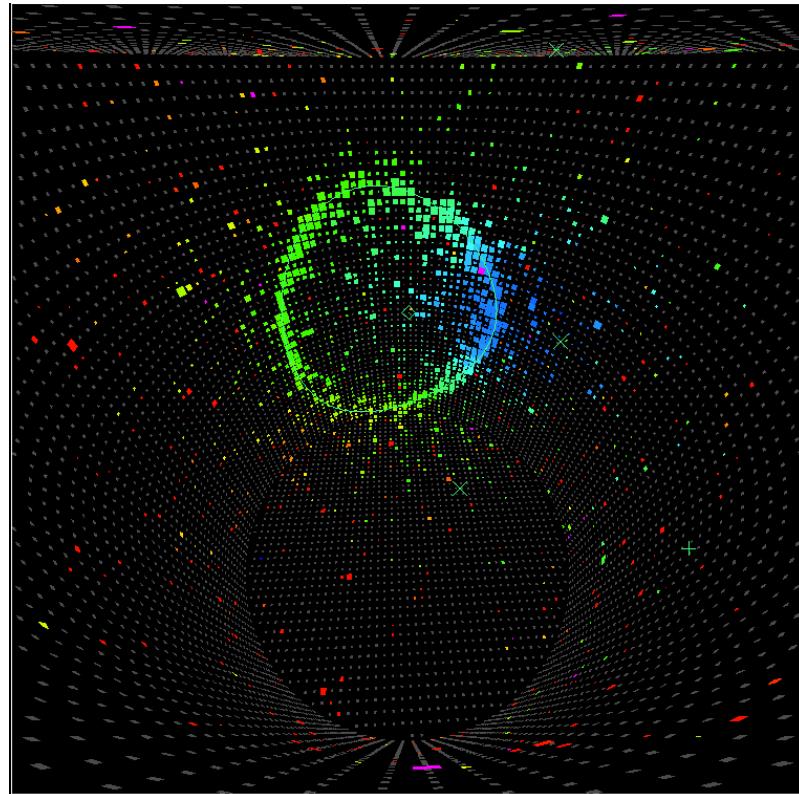


Latest results from T2K



Shoei Nakayama (ICRR)

for the T2K collaboration

August 24, 2012
@ ICRR seminar

Introduction

Neutrino oscillation

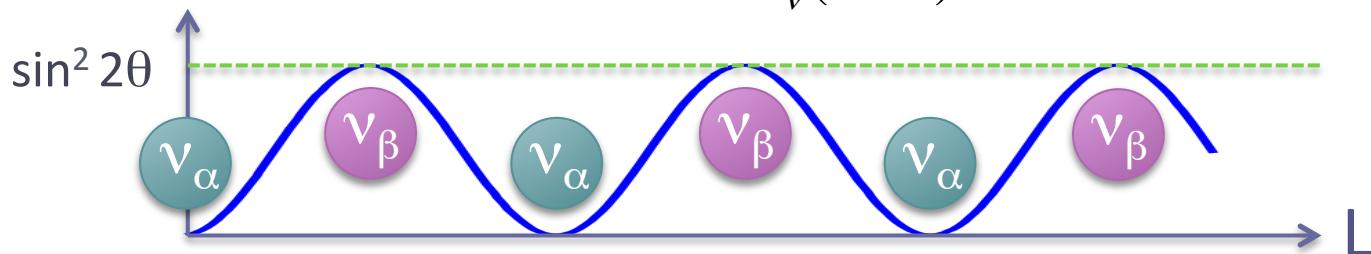
- Flavor eigenstate $(\nu_e, \nu_\mu, \nu_\tau) \neq$ Mass eigenstate (ν_1, ν_2, ν_3)

$$\begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

Two-flavor case
 α, β = Flavor states
1, 2 = Mass states

- Probability that a neutrino originally generated as ν_α will later be observed as ν_β after traveling a distance of L :

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2(2\theta) \sin^2\left(\frac{1.27 \Delta m^2 (eV^2) L(km)}{E_\nu(GeV)}\right) \quad \Delta m^2 = m_2^2 - m_1^2$$



ν oscillation experiments

$\left\{ \begin{array}{l} \text{measure the disappearance of } \nu_\alpha \\ \text{measure the appearance of } \nu_\beta \end{array} \right.$

Neutrino flavor detection

(in case of interactions with a nucleon)

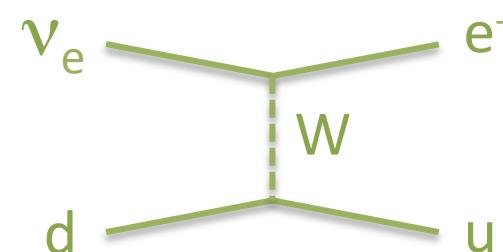
□ Charged Current (CC) interaction

ex.) CC quasi-elastic scattering (CCQE)

$$\nu_e + n \rightarrow e^- + p$$

$$\nu_\mu + n \rightarrow \mu^- + p$$

Charged lepton w/ the same flavor



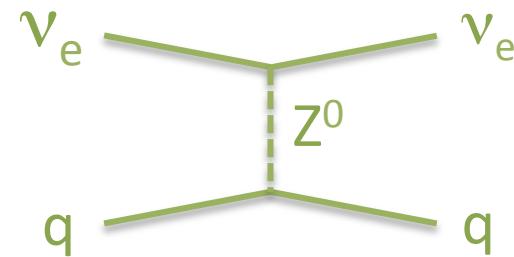
□ Neutral Current (NC) interaction

ex.) NC elastic scattering

$$\nu_e + p \rightarrow \nu_e + p$$

$$\nu_\mu + p \rightarrow \nu_\mu + p$$

No difference in the visible particles



Identification of the outgoing lepton from CC interactions
→ Flavor of the parent neutrino

Three flavor neutrino mixing

Situation before T2K

$$s_{ij} = \sin \theta_{ij}, c_{ij} = \cos \theta_{ij}$$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

ignoring
Majorana phases

6 neutrino oscillation parameters

3 mixing angles (θ_{12} , θ_{23} , θ_{13}) + 1 CP phase (δ)

+ 2 mass differences (Δm^2_{21} , Δm^2_{32})

$$\theta_{23} \sim 45^\circ$$

$$|\Delta m^2_{32}| \sim 2.5 \times 10^{-3} (\text{eV}^2)$$

atmospheric/accelerator ν

$$\theta_{13} < 11^\circ$$

$$(\sin^2 2\theta_{13} < 0.15)$$

reactor/accelerator ν

$$\theta_{12} \sim 34^\circ$$

$$\Delta m^2_{21} \sim 8 \times 10^{-5} (\text{eV}^2)$$

solar/reactor ν

Only upper limit on θ_{13} ($\theta_{13}=0?$ or $\neq 0?$)

→ Non-zero θ_{13} hunting around the world

θ_{13} measurements (other than solar- ν and atm- ν)

□ Reactor neutrino experiments : $\bar{\nu}_e$ disappearance

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \approx 1 - \underline{\sin^2(2\theta_{13})} \sin^2\left(\frac{1.27\Delta m_{31}^2 L(m)}{E_\nu(MeV)}\right)$$

pure θ_{13} measurement

□ Accelerator neutrino experiments : ν_e appearance

$$P(\nu_\mu \rightarrow \nu_e) \approx \underline{\sin^2(2\theta_{13})} \sin^2 \theta_{23} \sin^2\left(\frac{1.27\Delta m_{31}^2 L(km)}{E_\nu(GeV)}\right)$$

leading term

sub-leading terms
 $\delta \rightarrow -\delta$
 $a \rightarrow -a$

$$\left[\begin{array}{ll} + & 8C_{13}^2 S_{12} S_{13} S_{23} (C_{12} C_{23} \cos\delta - S_{12} S_{13} S_{23}) \cos\Phi_{32} \cdot \sin\Phi_{31} \cdot \sin\Phi_{21} & \text{CPC} \\ - & 8C_{13}^2 C_{12} C_{23} S_{12} S_{13} S_{23} \sin\delta \sin\Phi_{32} \cdot \sin\Phi_{31} \cdot \sin\Phi_{21} & \text{CPV} \\ + & 4S_{12}^2 C_{13}^2 (C_{12}^2 C_{23}^2 + S_{12}^2 S_{23}^2 S_{13}^2 - 2C_{12} C_{23} S_{12} S_{23} S_{13} \cos\delta) \sin^2\Phi_{21} & \text{solar} \\ - & 8C_{13}^2 S_{13}^2 S_{23}^2 (1 - 2S_{13}^2 \frac{aL}{4E_\nu} \cos\Phi_{32} \sin\Phi_{31}) & \text{matter effect} \end{array} \right]$$

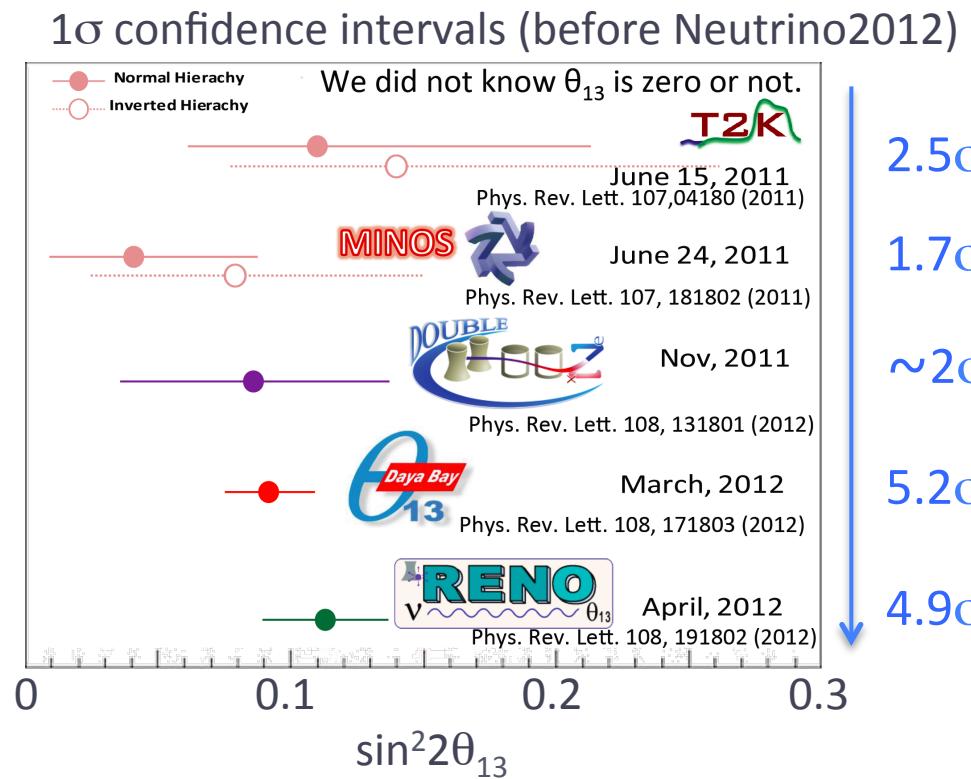
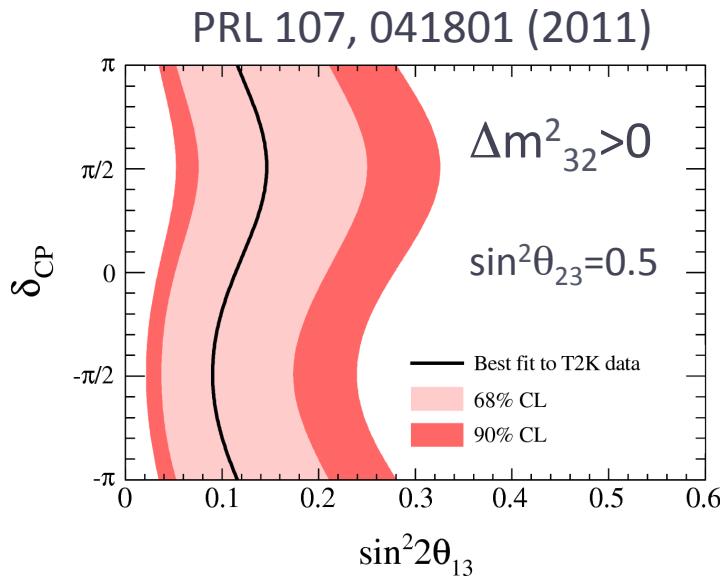
for $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

ν_e appearance : sensitive to δ and the mass hierarchy

→ Non-zero θ_{13} opens the possibility to probe
the CP violation in the lepton sector !

Breakthrough of non-zero θ_{13} search (2011~)

- In 2011 June, T2K reported the first indication of $\theta_{13} \neq 0$ (2.5σ) using the data before the earthquake.

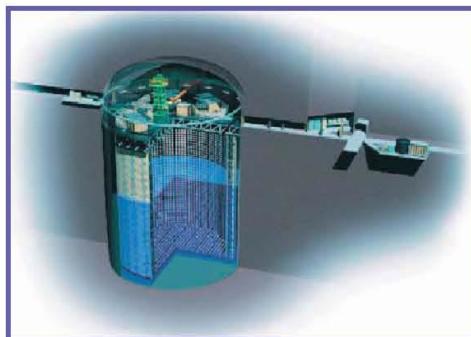


- In 2012, solid confirmation by reactor experiments.

This talk : Updated ν_e appearance analysis using the full T2K data set

T2K experiment

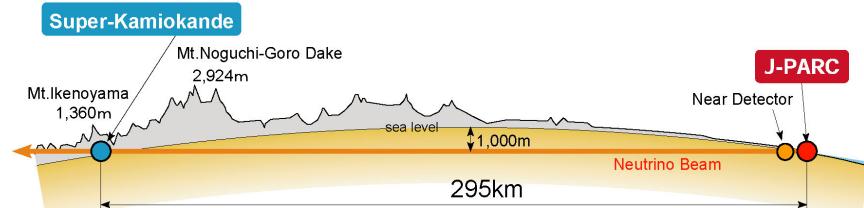
T2K (Tokai-to-Kamioka) experiment



Super-Kamiokande
(ICRR, Univ. Tokyo)

abbreviations :

SK, Super-K



J-PARC Main Ring
(KEK-JAEA, Tokai)



Main goals

- Discovery of ν_e appearance ($\nu_\mu \rightarrow \nu_e$ oscillation)
 - Measure θ_{13}
- Precision measurement of ν_μ disappearance
 - $\delta(\Delta m^2_{23}) \sim 1 \times 10^{-4} \text{ eV}^2$, $\delta(\sin^2 2\theta_{23}) \sim 0.01$

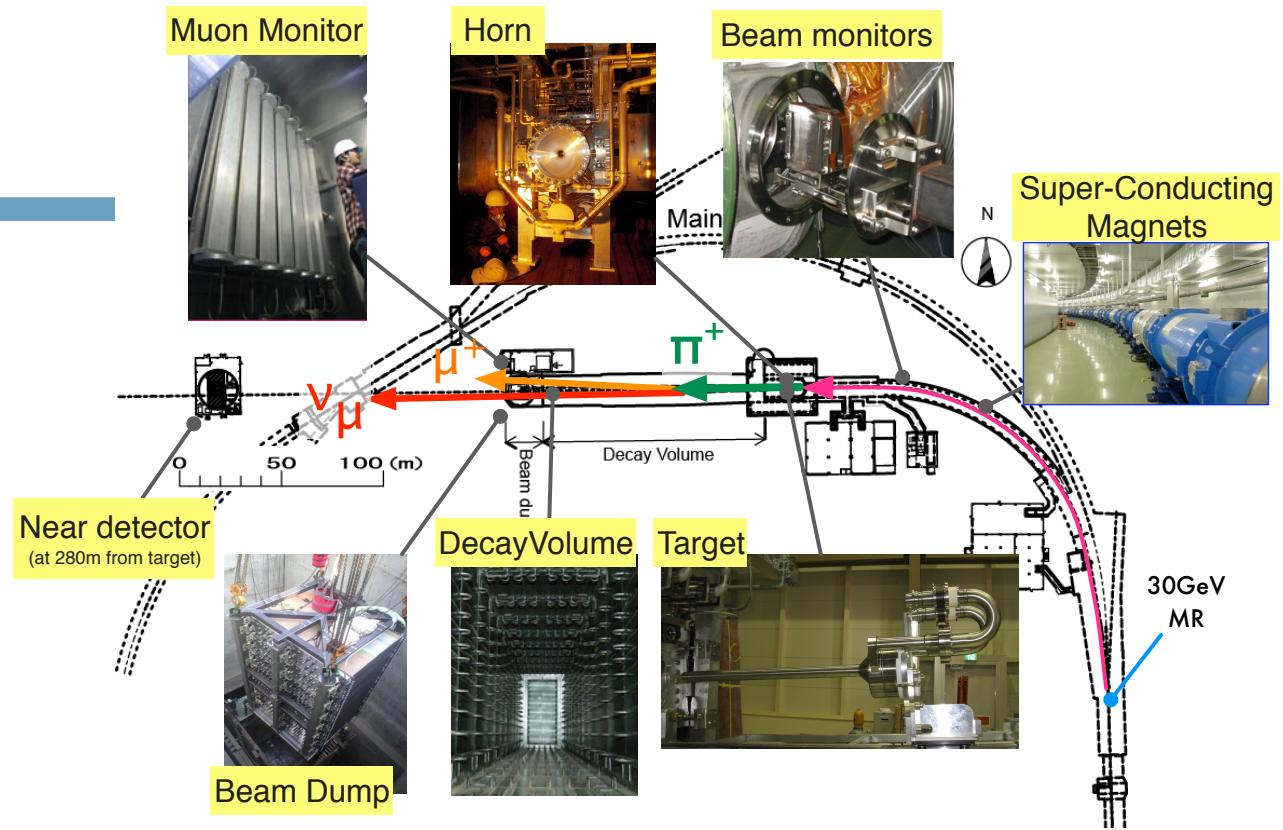
T2K Collaboration



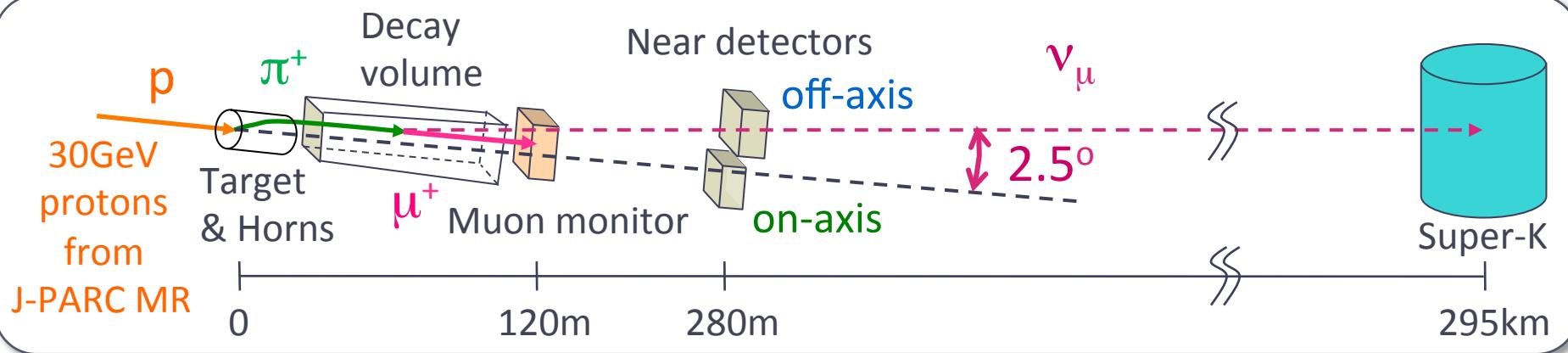
International collaboration
(~500 members from 12 countries)



Beam-line



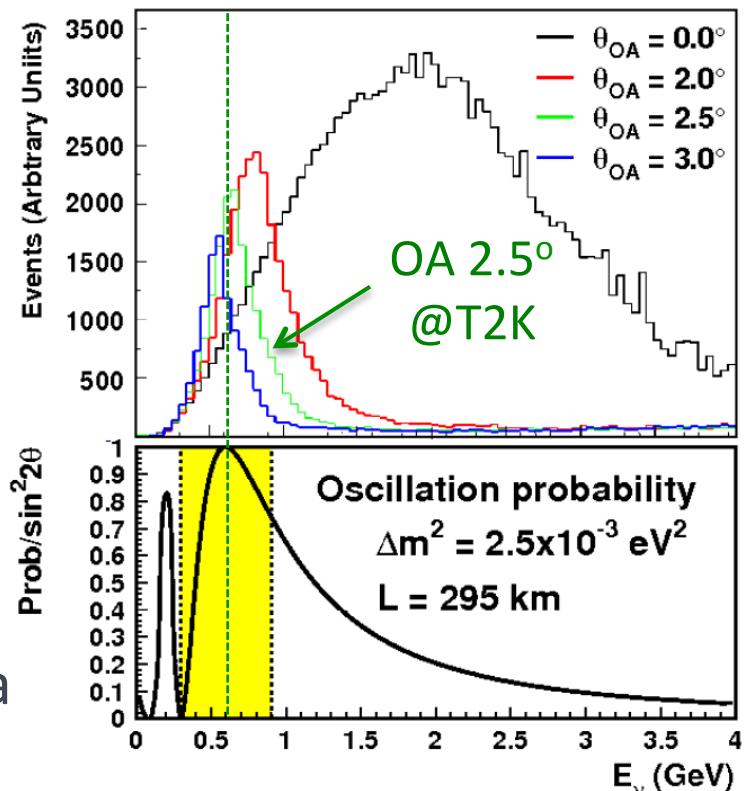
- 30GeV $\sim 10^{14}$ protons extracted every 2.5~3sec. Spill duration $\sim 5\mu\text{sec}$.
- Proton beam impinges the graphite target ($\phi 26\text{mm} \times 914\text{mm}$).
- Secondary π^+ (and K^+) focused by 3 magnetic horns (250kA).
- 96m long decay volume.
 - v_μ mostly from $\pi^+ \rightarrow \mu^+ + v_\mu$ (v_e in the beam from μ and K decay)
- Muon monitors : beam direction and intensity, spill-by-spill.



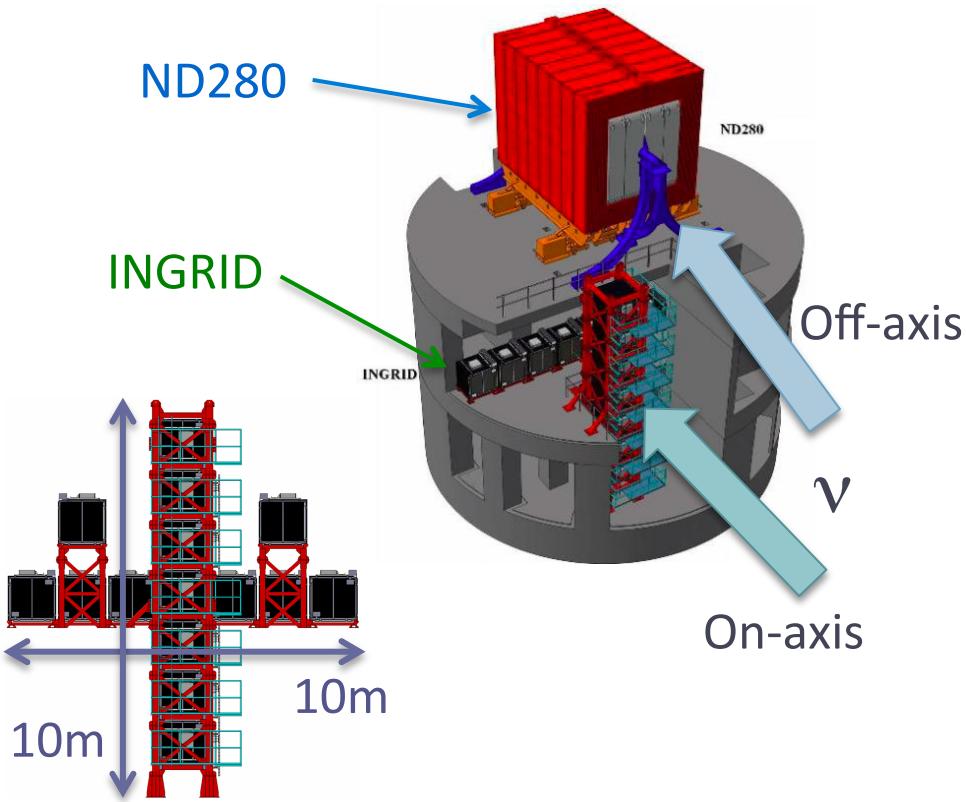
Off-axis neutrino beam

(Ref. : BNL-E889 proposal)

- Intense, low energy narrow-band
- E_ν peak tuned at oscillation maximum ($\sim 0.6\text{GeV}$)
- Small high energy tail, which creates background events
- T2K : 1st experiment to use this idea
- Important to keep the beam direction stable (1mrad direction shift $\rightarrow 2\% E_\nu$ shift at peak)

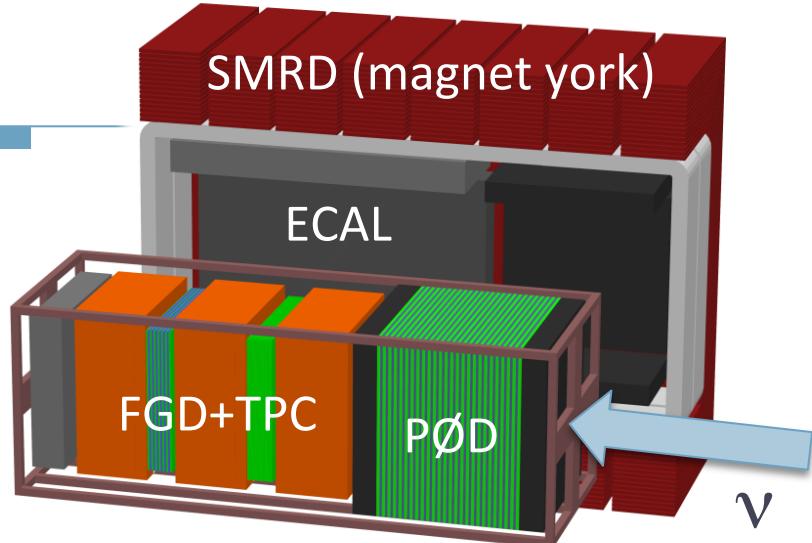


Near neutrino detectors (@280m downstream)



On-axis detector (INGRID)

- direct ν beam day-by-day monitoring (direction, intensity and profile)
- 16 cubic modules. Sandwich of iron plates and scintillator planes

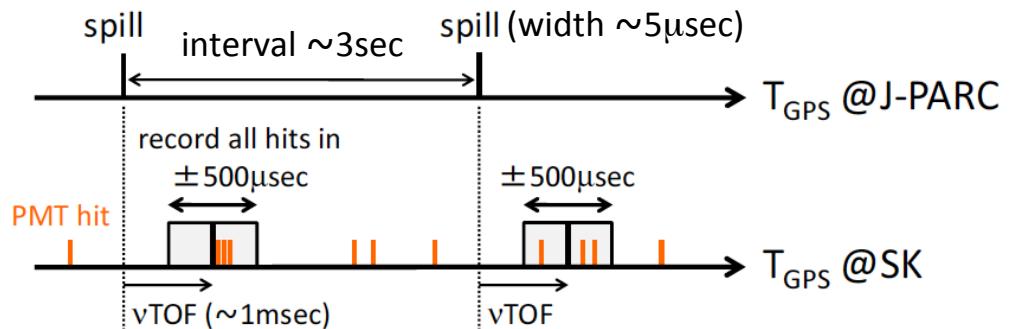
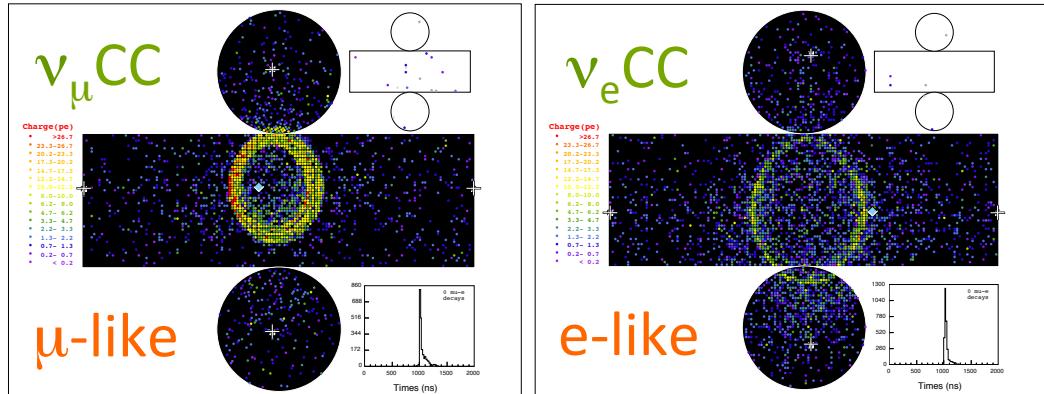
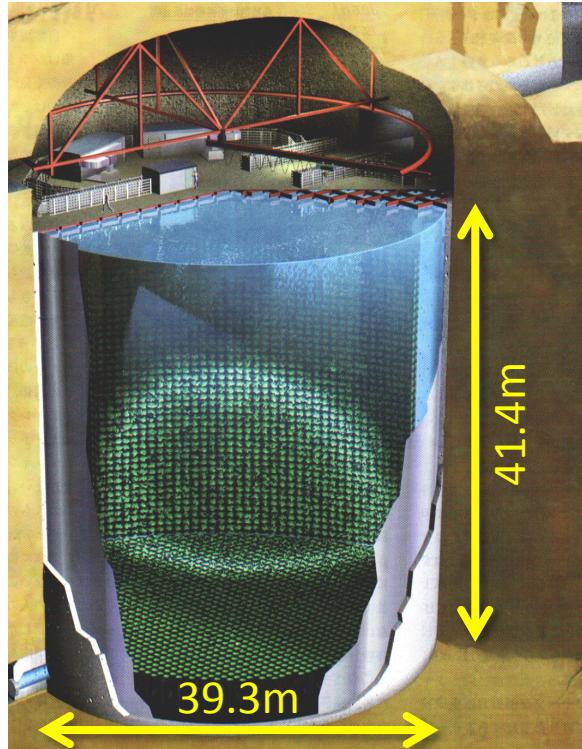


Off-axis detector (ND280)

- measures ν flux/spectrum before oscillations @ 2.5° off-axis angle
- 0.2T dipole magnet
- Fine Grained Detectors (FGDs) x2
1.6ton fiducial mass target + tracking
- Time Projection Chambers (TPCs) x3
PID by dE/dx in gas, resolution <10%
- PØD (π^0 detector)
- ECAL (Electromagnetic calorimeters)
- SMRD (Side Muon Range Detector)

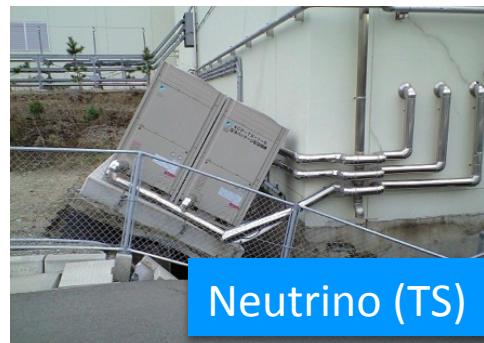
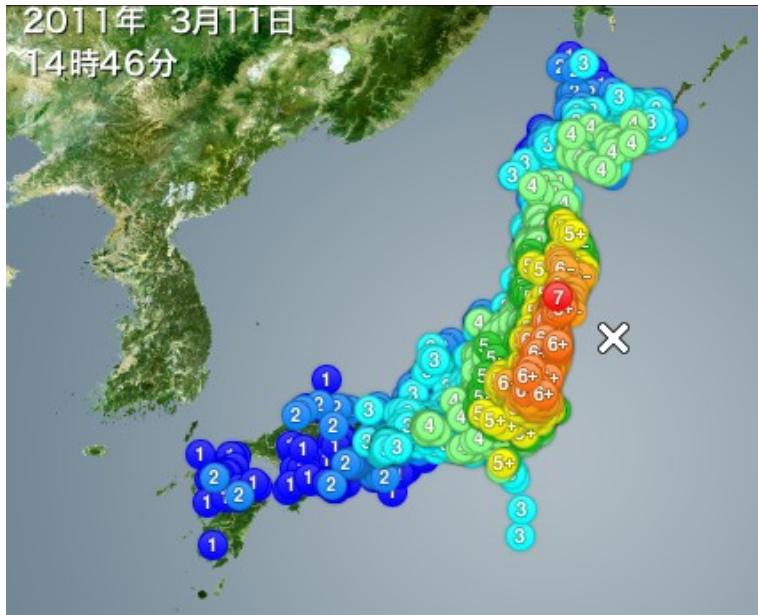
Far neutrino detector : Super-Kamiokande (@295km from J-PARC)

©Scientific American



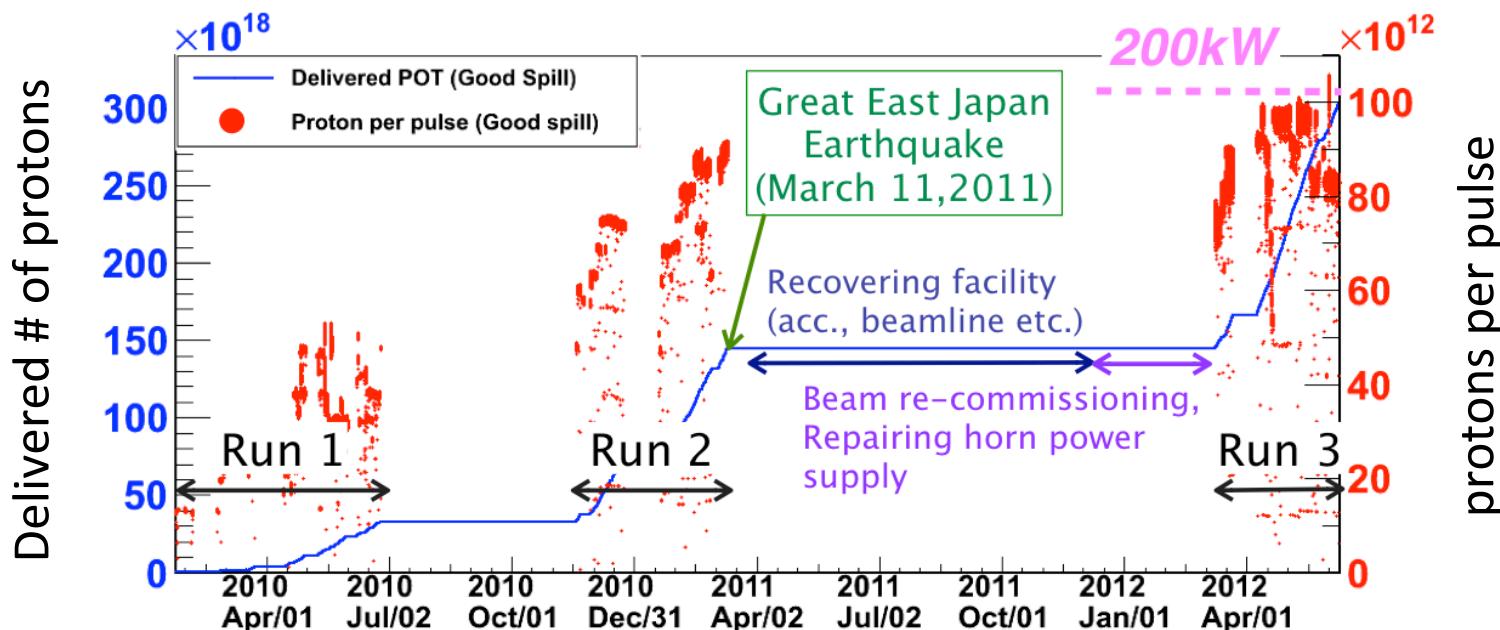
- Water Cherenkov detector, 1000m underground, 22.5kton fiducial mass
- Excellent μ/e PID using ring-shape & opening angle (mis-ID probability $\sim 1\%$)
- T2K: recording PMT hits within $\pm 500\mu\text{sec}$ of beam arrival time using GPS
- Atmospheric ν samples to study detector performance

Recovery after the 3.11 earthquake



- December 9, 2011 : J-PARC LINAC operation restarted.
- December 24, 2011 : Neutrino events observed at T2K ND280.
- March 8, 2012 : T2K physics run restarted within 1 year after the earthquake.

Data collected and analyzed

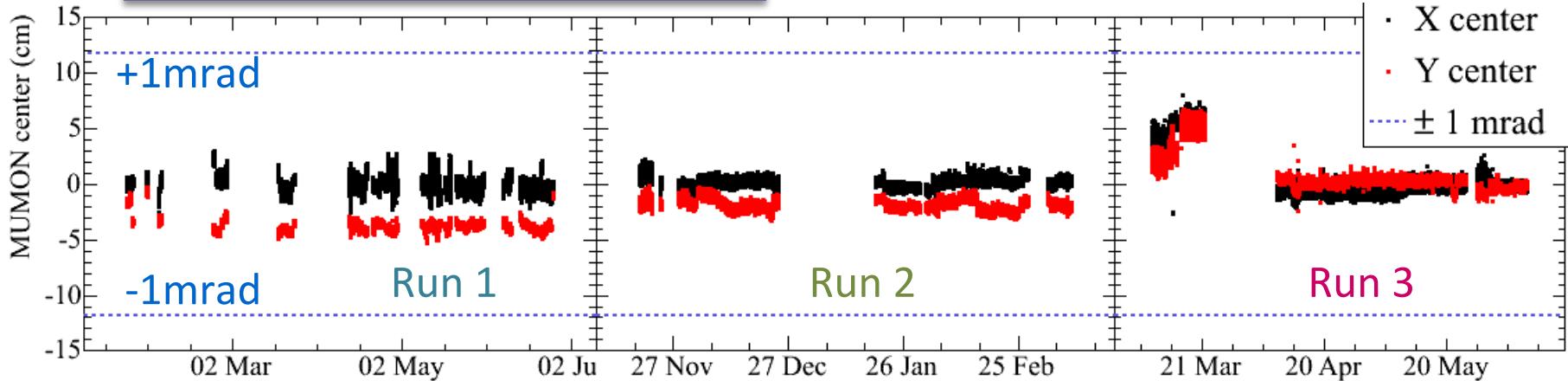


- Run 1+2 (2010-2011) : 1.43×10^{20} p.o.t. → data set for the published results
 - ND280 Run1+2 data is used for oscillation analysis shown today
- Run 3 (2012) : 1.58×10^{20} p.o.t.
 - including 0.21×10^{20} p.o.t. with 200kA horn operation (13% flux reduction @peak)
 - ND280 Run3 data is checked and consistent with Run1+2

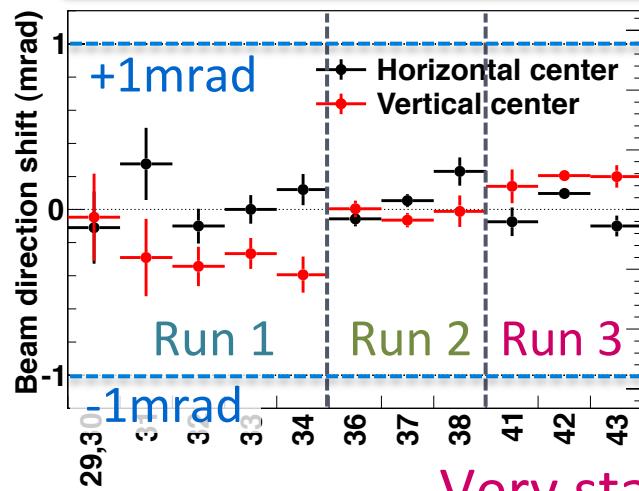
Data in this talk = 3.01×10^{20} p.o.t. (whole Run1+2+3 data)

Beam stability

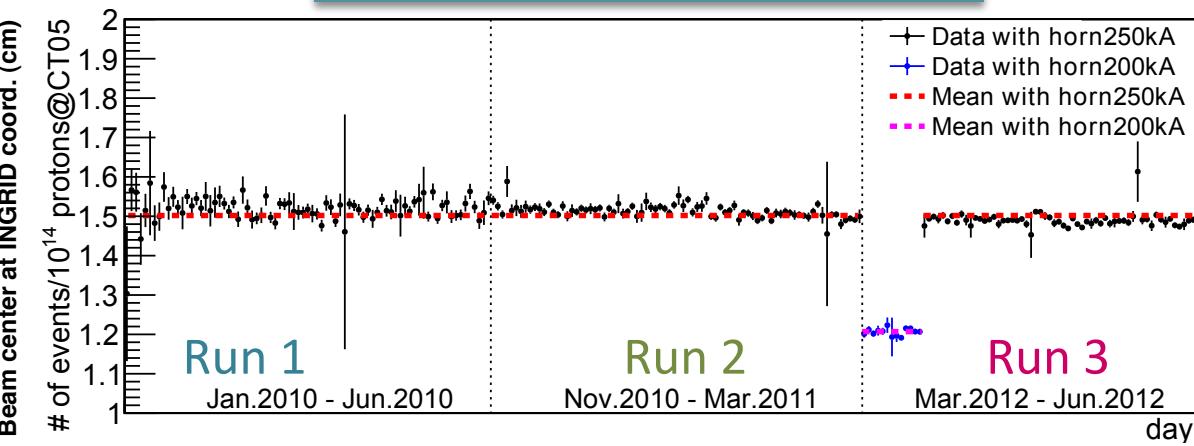
Muon monitor : Beam direction



INGRID : Beam direction



INGRID : Neutrino event rate



Very stable during the whole run period

T2K ν_e appearance analysis

Signal and BG for T2K ν_e appearance search

□ Signals

Single electron event by CC interaction of ν_e oscillated from ν_μ

- Mainly CCQE : $\nu_e + n \rightarrow e^- + p$
- Protons mostly have momenta below Cherenkov threshold

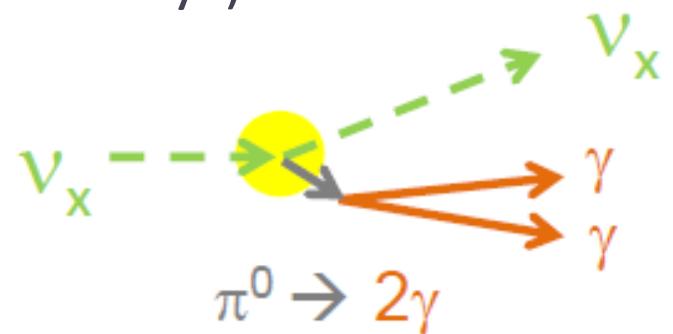


□ Backgrounds

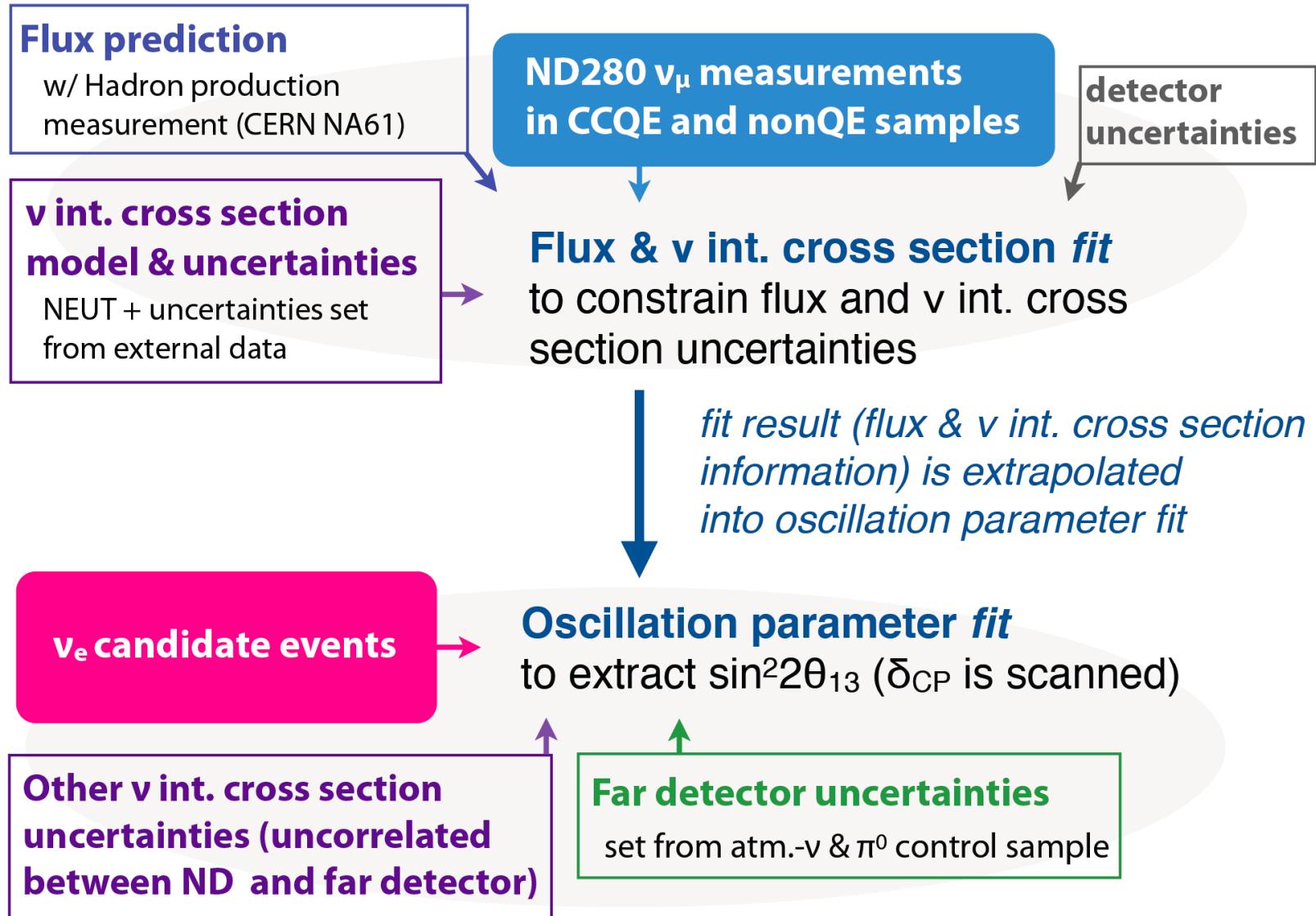
(1) intrinsic ν_e in the beam (from μ , K decays)

(2) NC single π^0 events

- ❖ overlap of 2 γ rings
- ❖ asymmetric decay
(one of the γ has very low energy)



Oscillation analysis method

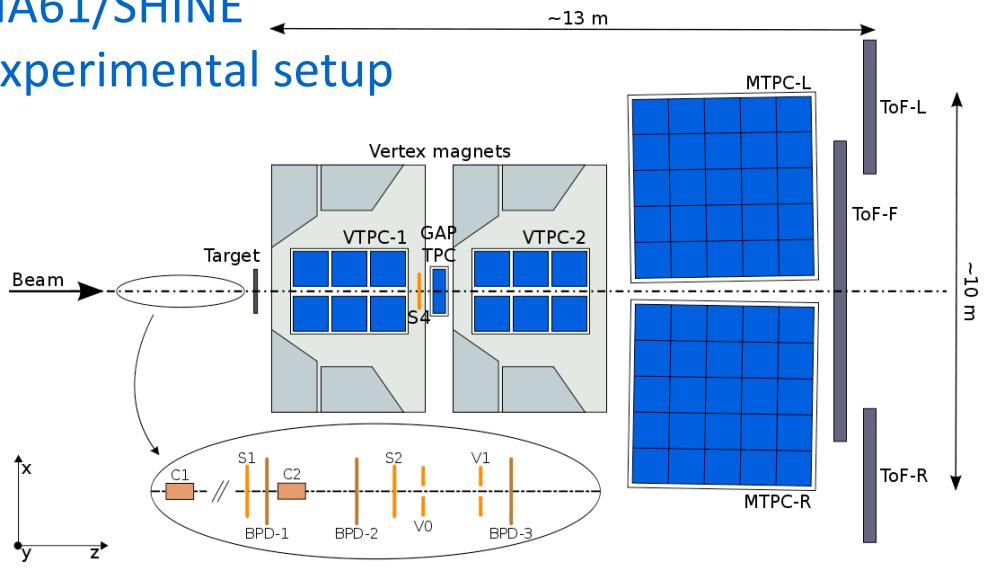


Neutrino flux prediction

Beam simulation based on measurements

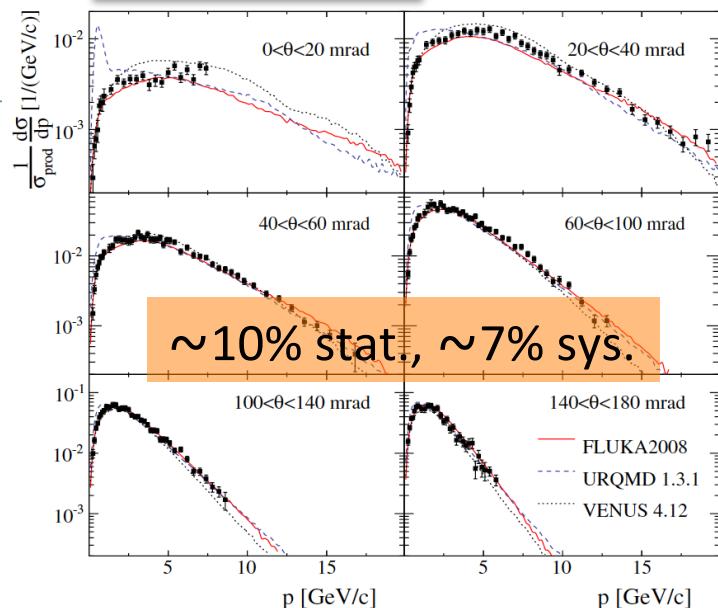
- T2K proton beam profile measured by beam monitors input into the simulation
- π, K production cross section tuned mainly by NA61/SHINE(@CERN) measurements with 30GeV protons and a graphite target

NA61/SHINE experimental setup



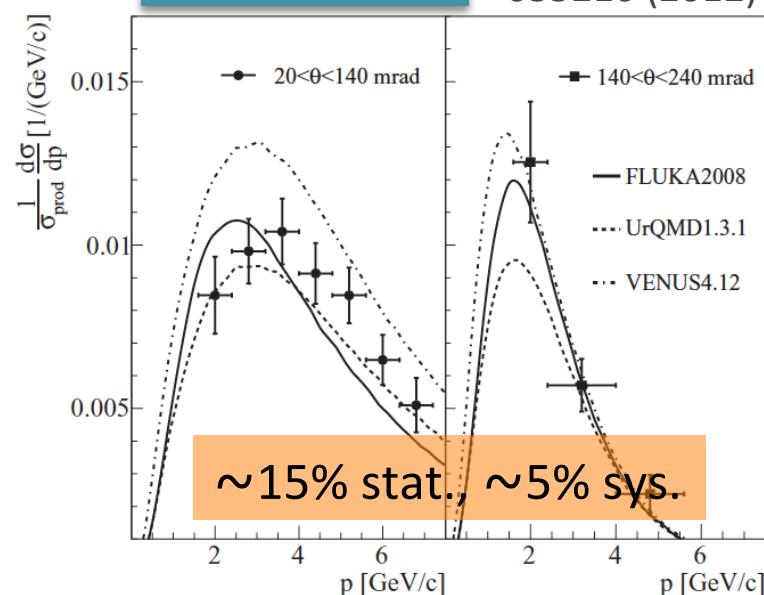
NA61 π^+ data

Phys.Rev.C 84,
034604 (2011)

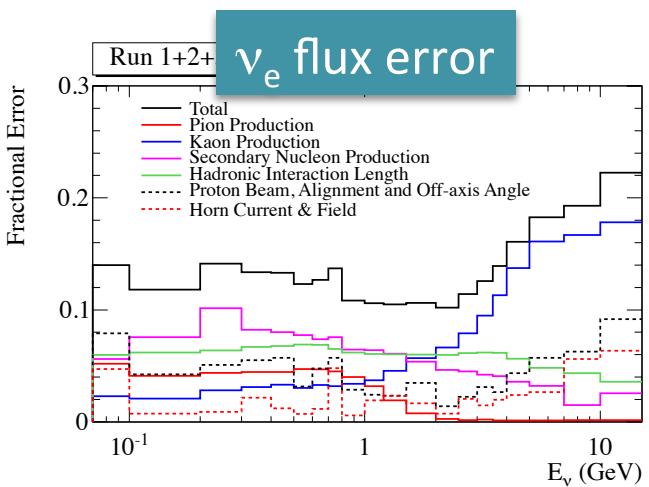
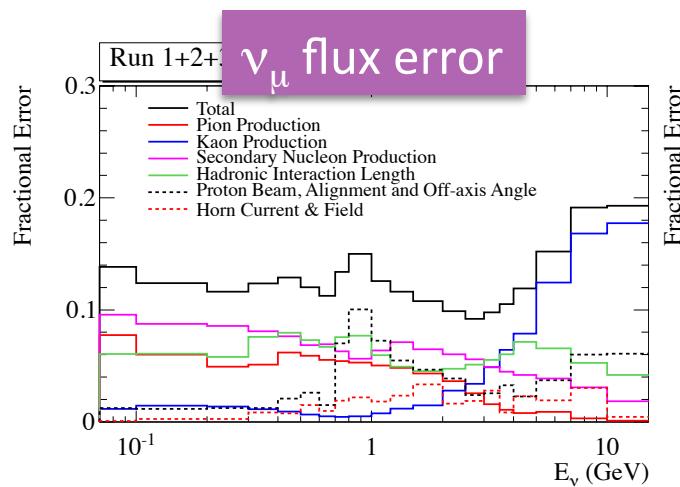
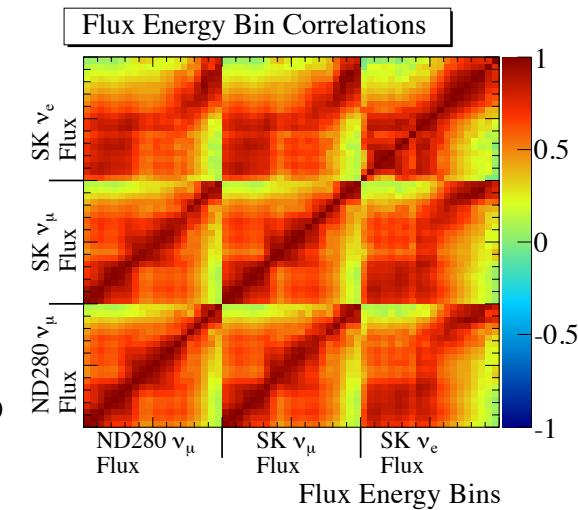
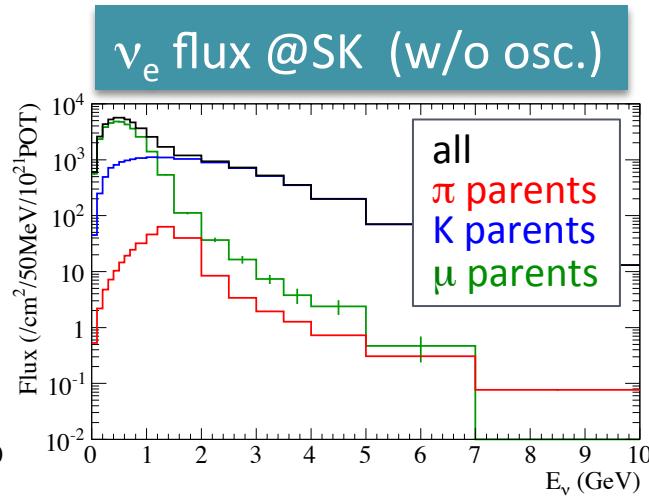
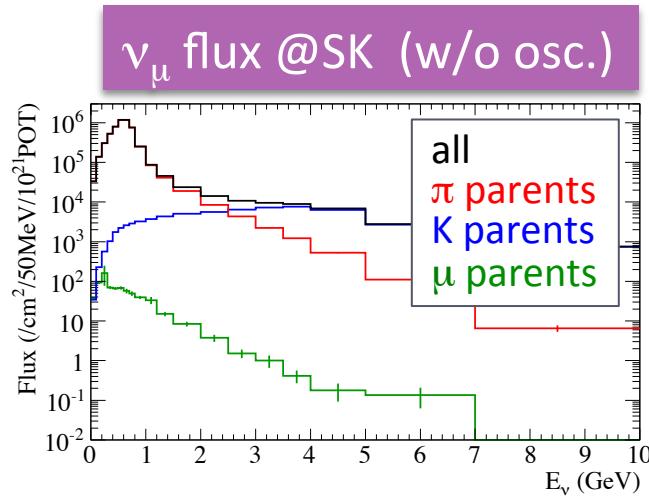


NA61 K⁺ data

Phys.Rev.C 85,
035210 (2012)



Predicted neutrino flux



Total flux error 10~15 %

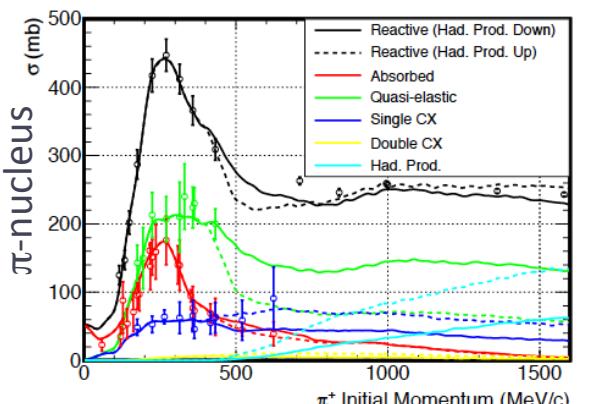
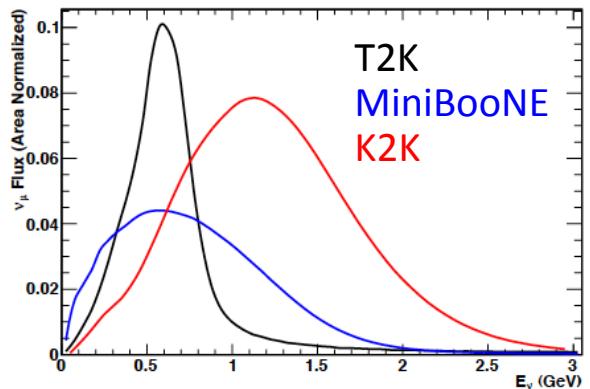
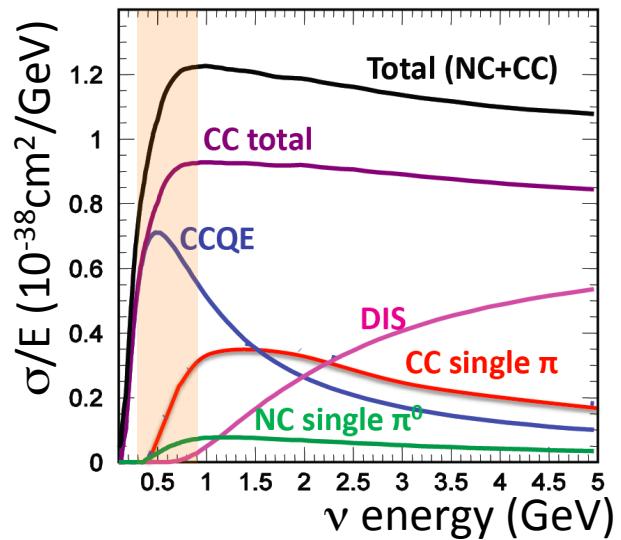
Ev-dependent errors with full correlations among ν flavors at ND280 and SK are taken into account.

Neutrino interactions at T2K

- Dominant interactions are CCQE
- Additional interactions important for analysis are CC π and NC π^0 (single pion production)

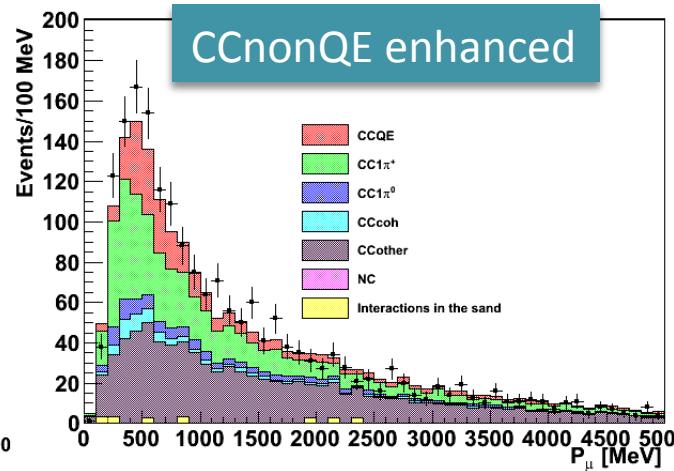
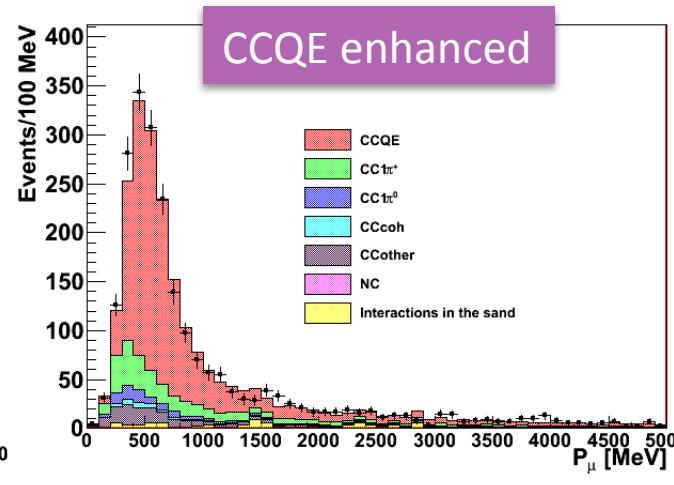
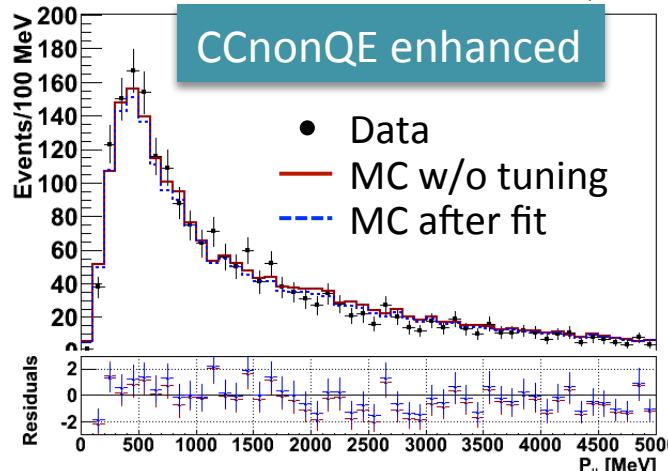
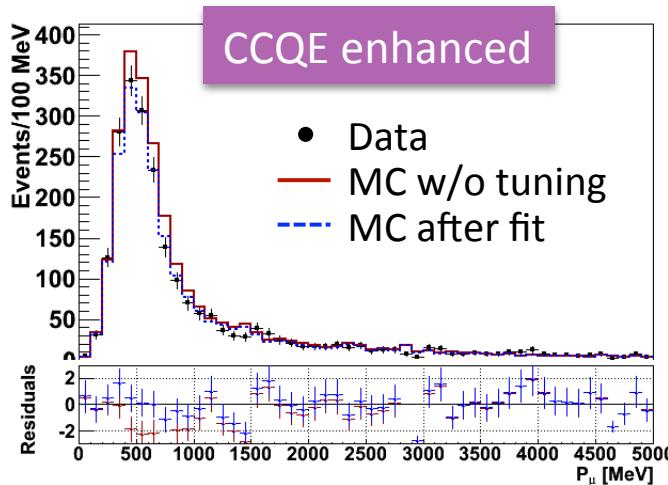
- Cross sections not yet measured at T2K ND
- Cross section model (NEUT) uncertainties set from fits to MiniBooNE data
 - Similar ν energy, multiple differential cross-section
 - K2K, SciBooNE data sets used as cross check

- Final state interaction (FSI)
 - Semi-classical cascade model
 - Choose several parameter sets to cover data uncertainties → propagate in analysis



Near detector ν_μ measurement (Run 1+2 data)

(p_μ, θ_μ) distributions of CCQE and CCnonQE enhanced samples are fit to constrain ν flux and cross sections



Basic selection

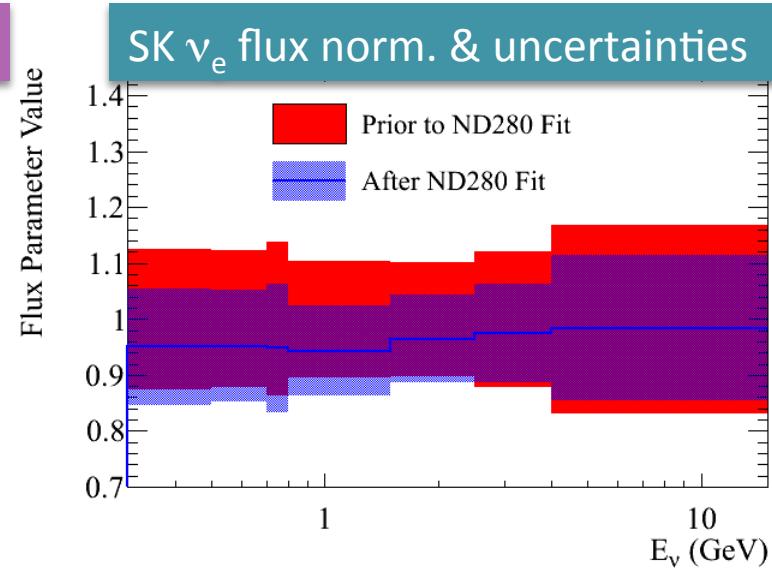
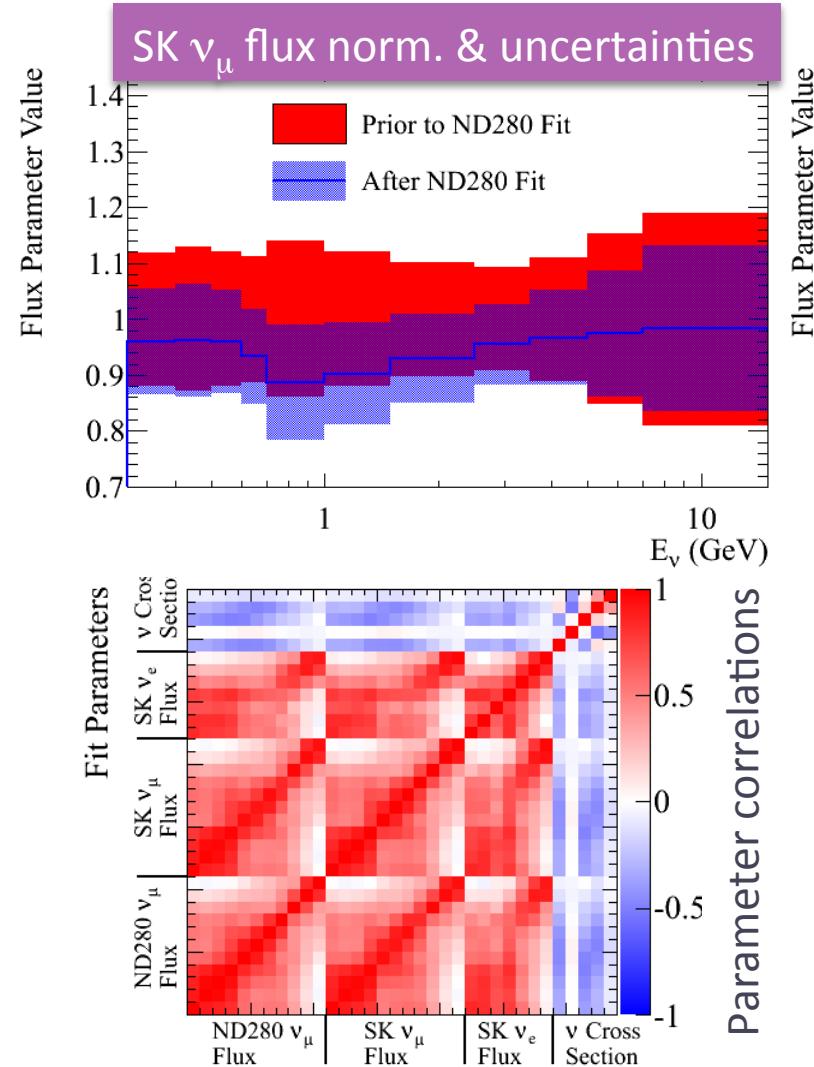
- negative track in FV
- upstream TPC veto
- muon ID by TPC

CCQE selection

- 1 FGD+TPC track
- No decay-e in FGD
- 40% efficiency w/
72% purity

Flux and cross section fit output

Results of the ND280 ν_μ data fit are extrapolated to the prediction at SK



Improved constraint by ND data fit

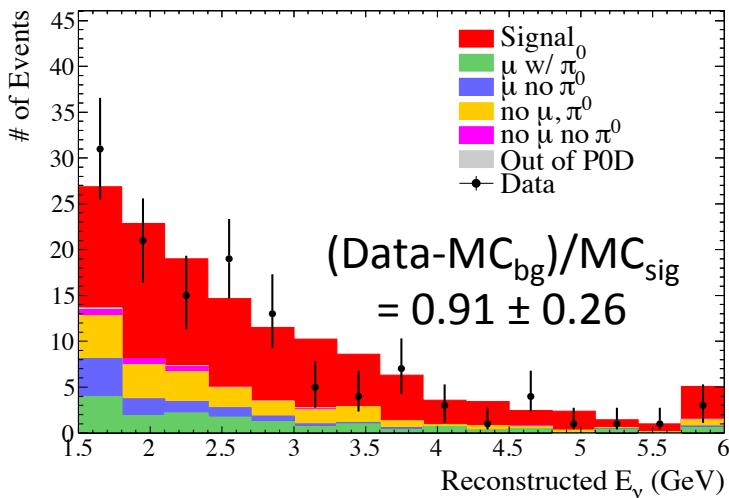
Cross section param. & uncertainties

	Prior Value and Uncertainty	Fitted Value and Uncertainty
M_A^{QE} (GeV)	1.21 ± 0.45	1.19 ± 0.19
M_A^{RES} (GeV)	1.162 ± 0.110	1.137 ± 0.095
CCQE Norm. 0-1.5 GeV	1.000 ± 0.110	0.941 ± 0.087
CC1 π Norm. 0-2.5 GeV	1.63 ± 0.43	1.67 ± 0.28
NC1 π^0 Norm.	1.19 ± 0.43	1.22 ± 0.40

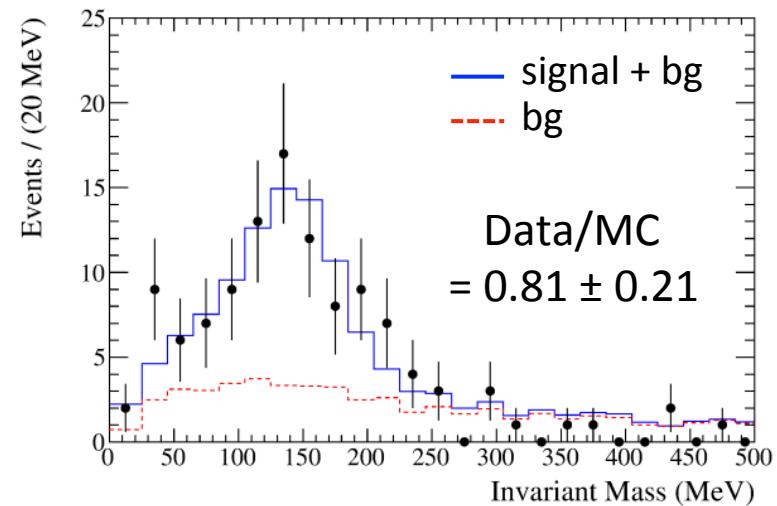
Prior value and uncertainty from fit to MiniBooNE single pion samples

ND280 ν_e CC and NC π^0 checks

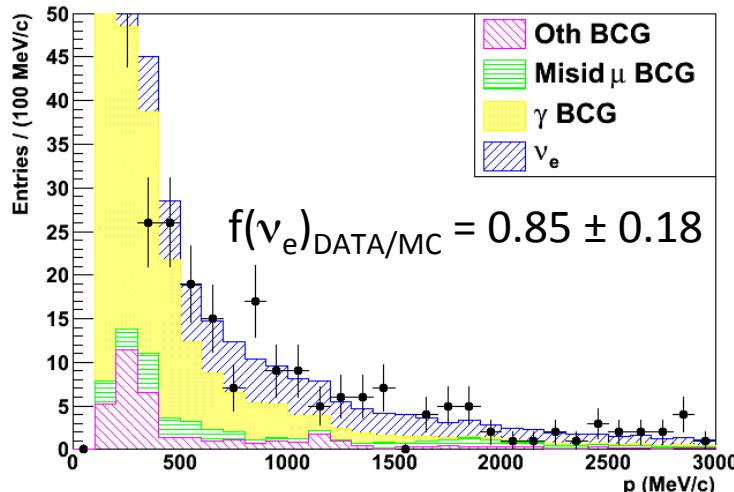
High energy ν_e events (PØD)



NC π^0 events (PØD)



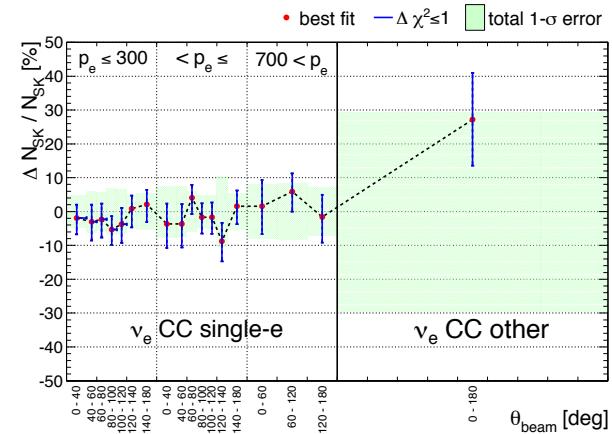
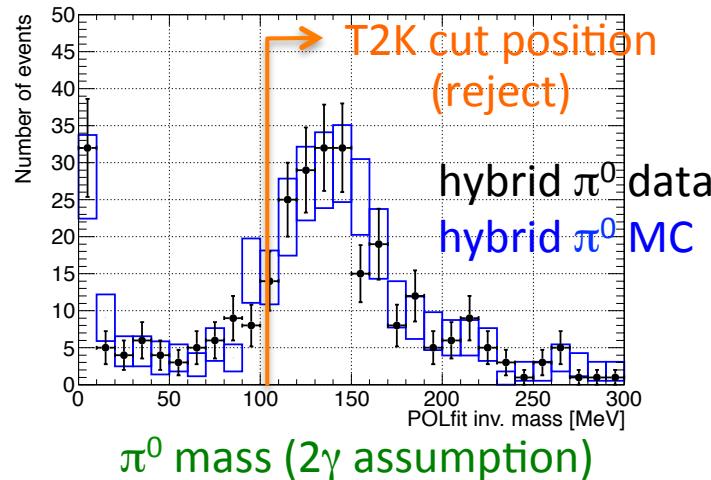
Enhanced ν_e events (Tracker)



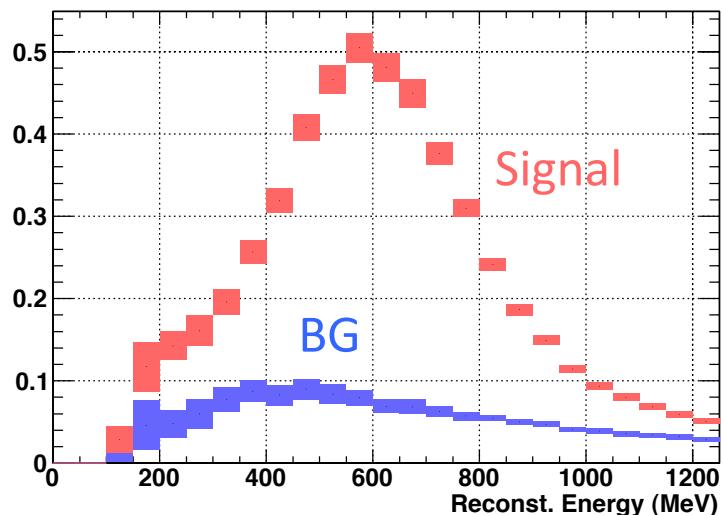
- Dominant BG for ν_e appearance search are measured at ND280
 - Intrinsic beam ν_e CC
 - NC π^0
- Data consistent with MC prediction

Far detector (Super-K) systematics

- Dominant error coming from the ring-counting, PID, π^0 mass cuts
- Error for ν_e CC components :
 - Number of events in each (p_e , θ_e) in the atmospheric ν control sample is fit to evaluate the systematic error on efficiency by above cuts
- Error for π^0 BG components :
 - π^0 topological control sample combining one data electron and one simulated γ (hybrid π^0)



Total SK efficiency error



Predicted number of ν_e candidate events

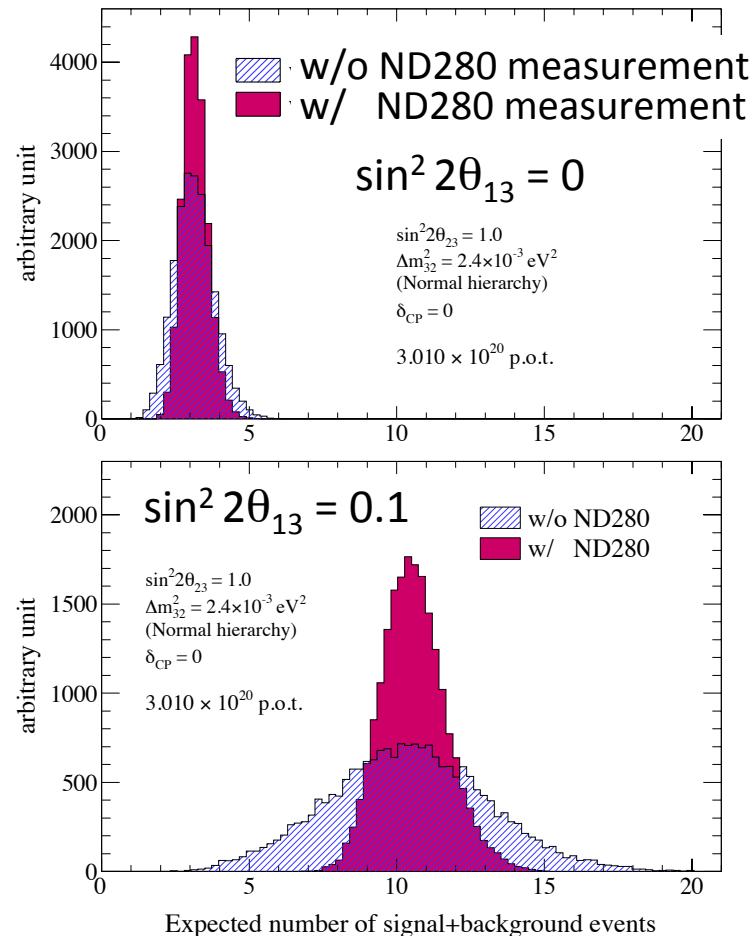
Predicted # of events w/ 3.01×10^{20} p.o.t.

Category	$\sin^2 2\theta_{13} = 0$	$\sin^2 2\theta_{13} = 0.1$
Total	3.22 ± 0.43	10.71 ± 1.10
ν_e signal	0.18	7.79
ν_e BG	1.67	1.56
ν_μ BG	1.21	1.21
$\bar{\nu}_\mu + \bar{\nu}_e$ BG	0.16	0.16

Systematic uncertainties

Error source	$\sin^2 2\theta_{13} = 0$	$\sin^2 2\theta_{13} = 0.1$
Beam flux+ ν int. in T2K fit	8.7 %	5.7 %
ν int. (from other exp.)	5.9 %	7.5 %
Final state interaction	3.1 %	2.4 %
Far detector	7.1 %	3.1 %
Total	13.4 %	10.3 %
T2K 2011 results	~ 23 %	~ 18 %

Predicted # of events w/ sys. error

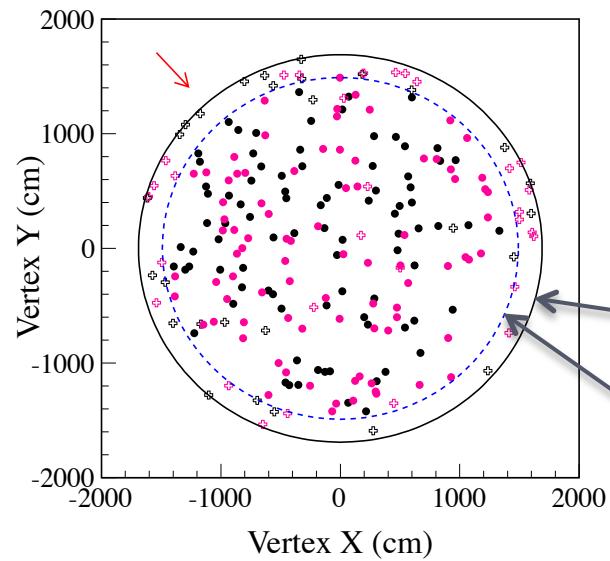
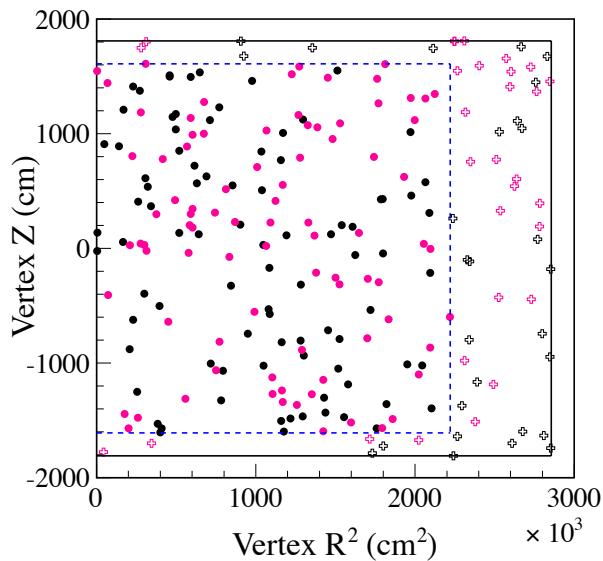
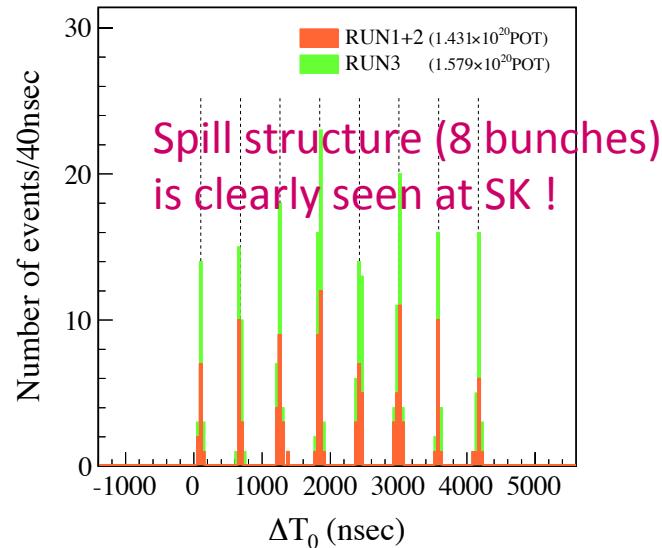
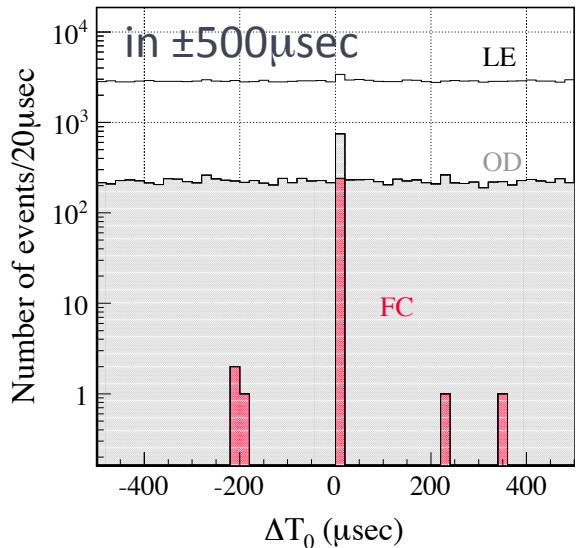


Uncertainty reduced much by
the ND280 measurement

T2K event selection at Super-K

Event timing relative to the beam arrival timing

FC = Fully-Contained events



Fiducial volume cut

Inner detector wall

FV boundary (2m from wall)

T2K ν_e event selection at Super-K

1. Number of rings = 1

88 events

2. Electron-like PID

22 events

3. Visible energy >100MeV

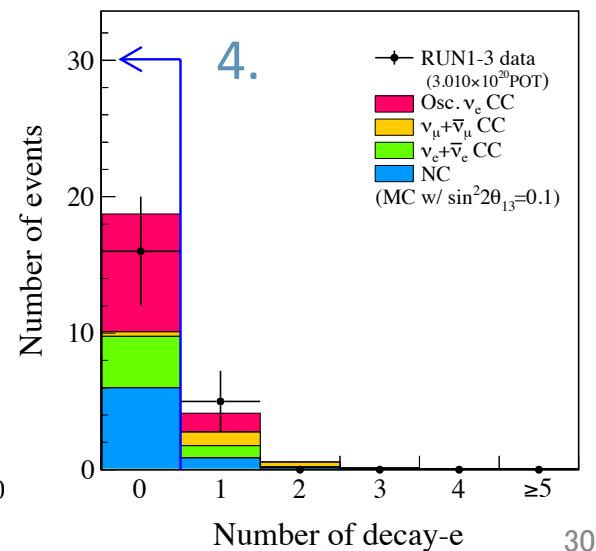
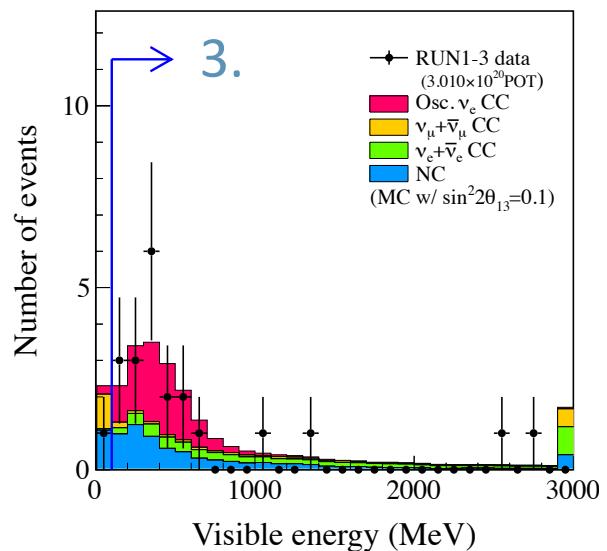
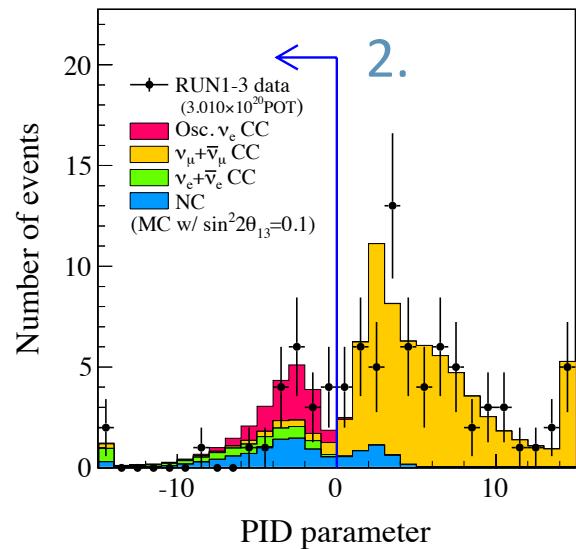
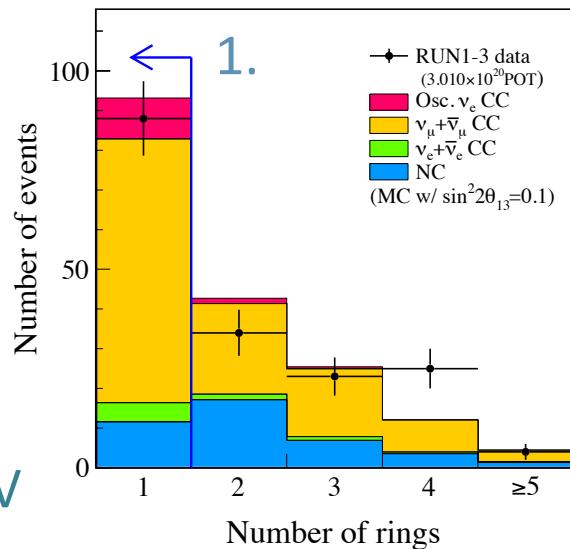
(rejects low-E NC events and electron from invisible μ, π)

21 events

4. No μ decay electron

(rejects events with invisible μ, π)

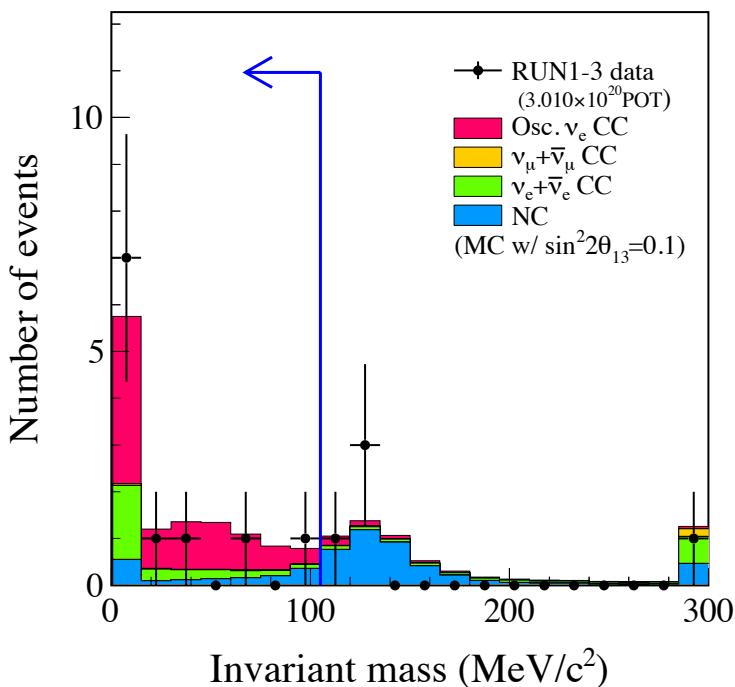
16 events



T2K ν_e event selection at Super-K (cont'd)

5. 2γ invariant mass $< 105 \text{ MeV}/c^2$

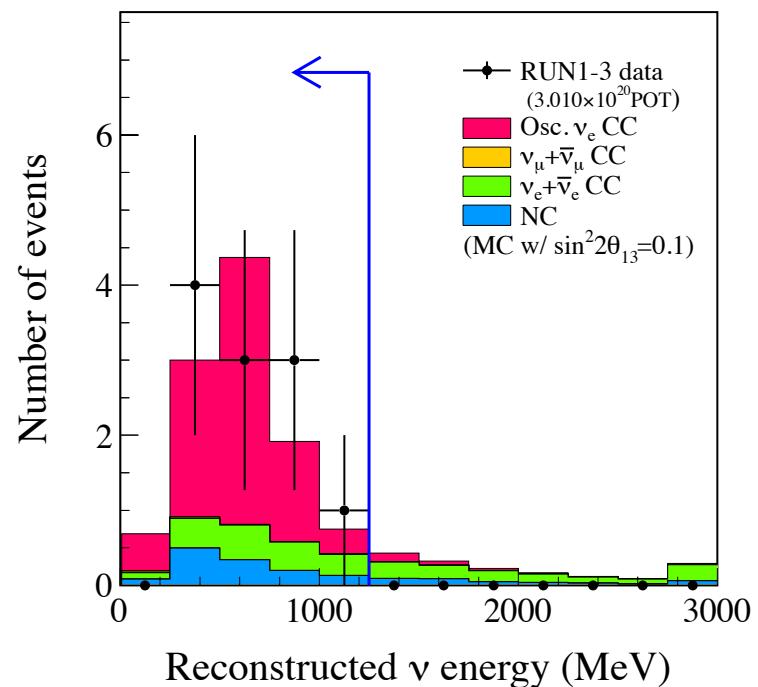
Every event is forced to be reconstructed with the assumption of two showers to reject events w/ π^0



11 events

6. Reconstructed $E\nu < 1.25 \text{ GeV}$

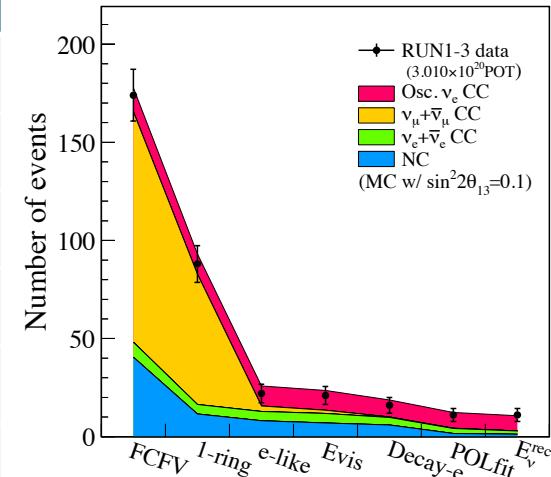
Reject intrinsic ν_e in the beam
(high energy ν_e mainly from K)



11 events after all cuts

T2K ν_e event selection summary

	MC prediction w/ $\sin^2 2\theta_{13}=0.1$					Data
	$\nu\mu CC$	$\nu e CC$	NC	BG all	Signal	
True FV	155	8.0	133	295	12.9	-
FCFV	117	7.7	40.5	165	12.4	174
1 ring	66.4	4.8	11.6	82.8	10.4	88
e-like	2.7	4.8	8.1	15.6	10.3	22
$E_{vis} > 100 \text{ MeV}$	1.8	4.8	7.0	13.5	10.0	21
No decay-e	0.3	3.8	6.0	10.1	8.6	16
π^0 mass	0.09	2.6	1.6	4.3	8.1	11
$E_\nu^{\text{rec}} < 1.25 \text{ GeV}$	0.06	1.6	1.3	2.9	7.8	11
(efficiency)	<0.1%	20%	<1%	1%	61%	-
	0.06	1.7	1.3	3.0	0.2	11

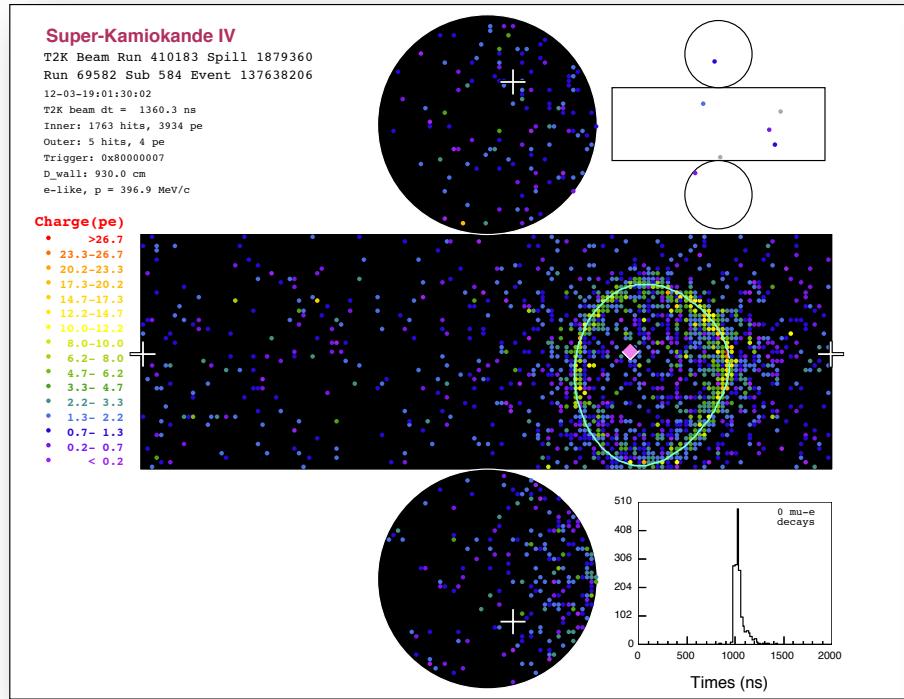


$\sin^2 2\theta_{13}=0.1$

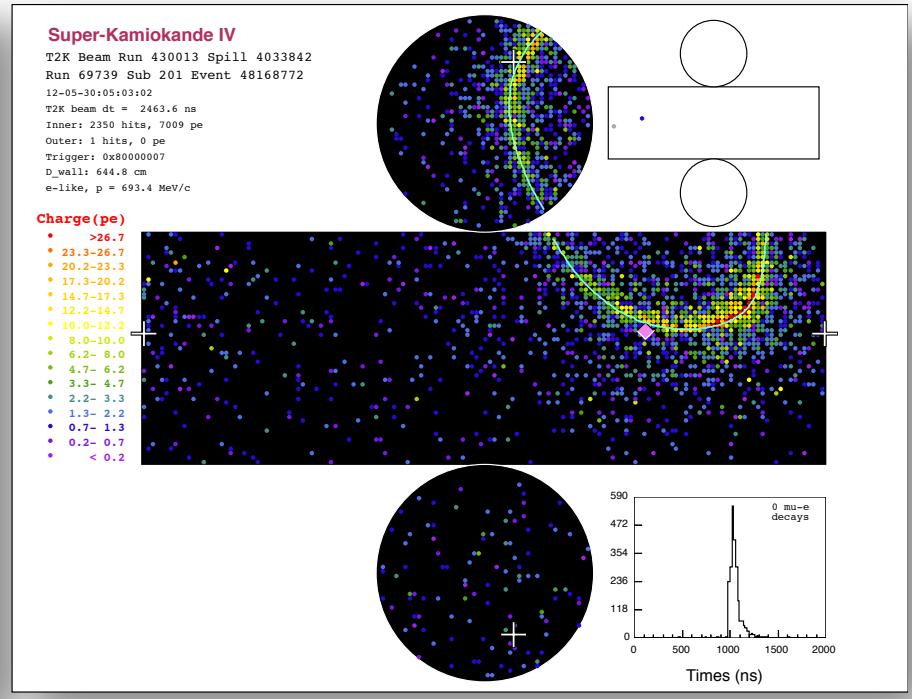
$\sin^2 2\theta_{13}=0$

Observed ν_e candidate events

March 19, 2012



May 30, 2012



Significance

ν_e candidate events (3.01×10^{20} p.o.t.) :

Observed : **11 events**

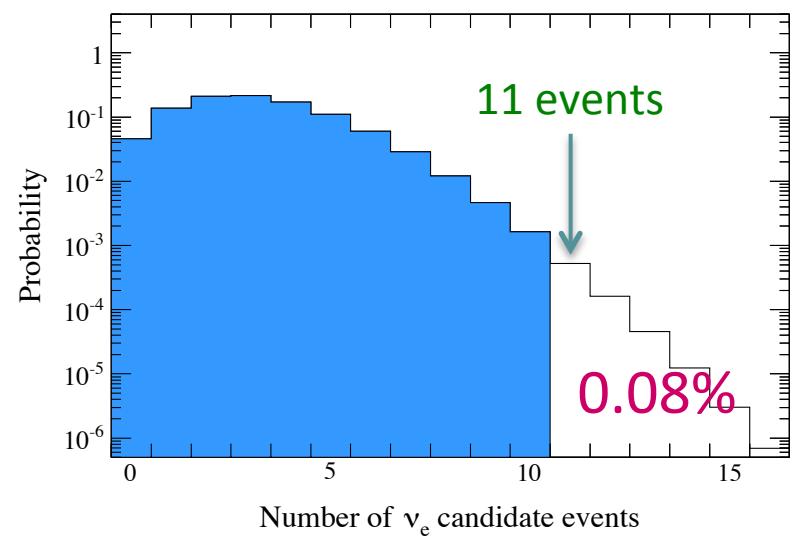
Expected w/ $\sin^2 2\theta_{13} = 0$: **3.22 ± 0.43 events**

Under the $\sin^2 2\theta_{13} = 0$ hypothesis,
the probability to observe 11 or
more candidate events is **0.08%**.

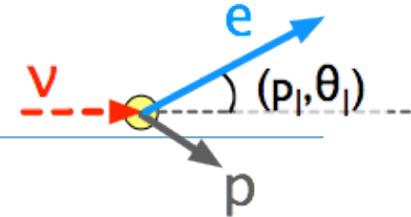
→ **3.2σ significance**

Evidence of ν_e appearance !

Number of ν_e candidate events
over 1×10^8 toy MCs w/ $\theta_{13} = 0$



Oscillation parameter fits

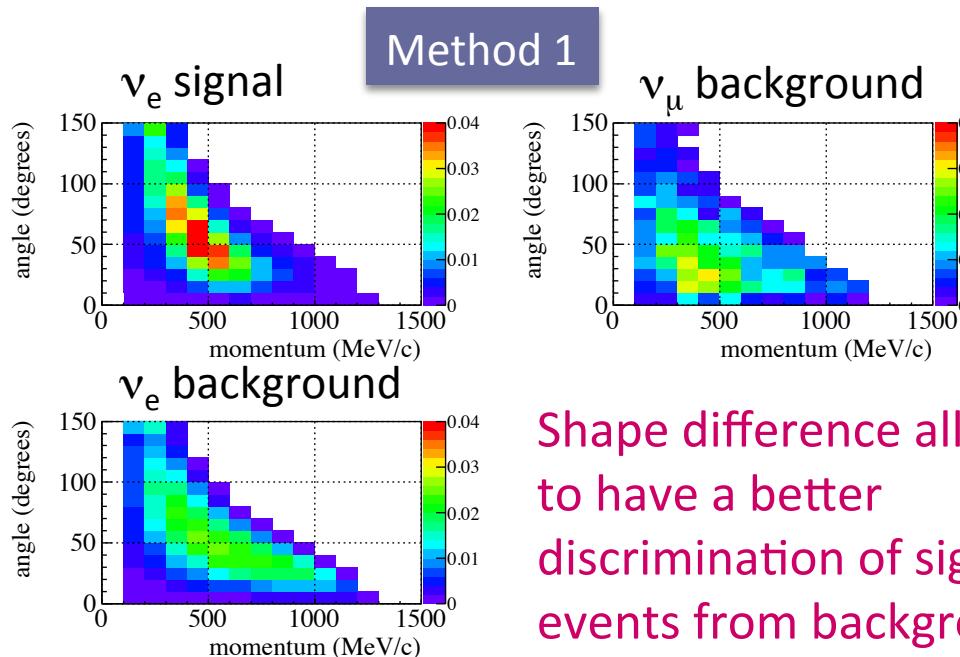


- Method 1 : Maximum likelihood fit w/ Rate + (p_e, θ_e) shape
- Method 2 : Maximum likelihood fit w/ Rate + reconstructed E_ν
- Method 3 : Feldman&Cousins for rate only

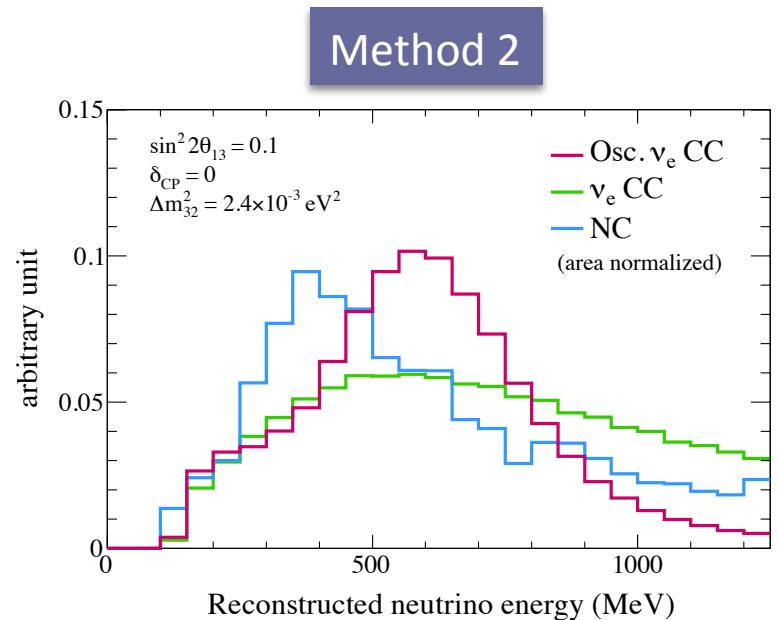
Likelihood in
Method-1&2

$$\mathcal{L}(N_{obs.}, \underline{x}; \underline{o}, \underline{f}) = \mathcal{L}_{norm}(N_{obs.}; \underline{o}, \underline{f}) \times \mathcal{L}_{shape}(\underline{x}; \underline{o}, \underline{f}) \times \mathcal{L}_{syst.}(\underline{f})$$

measurement variables
 oscillation parameter
 systematic parameters (prior: ND280 results)



Shape difference allows
to have a better
discrimination of signal
events from background



Results (Method 2)

Best fit with 1σ errors

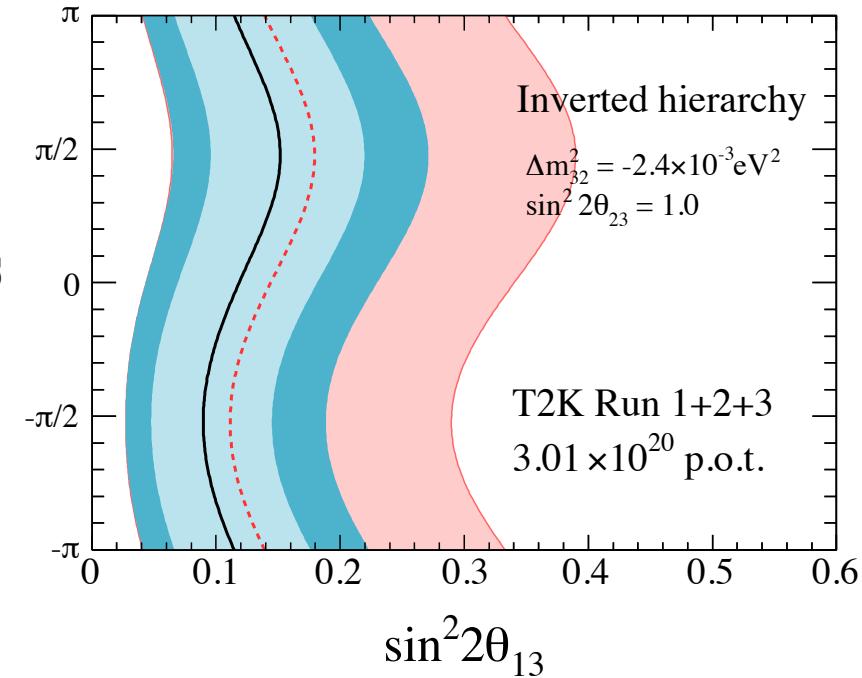
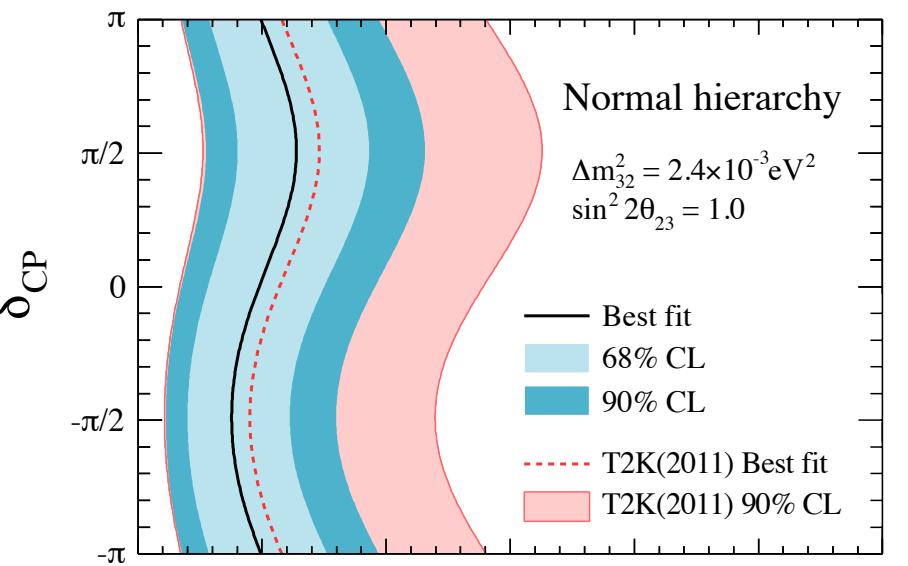
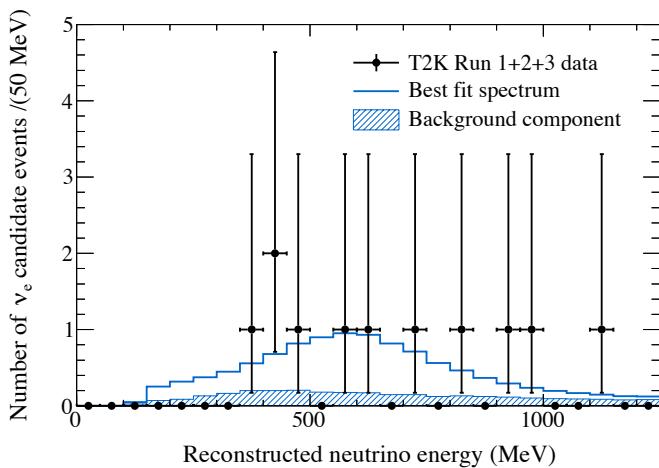
Normal hierarchy

$$\sin^2 2\theta_{13} = 0.098^{+0.052}_{-0.042}$$

Inverted hierarchy

$$\sin^2 2\theta_{13} = 0.118^{+0.063}_{-0.049}$$

for $\delta_{CP}=0$, $\sin^2 \theta_{23}=0.5$



Results (Method 1)

Best fit with 1σ errors

Normal hierarchy

$$\sin^2 2\theta_{13} = 0.094^{+0.053}_{-0.040}$$

Inverted hierarchy

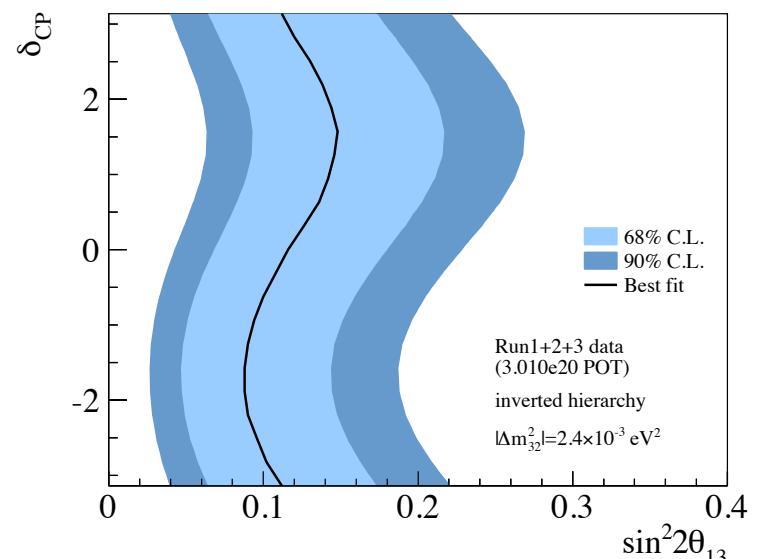
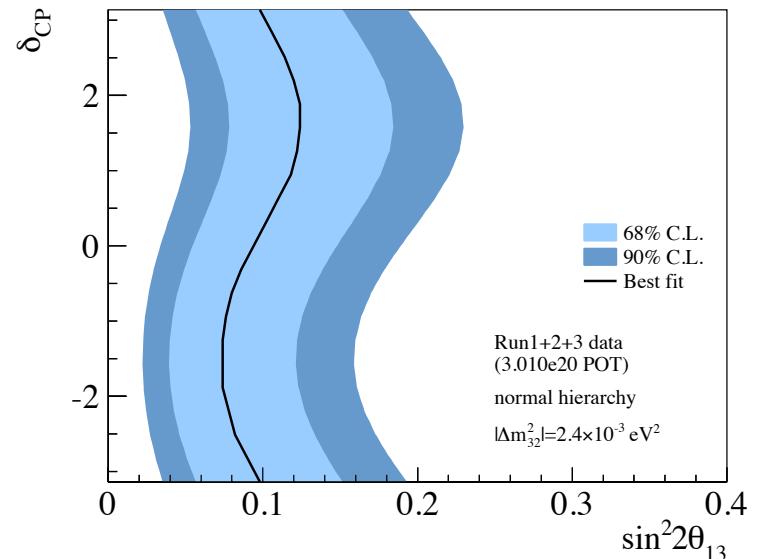
$$\sin^2 2\theta_{13} = 0.116^{+0.063}_{-0.049}$$

for $\delta_{CP}=0$, $\sin^2 \theta_{23}=0.5$

Results from the 3 methods
are very consistent

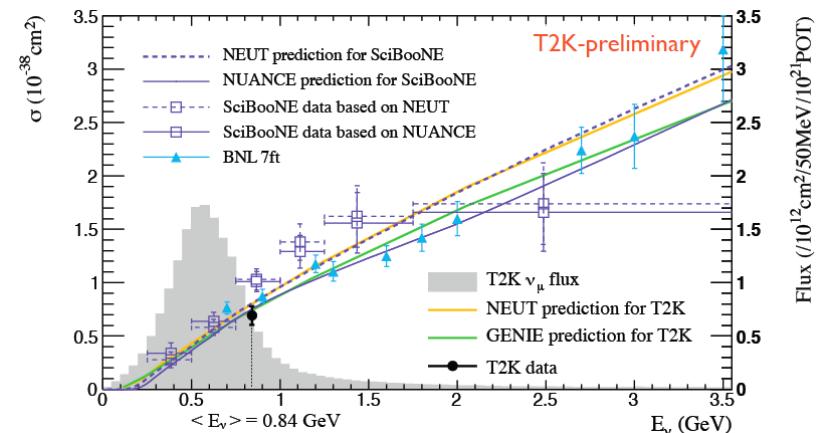
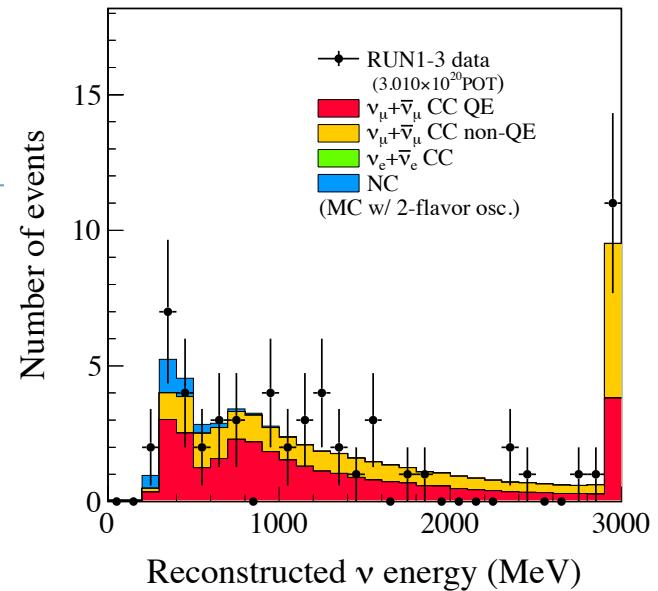
cf. Daya Bay result (@Neutrino2012)

$$\sin^2 2\theta_{13} = 0.089 \pm 0.010(\text{stat.}) \pm 0.005(\text{sys.})$$



Other studies

- ν_μ disappearance analysis
 - Results w/ Run1+2 data published.
Phys. Rev. D85, 031103(R) (2011)
 - Finalizing analysis w/ Run1+2+3 data.
Results coming soon.
- Cross section measurements
 - Preliminary results from the flux averaged ν_μ CC inclusive cross section measurement
- Sterile neutrino search at T2K using NC nuclear de-excitation γ -rays
 - Preliminary results w/ Run1+2 data



and more ...

Summary and outlook

- Updated results from the T2K ν_e appearance analysis with 3.01×10^{20} p.o.t. data ($\sim 4\%$ of the approved T2K exposure)
 - 11 ν_e candidates observed (3.22 ± 0.43 events expected under $\theta_{13}=0$)
p-value is 0.08%, equivalent to 3.2σ

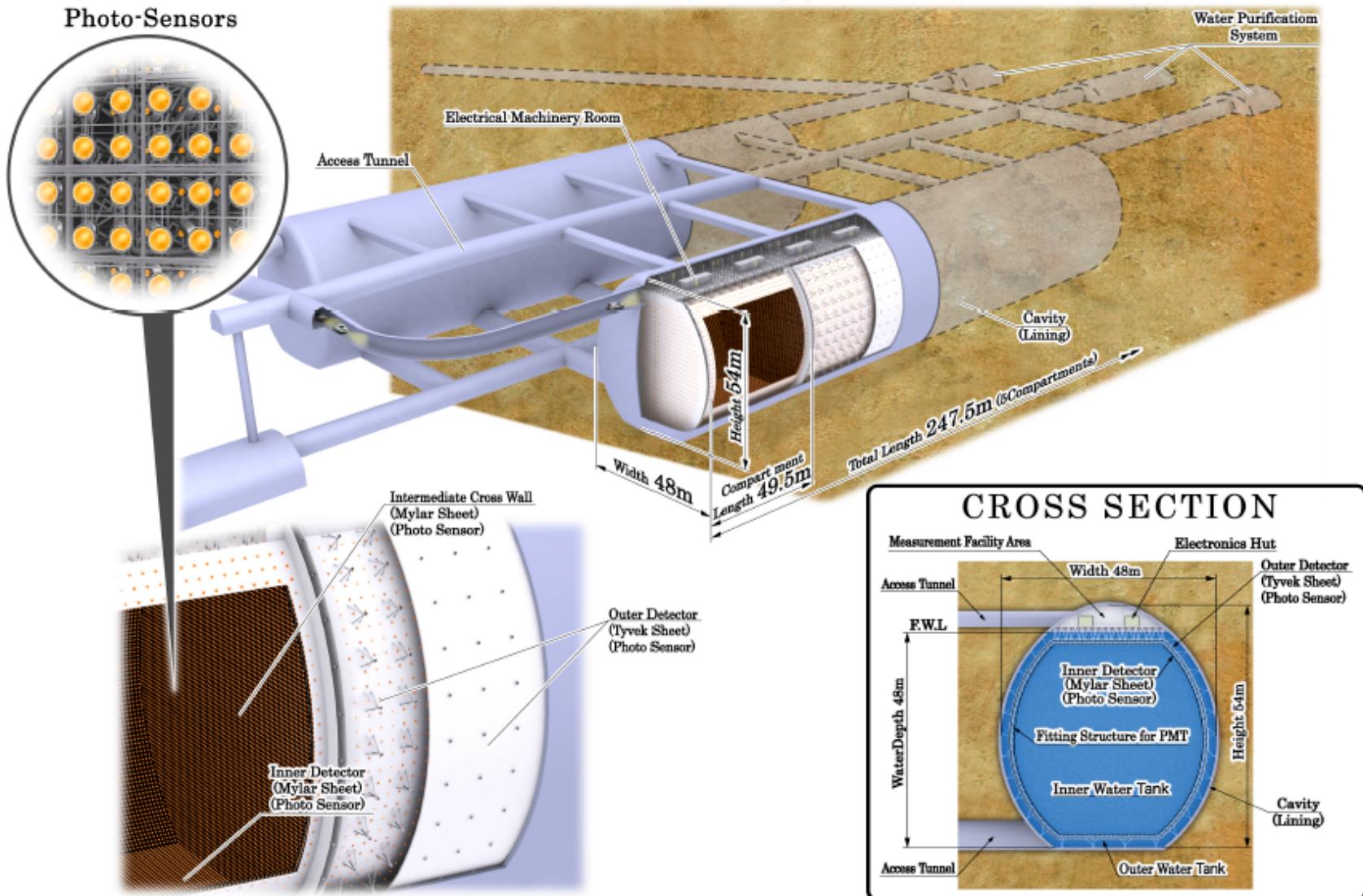
Evidence of ν_e appearance ! → opens the possibility to probe
CP violation in the lepton sector
 - For $\delta_{CP}=0$, $\sin^2 \theta_{23}=0.5$,
$$\sin^2 2\theta_{13} = 0.094^{+0.053}_{-0.040} \text{ (NH)}, \quad \sin^2 2\theta_{13} = 0.116^{+0.063}_{-0.049} \text{ (IH)}$$
- Will take more data with new high power runs
 - 8×10^{20} p.o.t. (2013) → 12×10^{20} p.o.t. (2014) → 18×10^{20} p.o.t. (2015)
 - more precise measurement of ν_e appearance
- An updated ν_μ disappearance measurement is coming soon.

Next ...

- Non-zero θ_{13} is established ($>5\sigma$)
 ν_e appearance is discovered ($>3\sigma$)
- Time to start building the next experiments
to measure the CP violation in the lepton sector

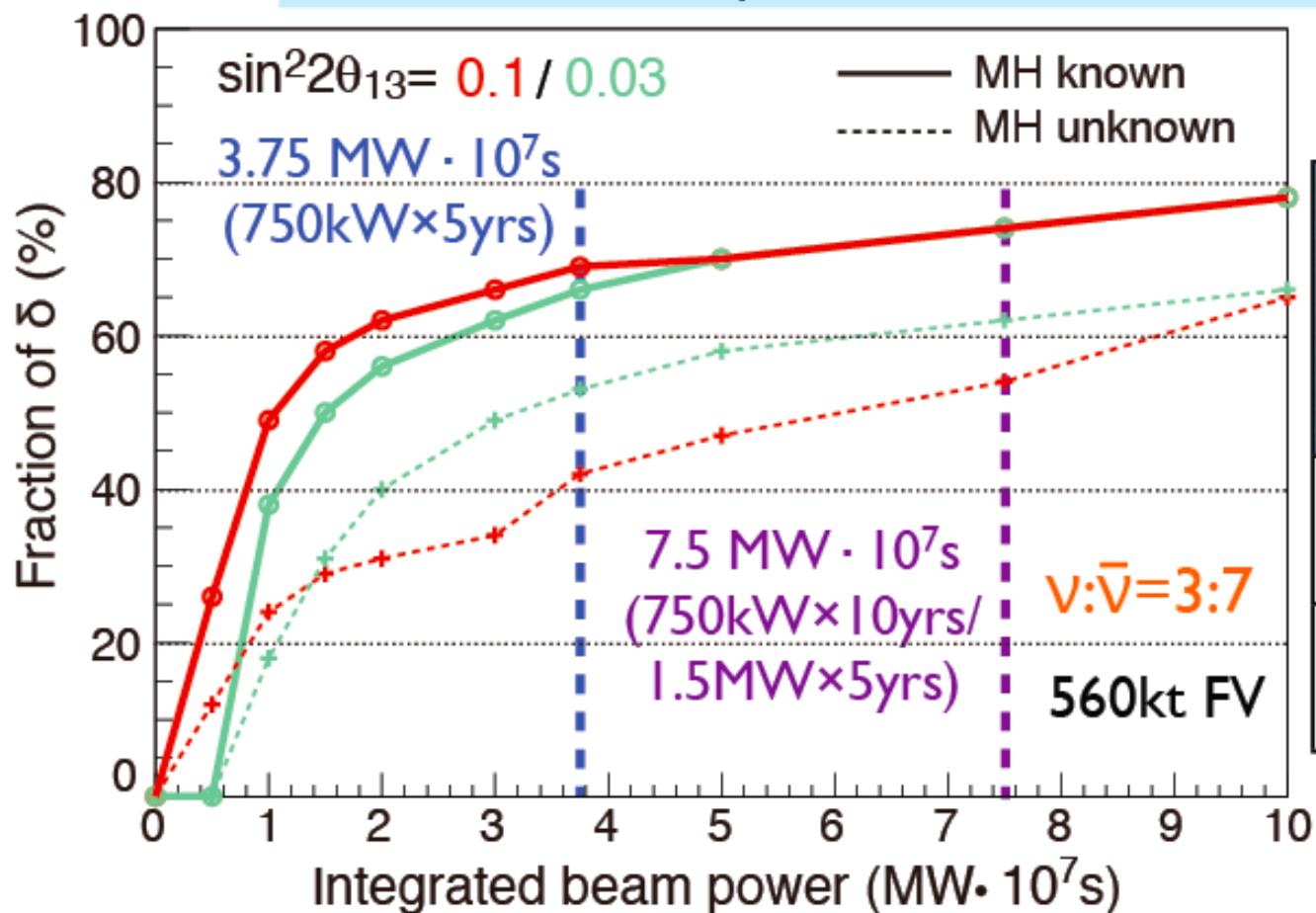
Hyper-Kamiokande

1Mt Water Cherenkov
Fiducial Volume = 25 x Super-K

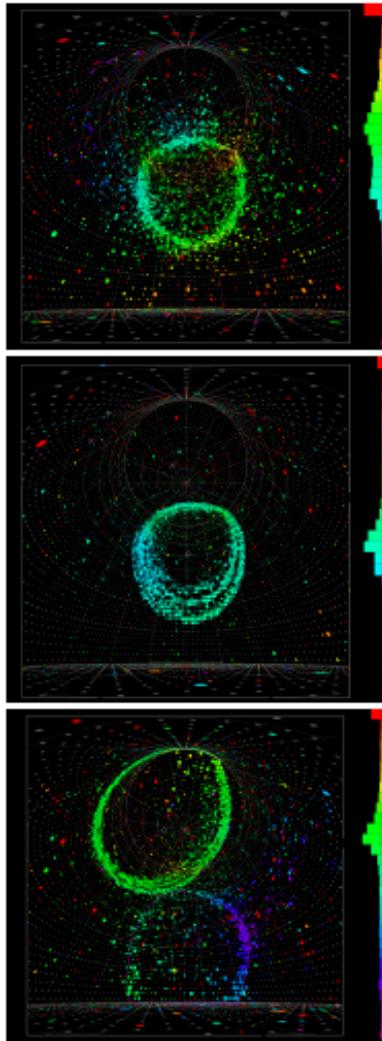


Sensitivity to CP violation (HK)

Fraction of true δ possible to observe CPV with $>3\sigma$



For $\sin^2 2\theta_{13} = 0.1$



- Water Cherenkov detector technology
 - Well-proven technology, with excellent performance
 - Scalability (can make big one)
- Rich physics topics (discovery potentials)
 - Discovery potential of CPV, ν mass hierarchy, precise measurements of ν parameters
 - World best sensitivity for nucleon decay searches, direct test of grand unification picture
 - Supernova ν observatory for astronomy and particle physics
 - Other astronomical objects
- Good reason to do it in Japan
 - Existing accelerator J-PARC and its upgrade plan
 - Long, good experience of detector construction, operation, analyses (Super-K)

We had the first open international meeting for this project this week.
~100 physicists participated.

Supplement