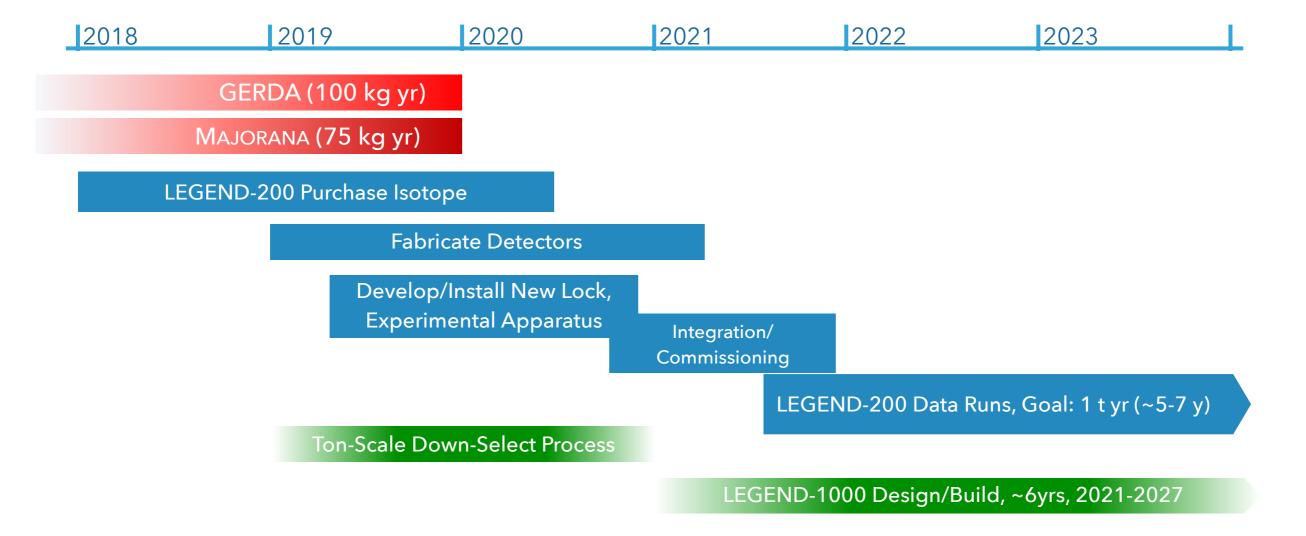


Background

GERDA: 3 events/(ROI t y) LEGEND-200: 0.6 events/(ROI t y)

LEGEND-1t: 0.1/(ROIty)

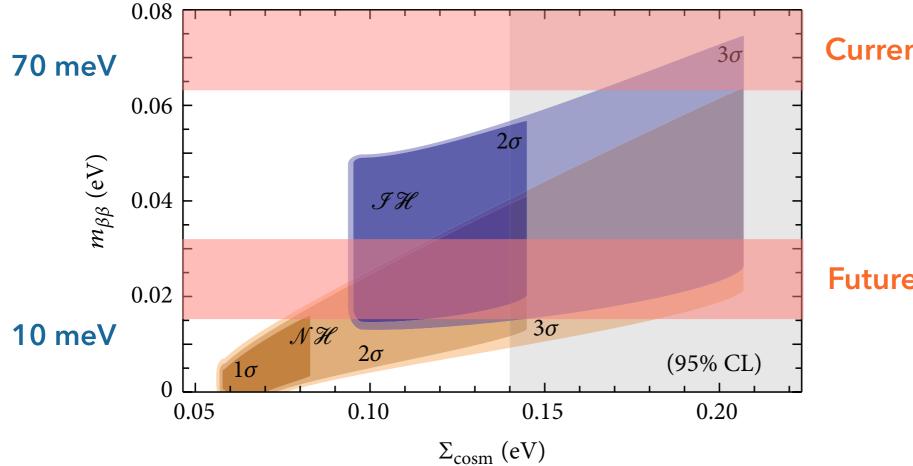
TIME SCALE



Earliest LEGEND-1t Data Start: 2025/6

SUMMARY

- Ton-scale experiments are required to probe the IMO scenario
- ▶ ⁷⁶Ge experiments: excellent resolution and very low background levels
- ▶ GERDA will reach 100 kg y by the end of 2019
- ▶ LEGEND-200 on track to start in 2021; LEGEND-1t being designed



Current experiments

Future, ton-scale experiments

OF COURSE, "THE PROBABILITY OF SUCCESS IS DIFFICULT TO ESTIMATE, BUT IF WE NEVER SEARCH, THE CHANCE OF SUCCESS IS ZERO"

G. Cocconi & P. Morrison, Nature, 1959

ADDITIONAL MATERIAL

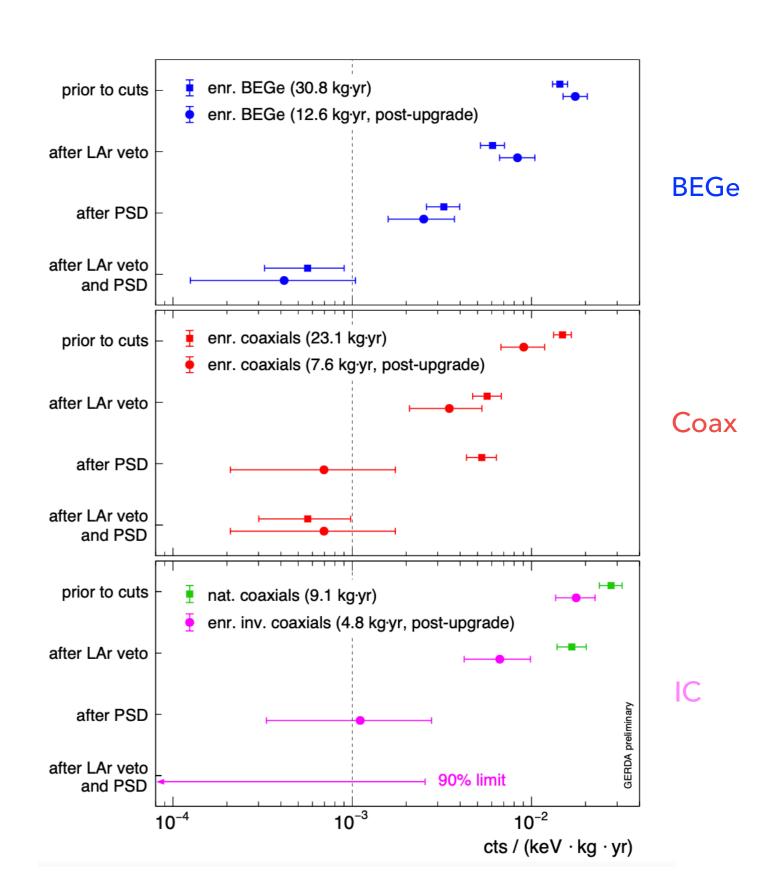
GERDA AND OTHER EXPERIMENTS

Table 1. Comparison of present and prior experiments. Lower half-life limits $L(T_{1/2})$ and sensitivities $S(T_{1/2})$, both at 90% C.L., reported by recent Ovββ decay searches with indicated deployed isotope masses M_i and FWHM energy resolutions. Sensitivities $S(T_{1/2})$ have been converted into upper limits of effective Majorana masses $m_{ββ}$ using the nuclear matrix elements quoted in (20).

Experiment	Isotope	M _i (kmol)	FWHM (keV)	$L(T_{1/2})$ (10 ²⁵ years)	$S(T_{1/2})$ (10 ²⁵ years)	m_{etaeta} (meV)
GERDA (this work)	⁷⁶ Ge	0.41	3.3	9	11	104 to 228
MAJORANA (27)	⁷⁶ Ge	0.34	2.5	2.7	4.8	157 to 346
CUPID-0 (28)	⁸² Se	0.063	23	0.24	0.23	394 to 810
CUORE (29)	¹³⁰ Te	1.59	7.4	1.5	0.7	162 to 757
EXO-200 (30)	¹³⁶ Xe	1.04	71	1.8	3.7	93 to 287
KamLAND-Zen (21)	¹³⁶ Xe	2.52	270	10.7	5.6	76 to 234
Combined						66 to 155

GERDA BACKGROUNDS

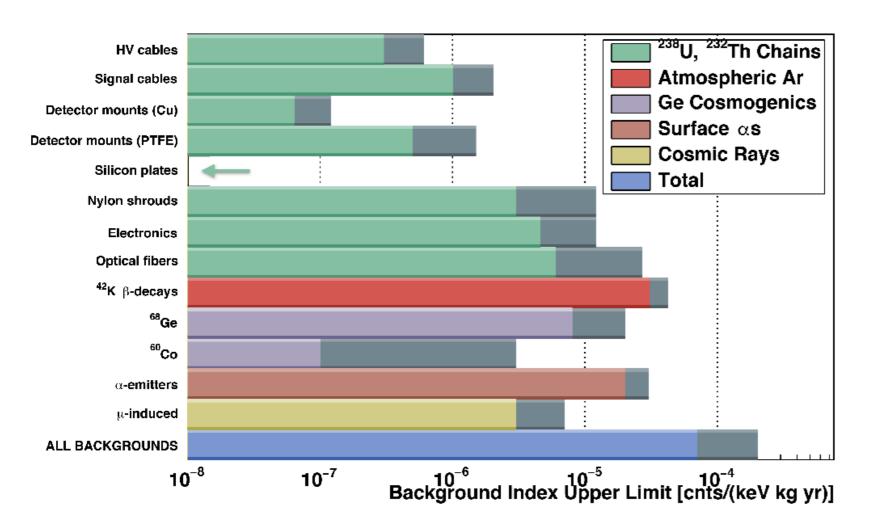
Background levels
 in the 3 detector
 types before & after
 various cuts



GERMANIUM DETECTOR PRODUCTION

- ▶ GeO₂ material from Urenco and ECP
- ▶ Reduction/refinement processing at PPM; diode fabrication: Mirion & Ortec
- Detector type: p-type IC detectors [R.J. Cooper et al., NIM A 665 (2011)] Li outer electrode, B implantation for p+ contact
 - Large active mass up to 3 kg
 - Excellent pulse shape discrimination performance
 - Lower surface to volume ratio
 - Reduced background due to lower number of channels per mass of 76Ge
 - Production started early 2019, ~60 detectors expected by fall 2021

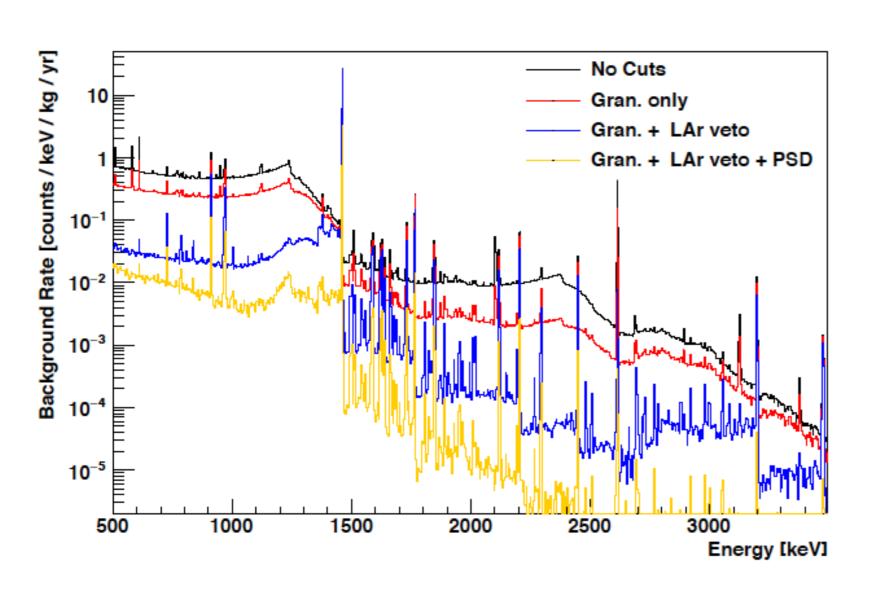
BACKGROUND EXPECTATION



Monte Carlo simulations based on experimental data and material assays. Background rate after anticoin., PSD, LAr veto cuts.

Assay limits correspond to the 90% CL upper limit. Grey bands indicate uncertainties in overall background rejection efficiency

BACKGROUND EXPECTATION



Monte Carlo simulations based on experimental data and material assays. Background rate after anticoin., PSD, LAr veto cuts.

Assay limits correspond to the 90% CL upper limit. Grey bands indicate uncertainties in overall background rejection efficiency

 Q_{BB} BI \leq (0.7-2.)x10⁻⁴ events/(keV kg yr) = 0.2-0.5 events/(FWHM t yr)

BACKGROUND GOAL

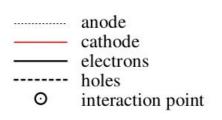
- ▶ LEGEND-200 background: ~ equal contributions of U/Th, 42 Ar, surface α before analysis cuts
- ▶ LEGEND-1000: background lower by ~ x6 than LEGEND-200.
- U/Th: reduced by optimising array spacing, minimising opaque materials, larger detectors, better light collection, cleaner materials, improved active suppression
- ▶ ⁴²Ar: eliminated by using underground sourced Ar
- Surface α : reduced by improved process control (hypothesis Rn in air at detector fabrication facility)
- Larger detectors have a better surface to volume ratio
- ▶ Higher isotope fraction is now cost effective.

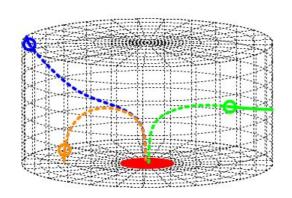
GERDA PHASE-II DETECTORS

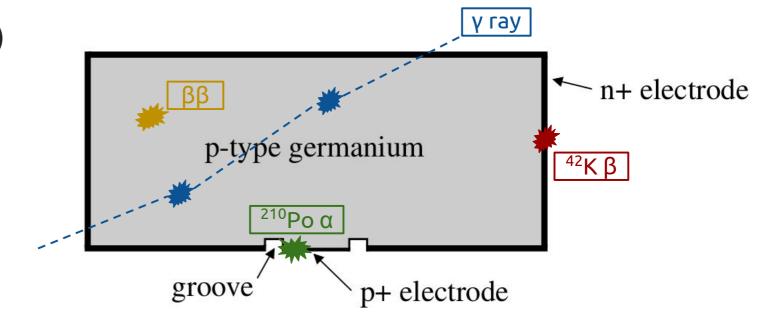
BEGe detectors IC detectors cylindrical conical 3. double conical H1 p+ contact n+ contact active volume p+ ~60 mm 2. D2 p-type HPGe H1 D1 ~80 mm n+ DL TL AV**FCCD** ε=1 0<ε<1 p+ ~60 mm p-type HPGe ~80 mm

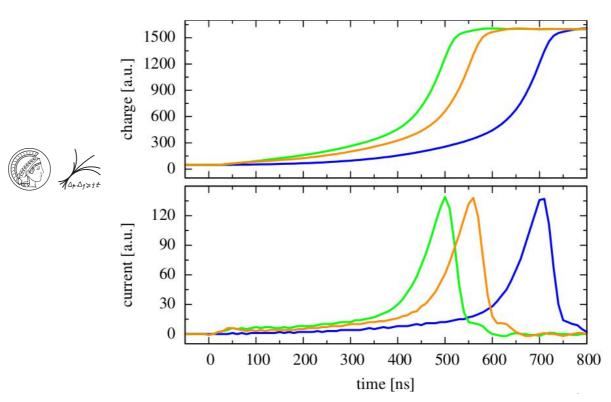
GERDA PULSE SHAPE DISCRIMINATION

- Signal-like: Single Site Events (SSE)
- Background-like: Multiple Site Events (MSE)
- BEGe detectors: E-field and weighting potential has special shape: pulse-height nearly independent of position



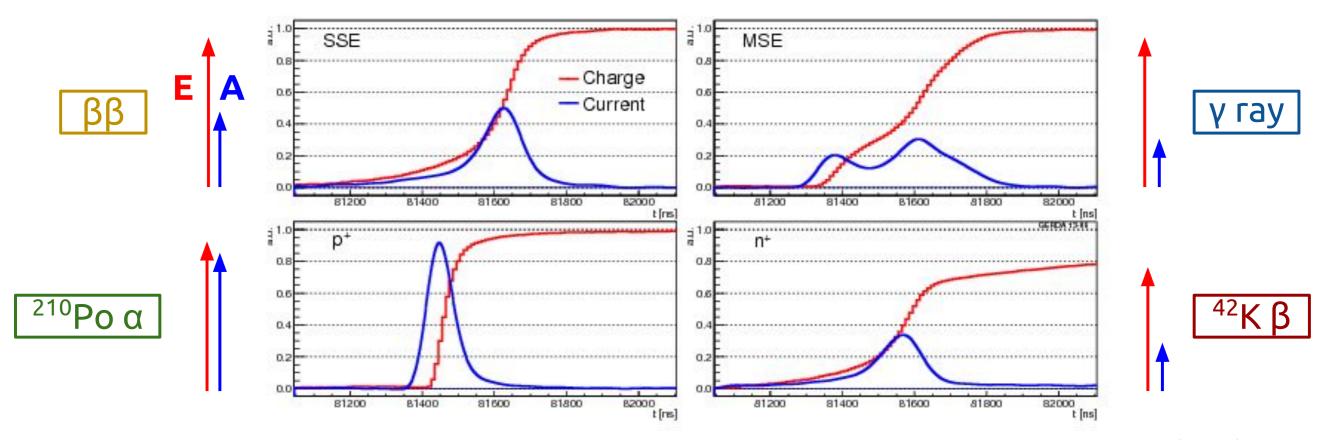






GERDA PULSE SHAPE DISCRIMINATION

- A/E: amplitude of the current pulse over energy
- Multiple energy depositions: multiple peaks in current pulse => decreasing A/E
- p+ surface events: shorter signals => higher A/E



COSMOGENIC ACTIVATION FOR LEGEND

- > ⁷⁷Ge production: n-capture by ⁷⁶Ge
- 77 Ge: $T_{1/2} = 11.3$ h; $Q_{β} = 2.7$ MeV
- 77m Ge: $T_{1/2}$ = 53 s; Q_{β} = 2.86 MeV