

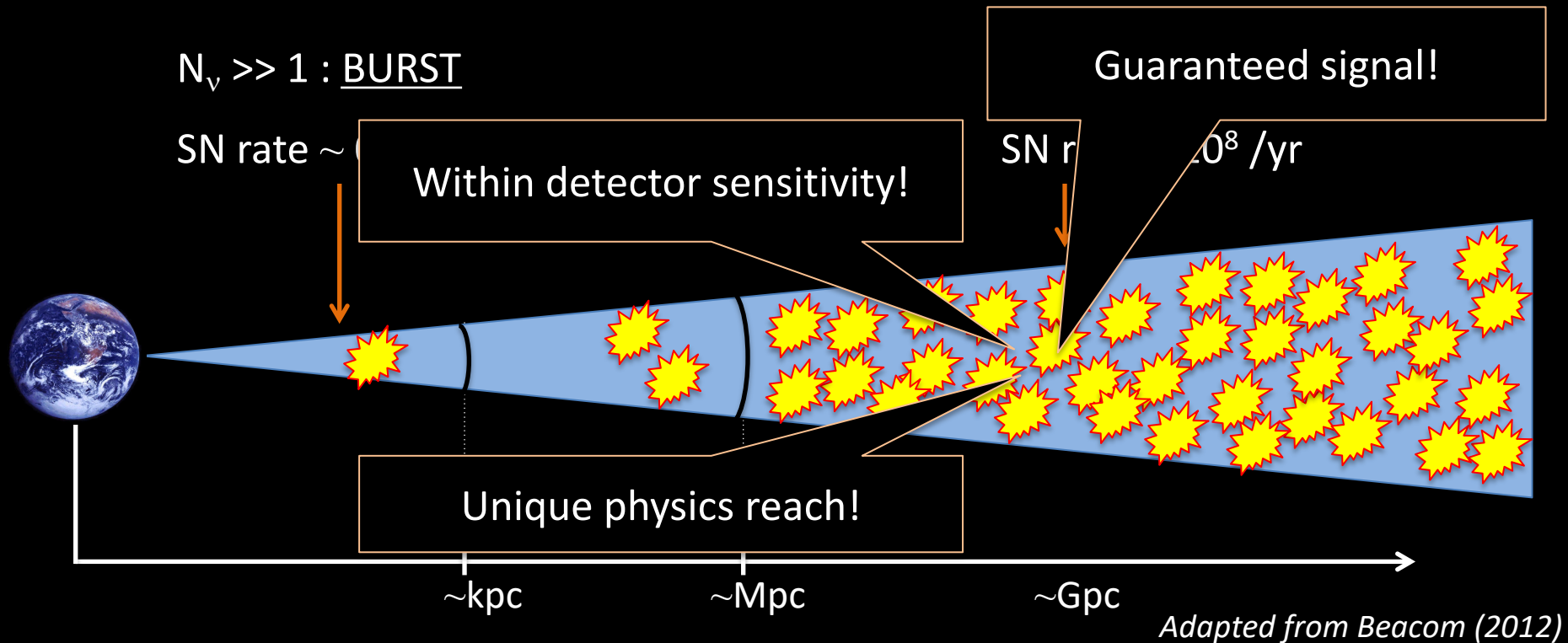
物理学会2021年秋季大会 9月17日 オンライン大会

# 超新星背景ニュートリノの理論予言

堀内 俊作



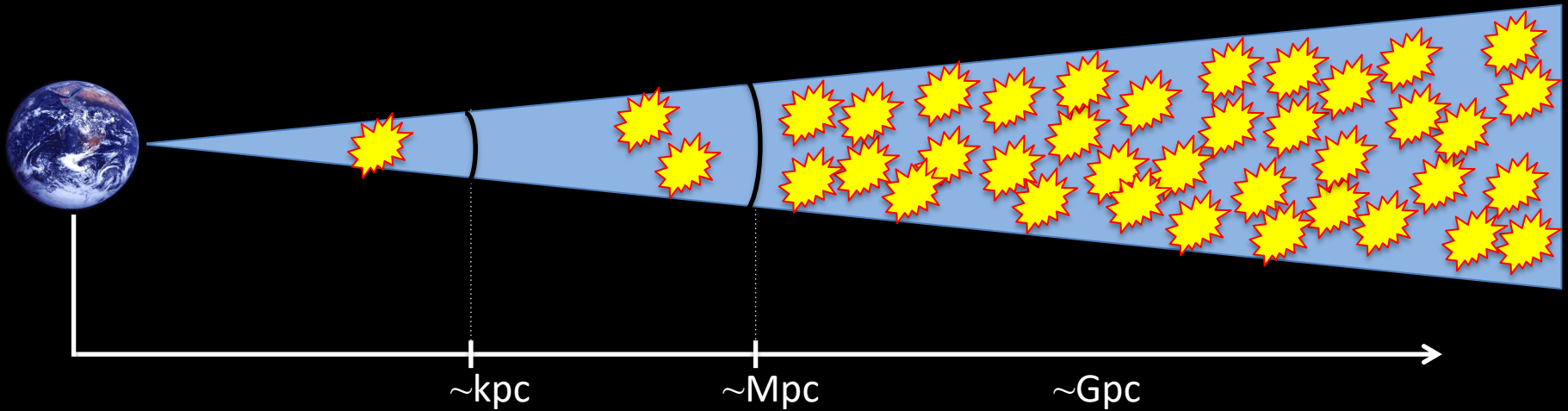
# Distance scales and physics outcomes



	Galactic burst	Mini-bursts	Diffuse signal
Physics reach	Explosion mechanism, progenitor properties, multi-messenger astronomy, neutrino physics	supernova variety	Average emission Multi-populations (e.g., black holes) Multi explosion mechanisms Neutrino physics



# Necessary ingredients



1. Rate of massive star core collapse

$$\frac{d\phi}{dE_\nu}(E_\nu) = \int_0^\infty [(1+z)\varphi[E_\nu(1+z)]] [R_{SN}(z)] \left[ \left| \frac{c dt}{dz} \right| dz \right]$$

2. Averaged neutrino emission from many core collapse

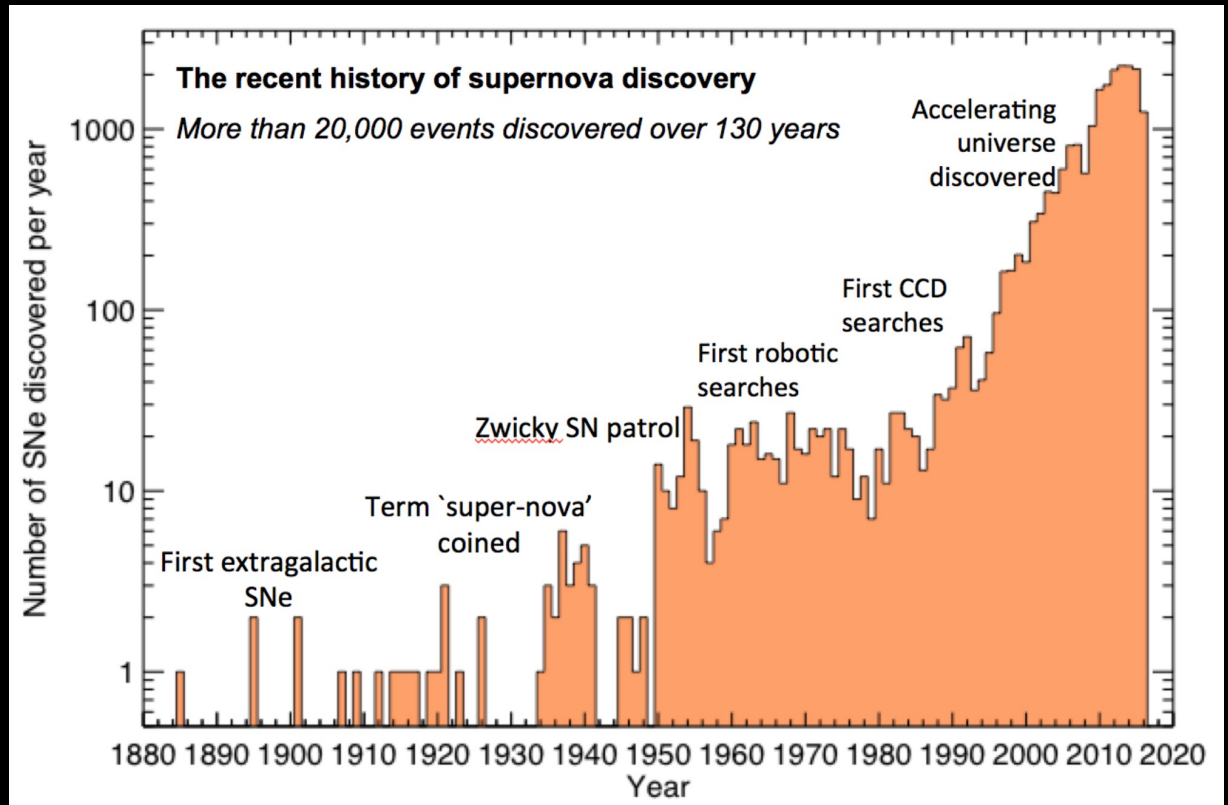
# Stars explode EVERYDAY

↓ In the LMC



SN 1987A

↓ But in the universe, supernovae are not rare



1801.06643



# Cosmic core-collapse rate

## Direct measurements

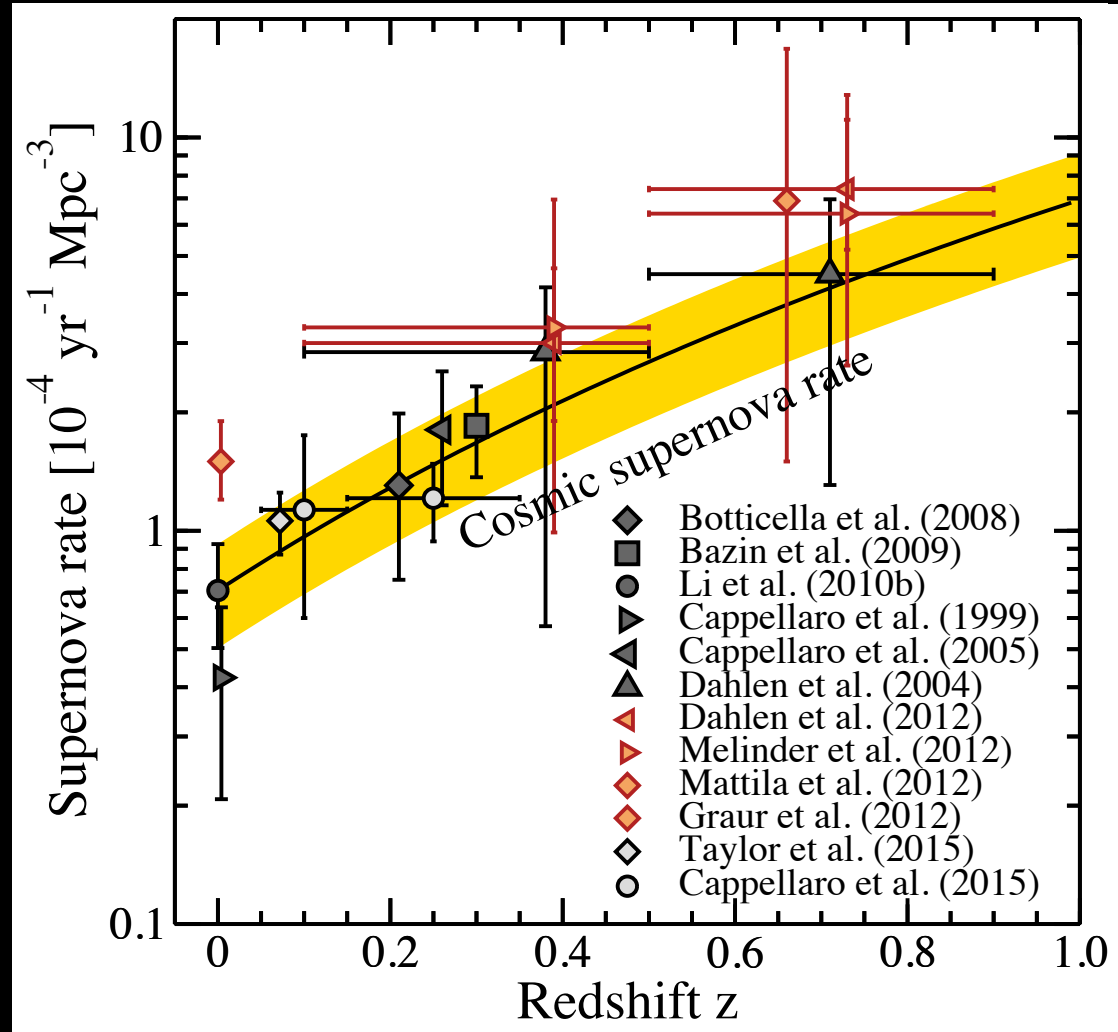
Improving quickly!

Note, two strategies:

1. Efficient but Biased: target pre-selected galaxies, e.g., LOSS, STRESS
2. Unbiased but harder: target pre-selected fields, e.g., SNLS, HST-ACS, DES, ...

Future measurements coming up (ASAS-SN, DES, LSST)

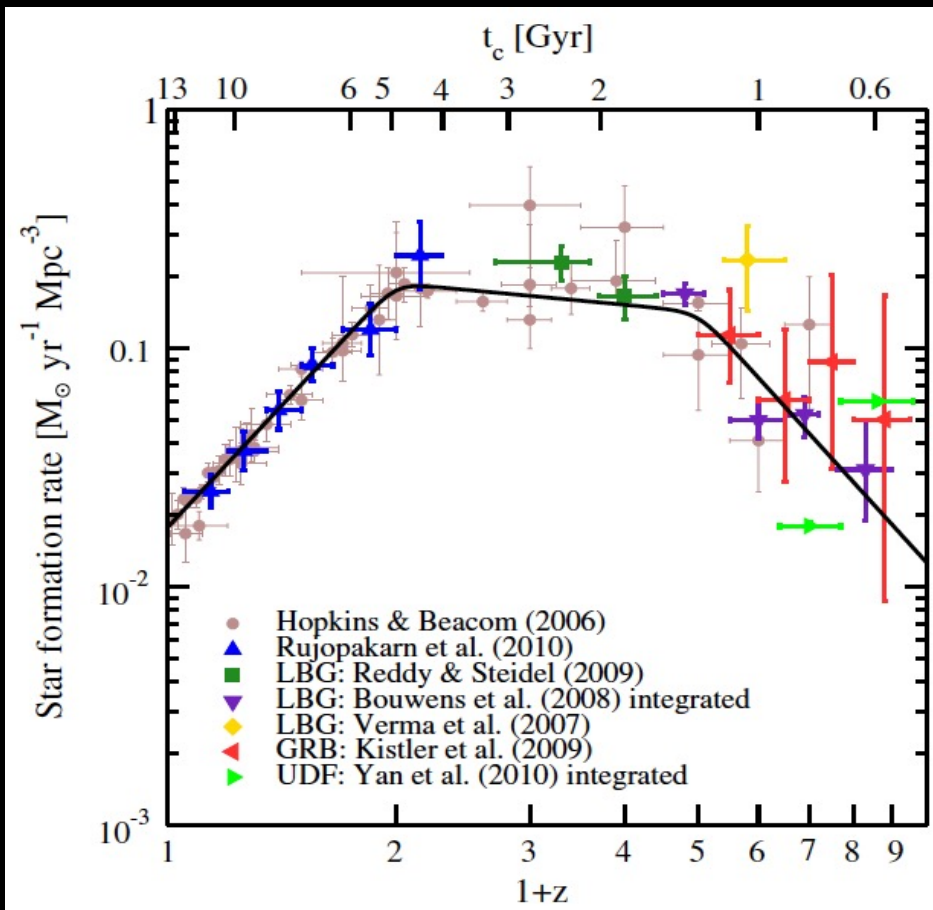
*e.g., Lien & Fields (2009)*



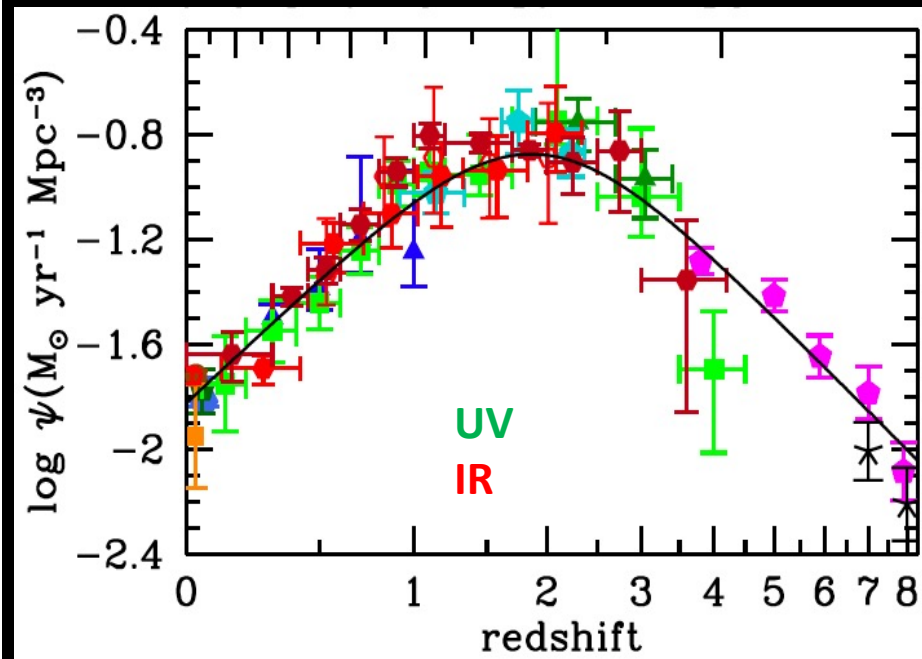
*Updated from Horiuchi et al (2011)*

# Cosmic birth rate of stars

## Useful comparison point:

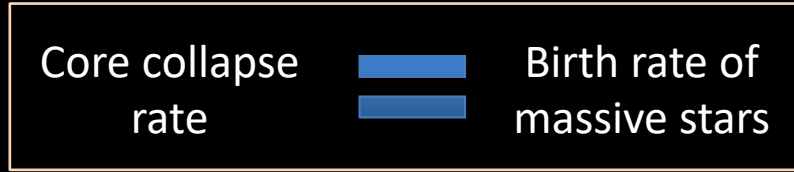


- Many groups, many wavebands, many data sets.
- Dust correction is main difficulty



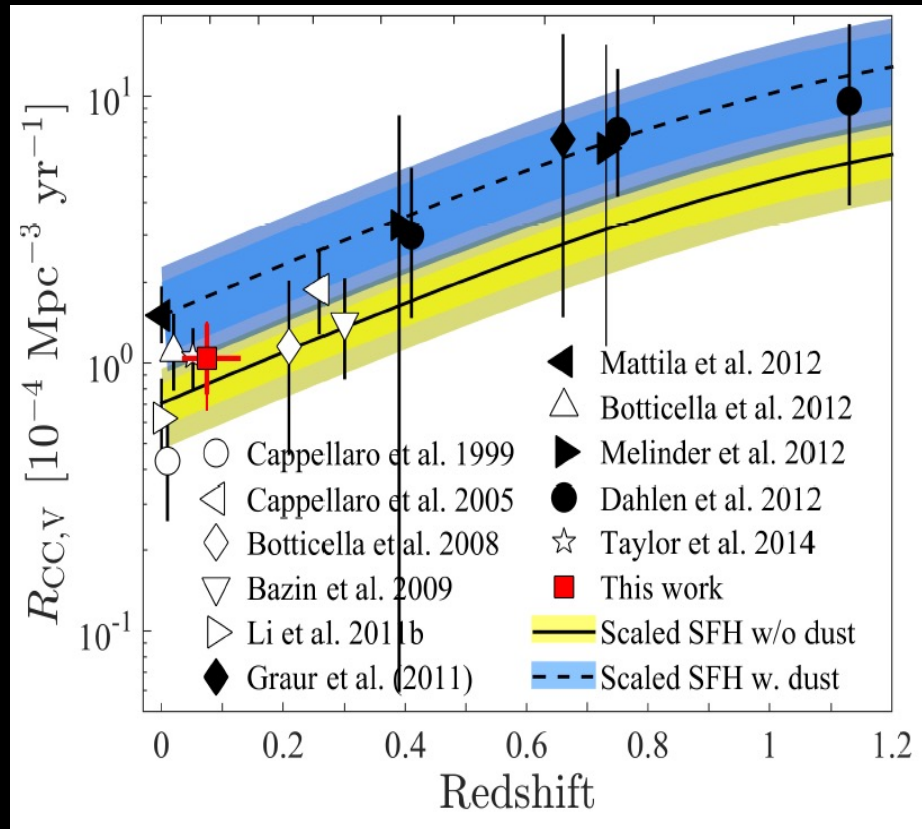
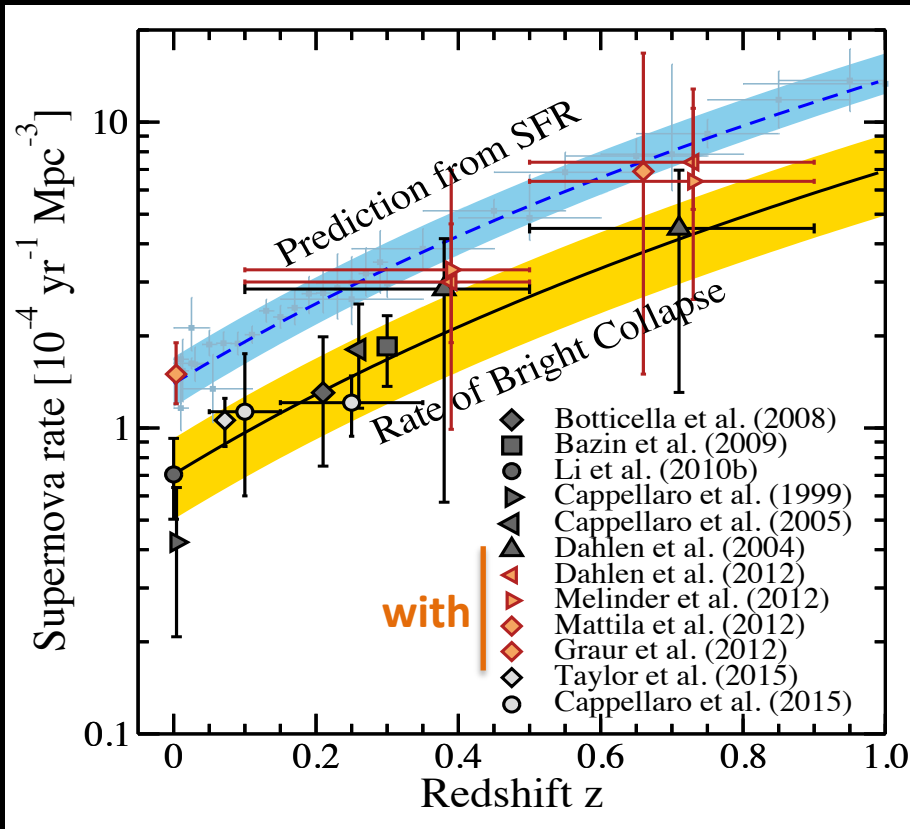
Horiuchi & Beacom (2010) Madau & Dickinson (2014)

# Cosmic comparison



\*because lifetime of massive stars are cosmologically short

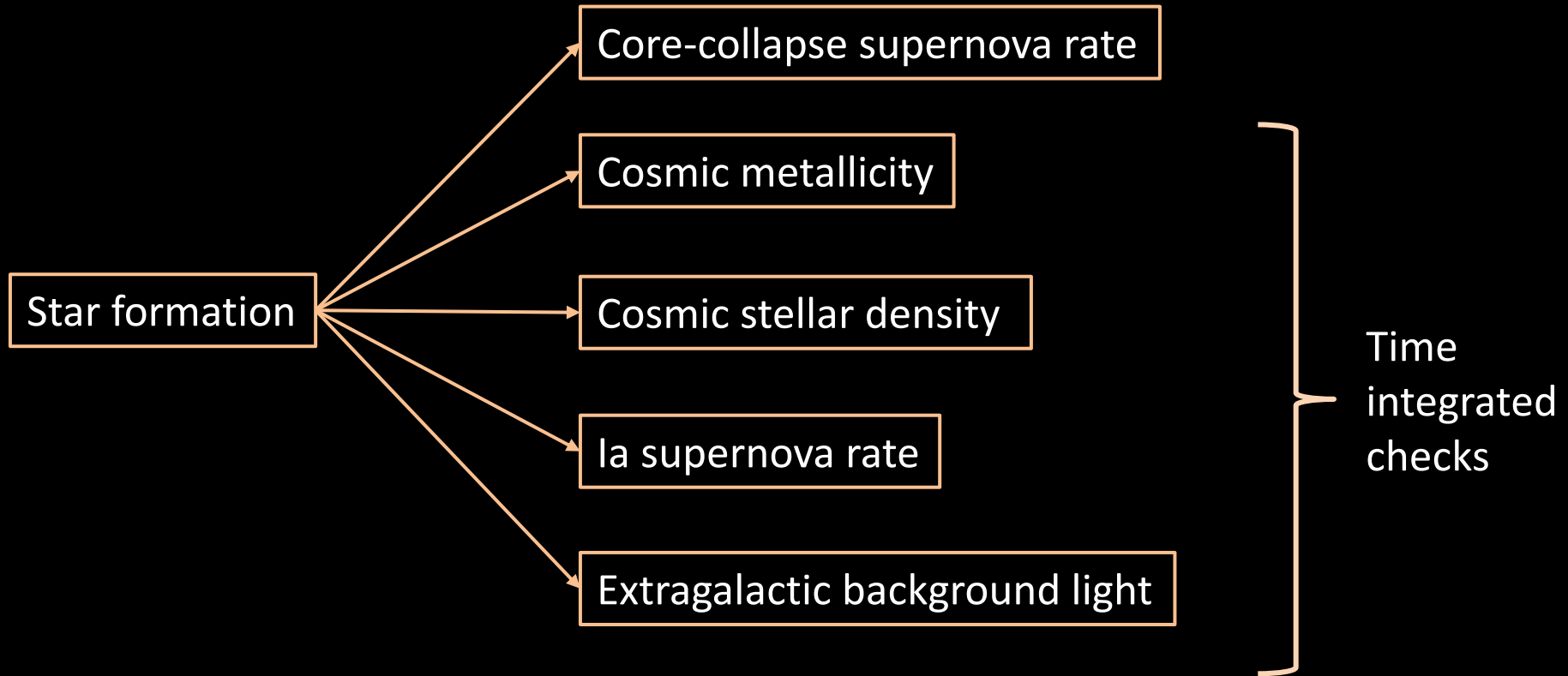
Correct for especially heavy attenuation by dust (filled symbols)



Updated from Horiuchi et al (2011) Graur et al (2015)



# Examples of cosmic cross checks

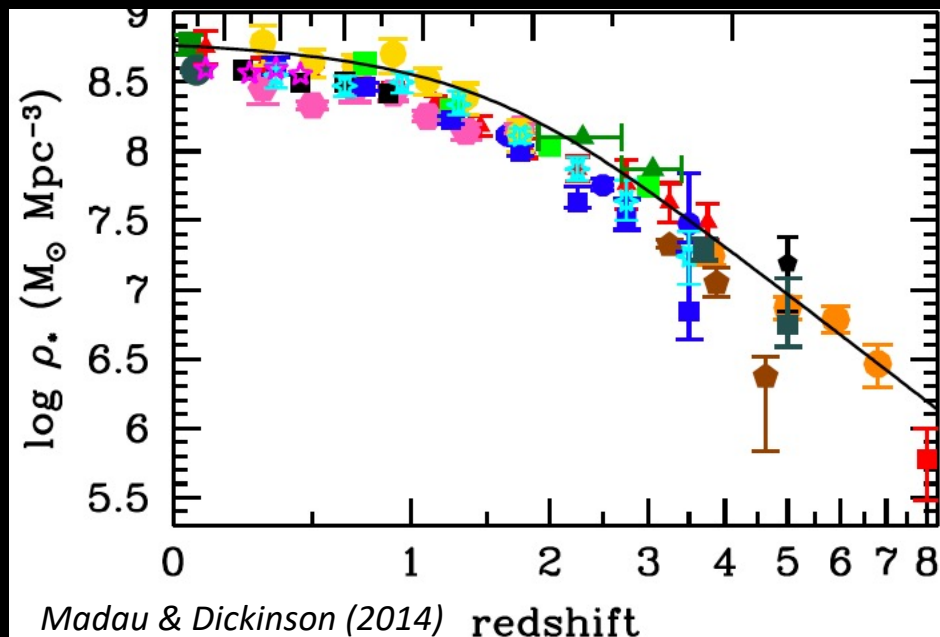
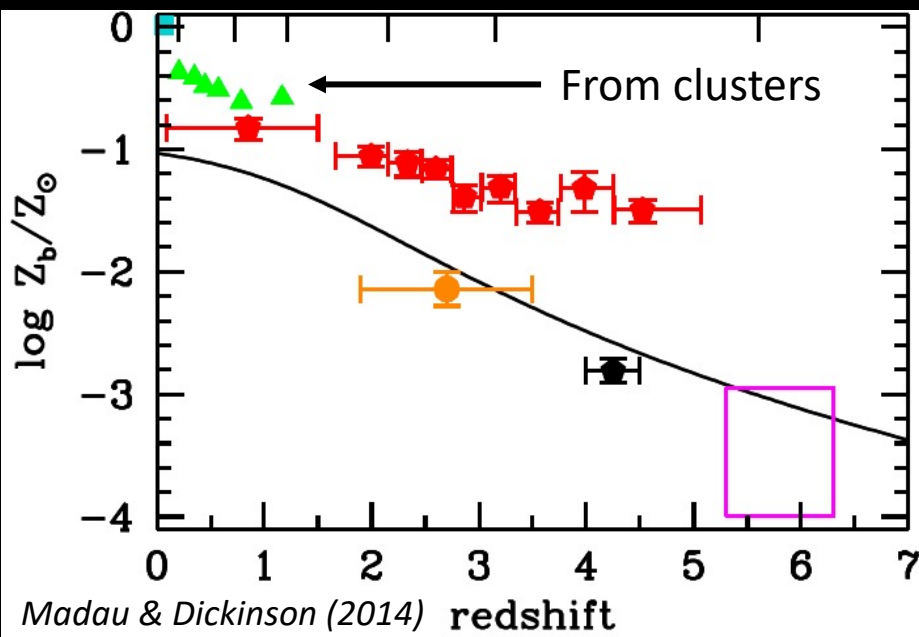


# Integrated cross checks

Star formation

Cosmic metallicity  
\*measurement systematics

Cosmic stellar density  
\*Sensitive to cosmic initial mass function



# Integrated cross checks

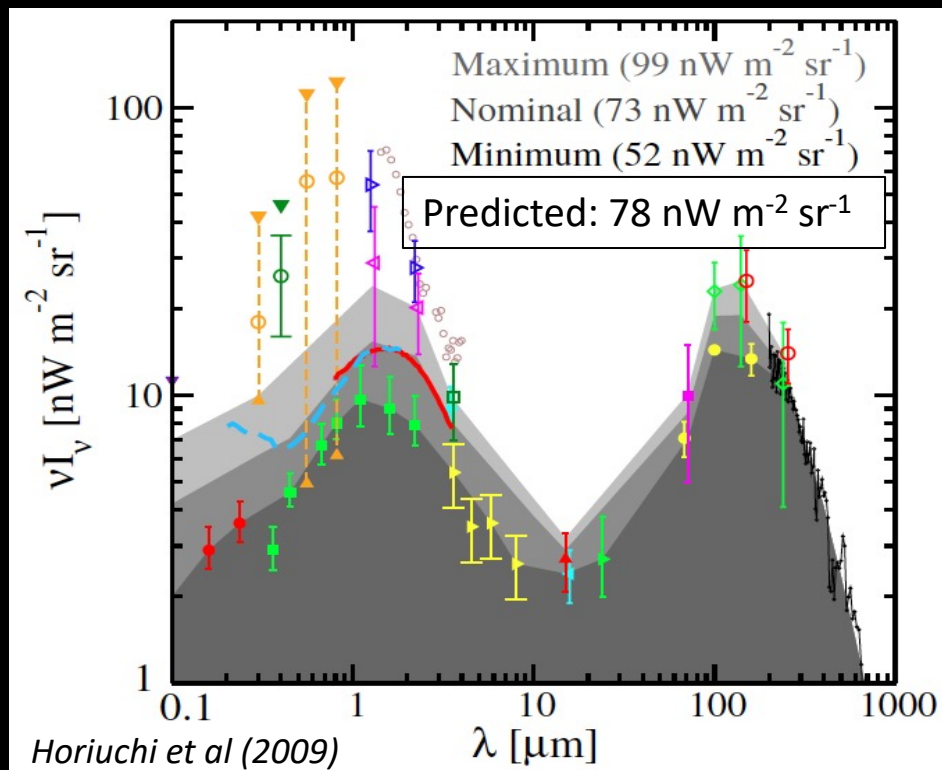
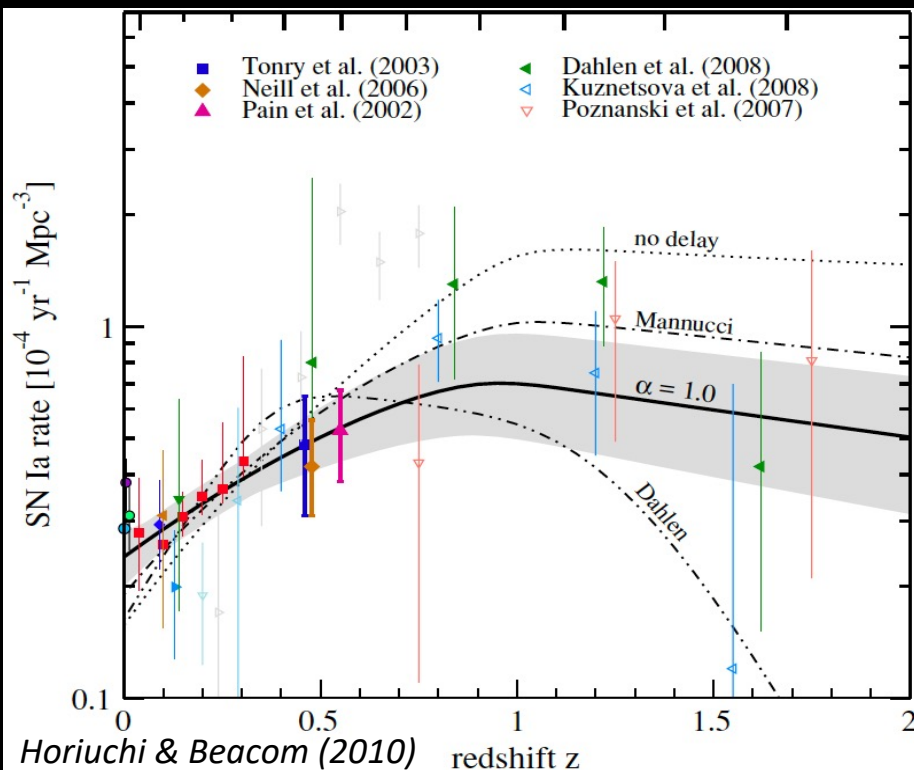
Star formation

Ia supernova rate

\*Needs delay-time distribution

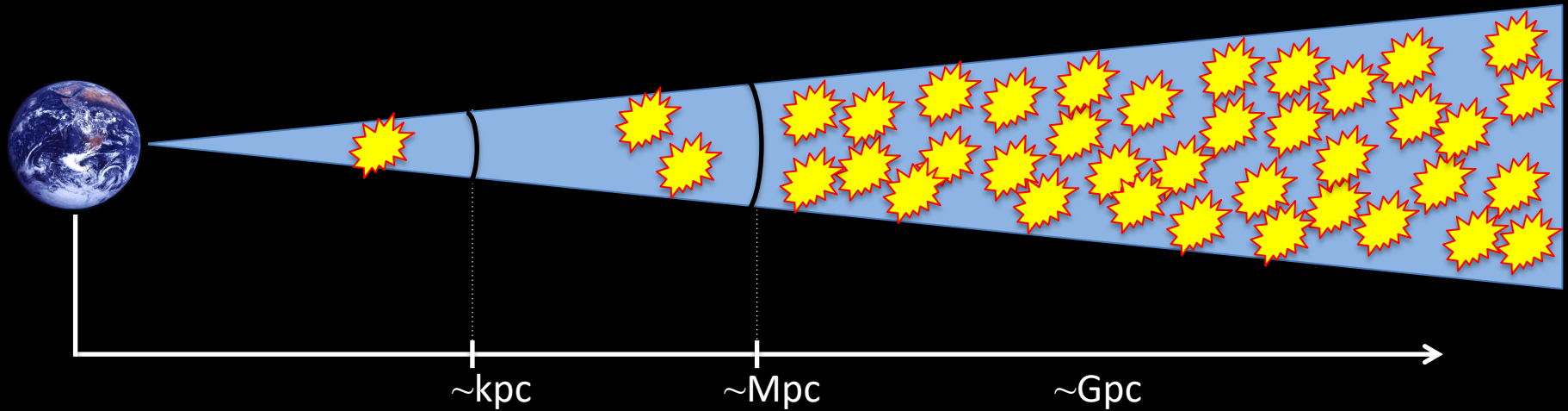
Extragalactic background light

\*Measurement systematics





# Necessary ingredients



1. Rate of massive star core collapse

2. Averaged neutrino emission from many core collapse

$$\frac{dN_e}{dE_e}(E_e) = N_p \sigma(E_\nu) \int R_{\text{CCSN}}(z) \left| \frac{cdt}{dz} \right| (1+z) \frac{dN_\nu}{dE_\nu} [E_\nu(1+z)] dz$$

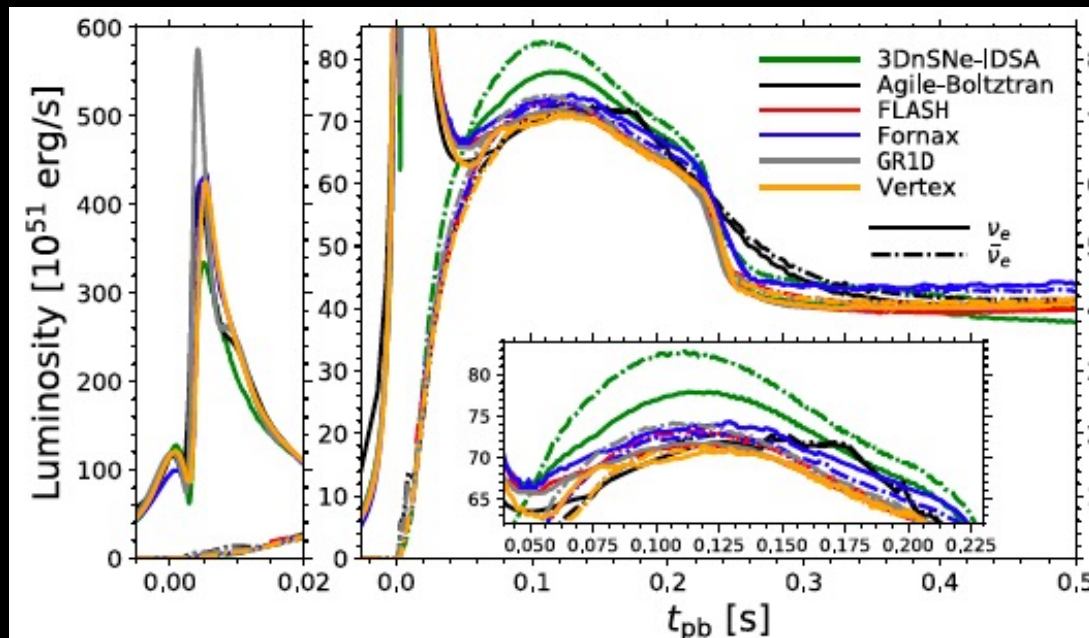
Cross sections, detector capabilities

# Neutrino emission

## Much progress on numerical studies of core-collapse neutrino emission

- ✓ Three-dimensional simulations
- ✓ Input microphysics
- ✓ Systematic code comparison
- ✓ Oscillation likely get averaged out to MSW

*Lunardini & Tamborra (2012)*



*O'Connor et al (2018)*

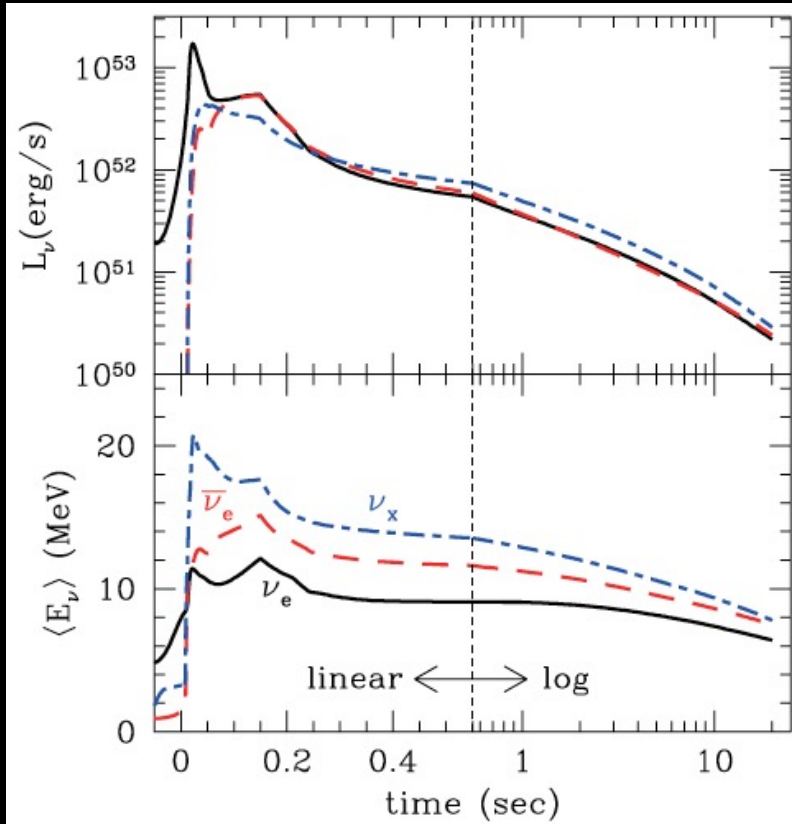
However, there are some unique challenges for the DSNB:

1. What is the long-term time-integrated neutrino emission?
2. What is the diversity in neutrino emissions?
3. How to account for collapse to black holes?
4. How to account for stellar binary effects?

# 1. long-term simulations

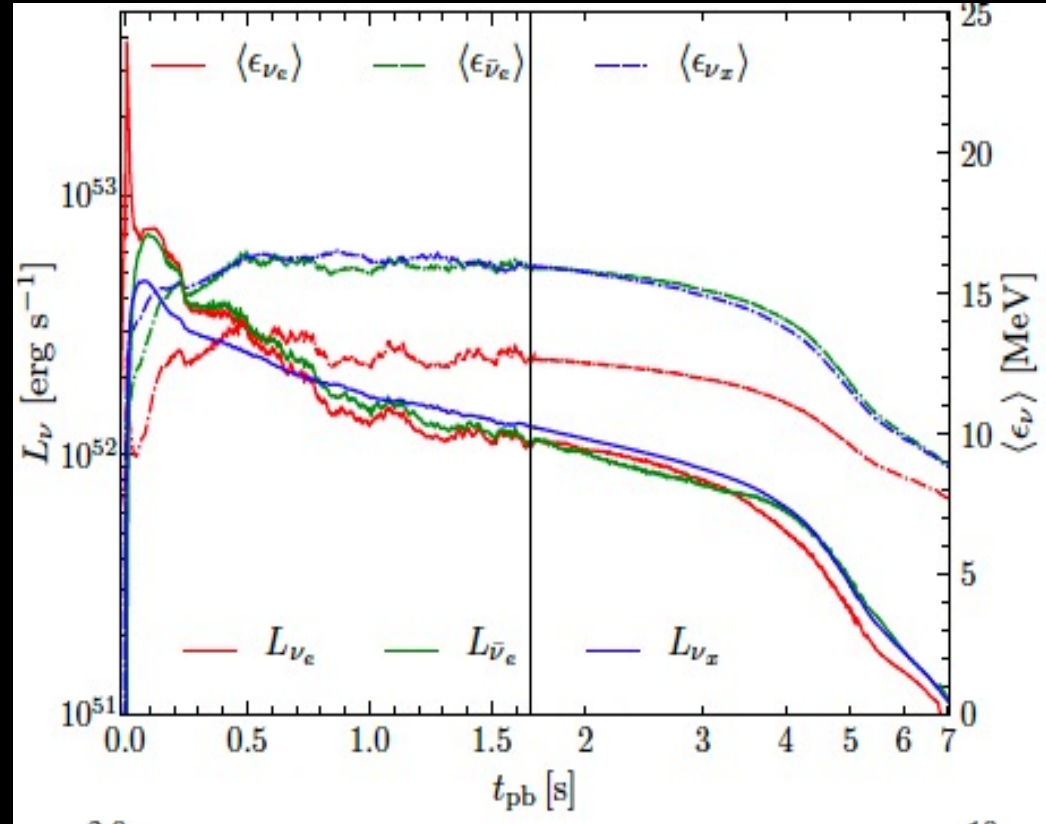
**Growing availability:** Long-term ( $\sim 10$  sec) simulations are feasible by switching from hydro to cooling after shock expansion

With 1D hydro, systematic sample



*Nakazato et al (2013)*

With 3D hydro, single progenitor



*Bollig et al (2021)*

Other long-term simulations, e.g.:

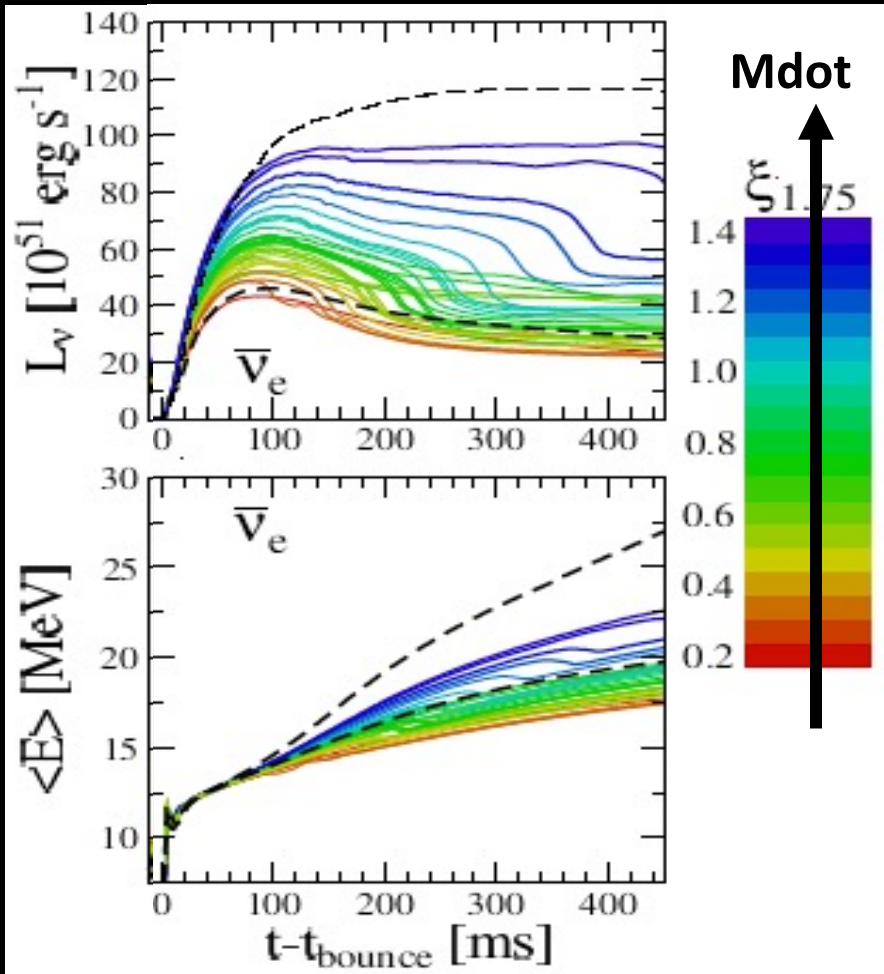
*Fischer et al (2009), Hudepohl et al (2010), Nakamura et al (2016), Suwa et al (2019), Sumiyoshi et al (2019), Li et al (2020), Nagakura et al (2021), Nakazato et al (2021)*



# 2. Diversity in neutrino emission

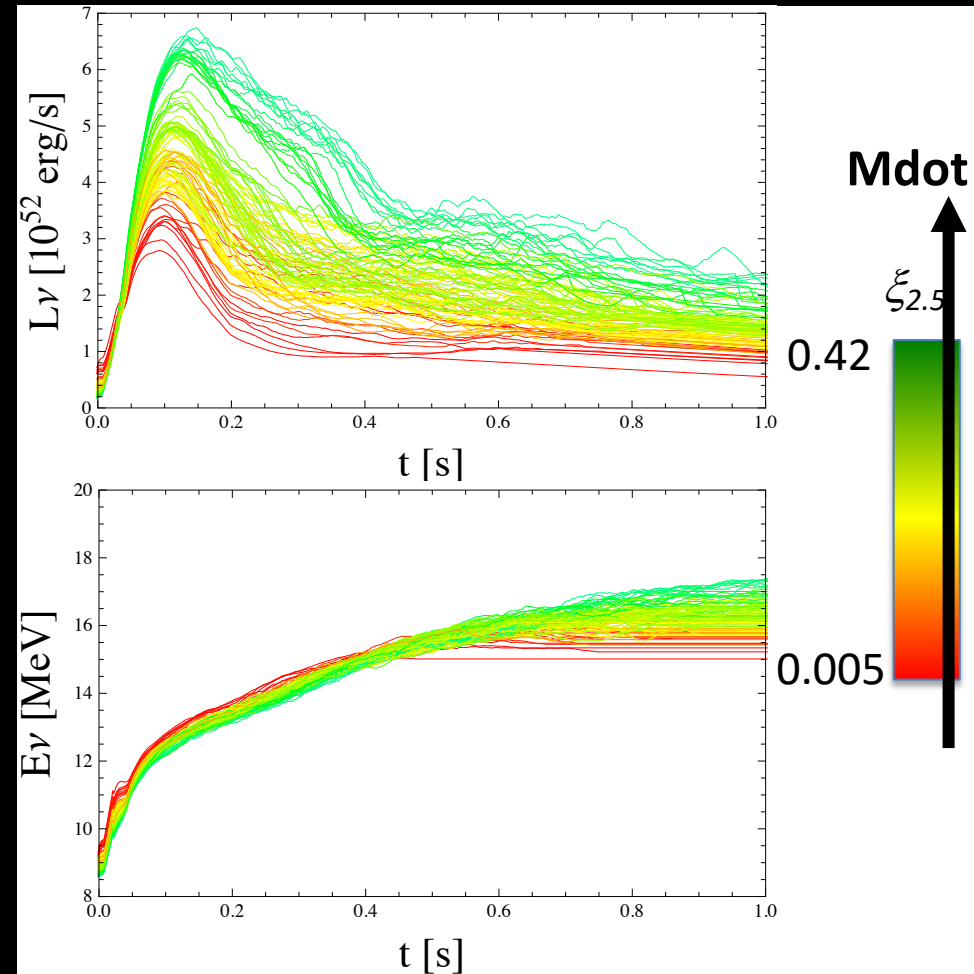
**Strong variations:** neutrino light curve reflects the progenitor's density profile

1D simulations



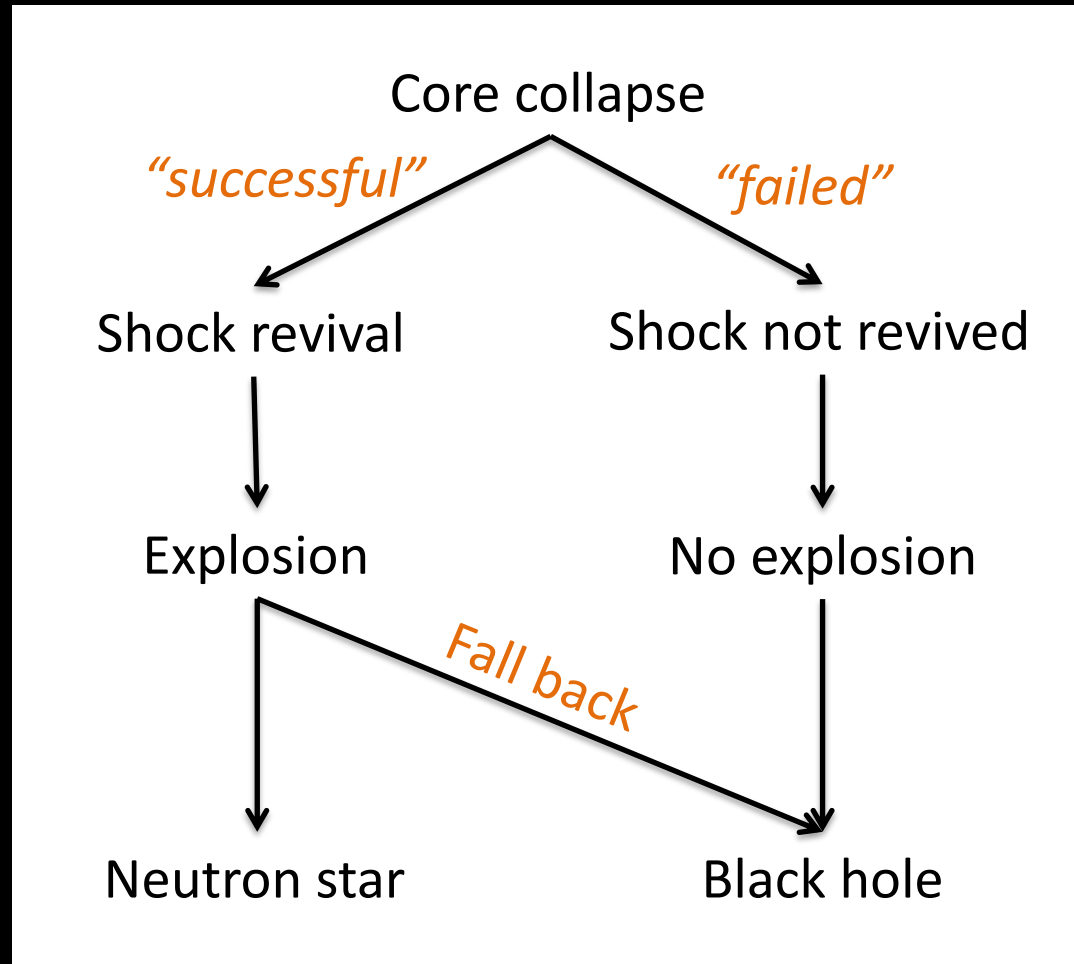
O'Connor & Ott (2013)

2D simulations



Based on Nakamura et al (2015)

# 3. Account for collapse to black holes

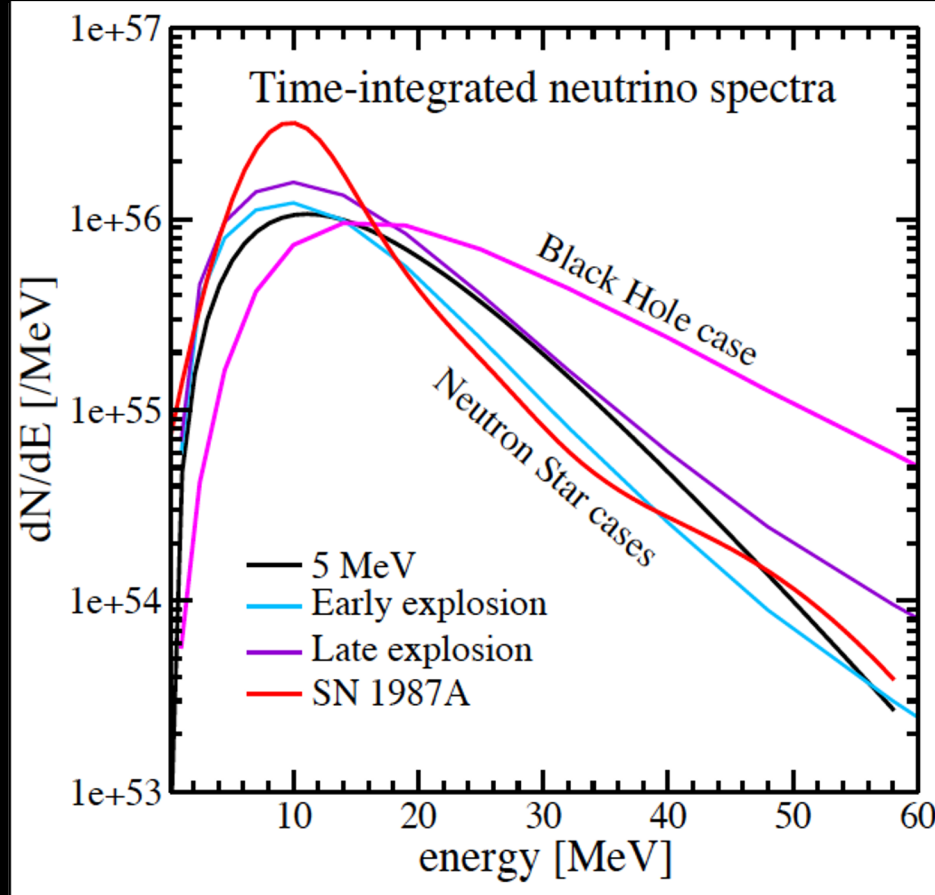
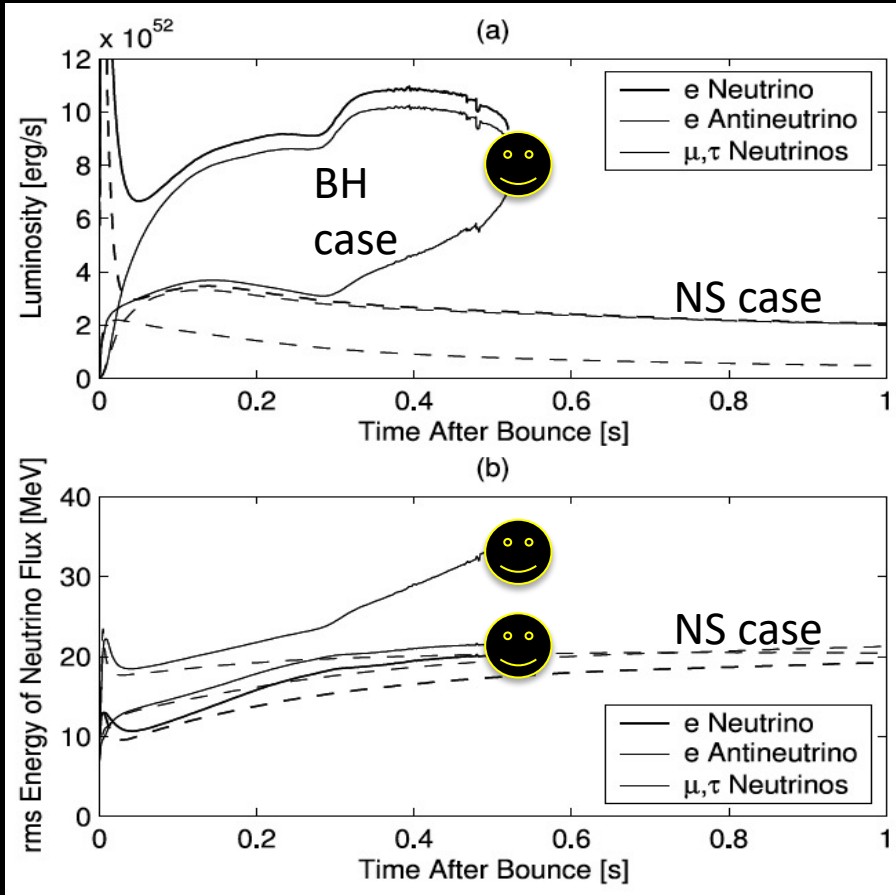


# Collapse to black holes

## Neutrinos from collapse to black hole

Black hole formation goes through high mass accretion

→  $\nu$  emission is more luminous and hotter (depends strongly on EOS)



Liebendoerfer et al 2004

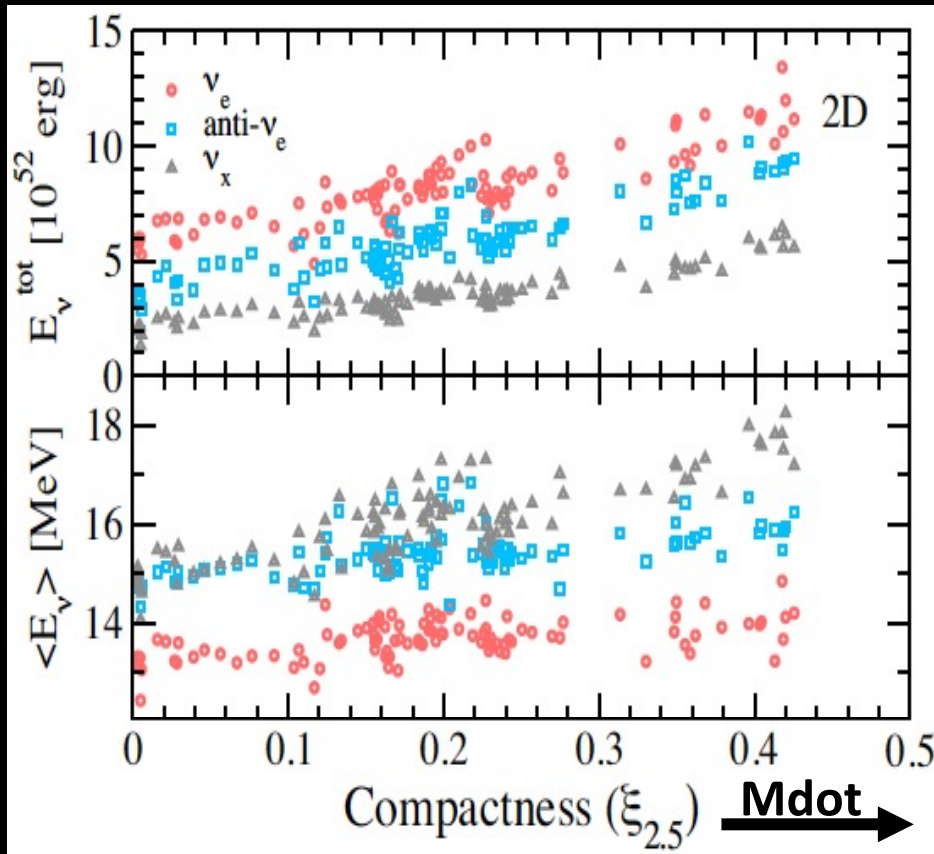
Studied by many groups, e.g., Fischer et al, Sumiyoshi et al, Nakazato et al, Ott et al, O'Connor et al, Kuroda et al.

# Time-integrated neutrino emission

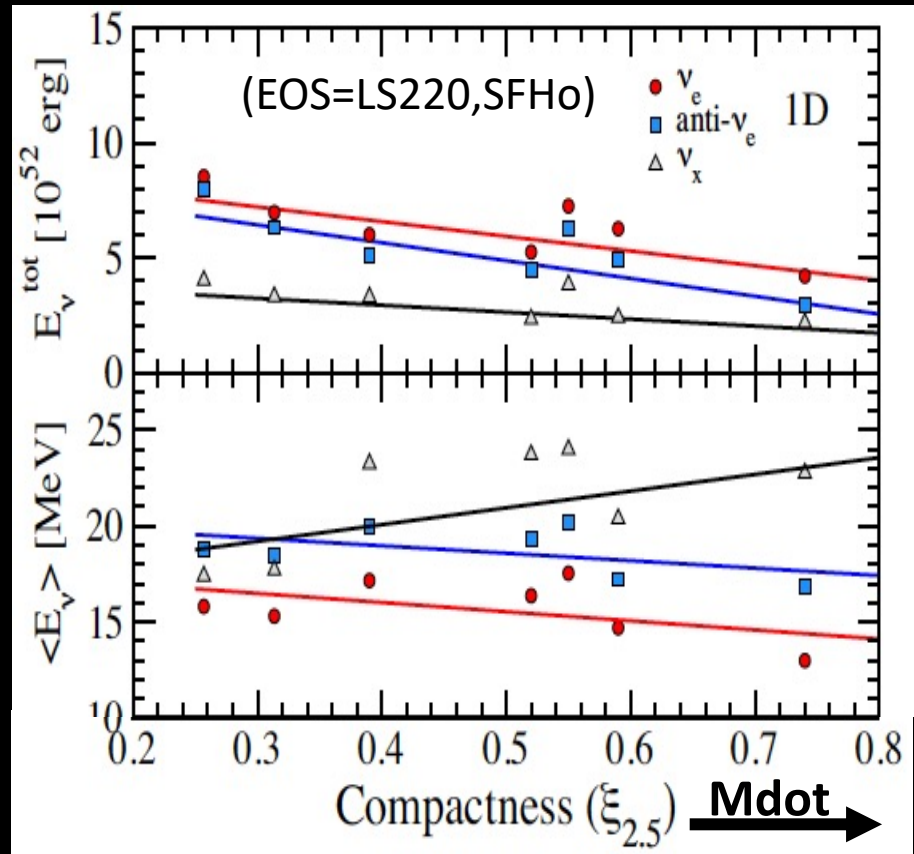
## Systematic dependence on progenitor

Based on 100+ simulations (2D) of *Nakamura et al 2015*, 18 simulations (2D) of *Summa et al 2016*, and multiple BH simulations (1D).

### Collapse to neutron stars



### Collapse to black holes



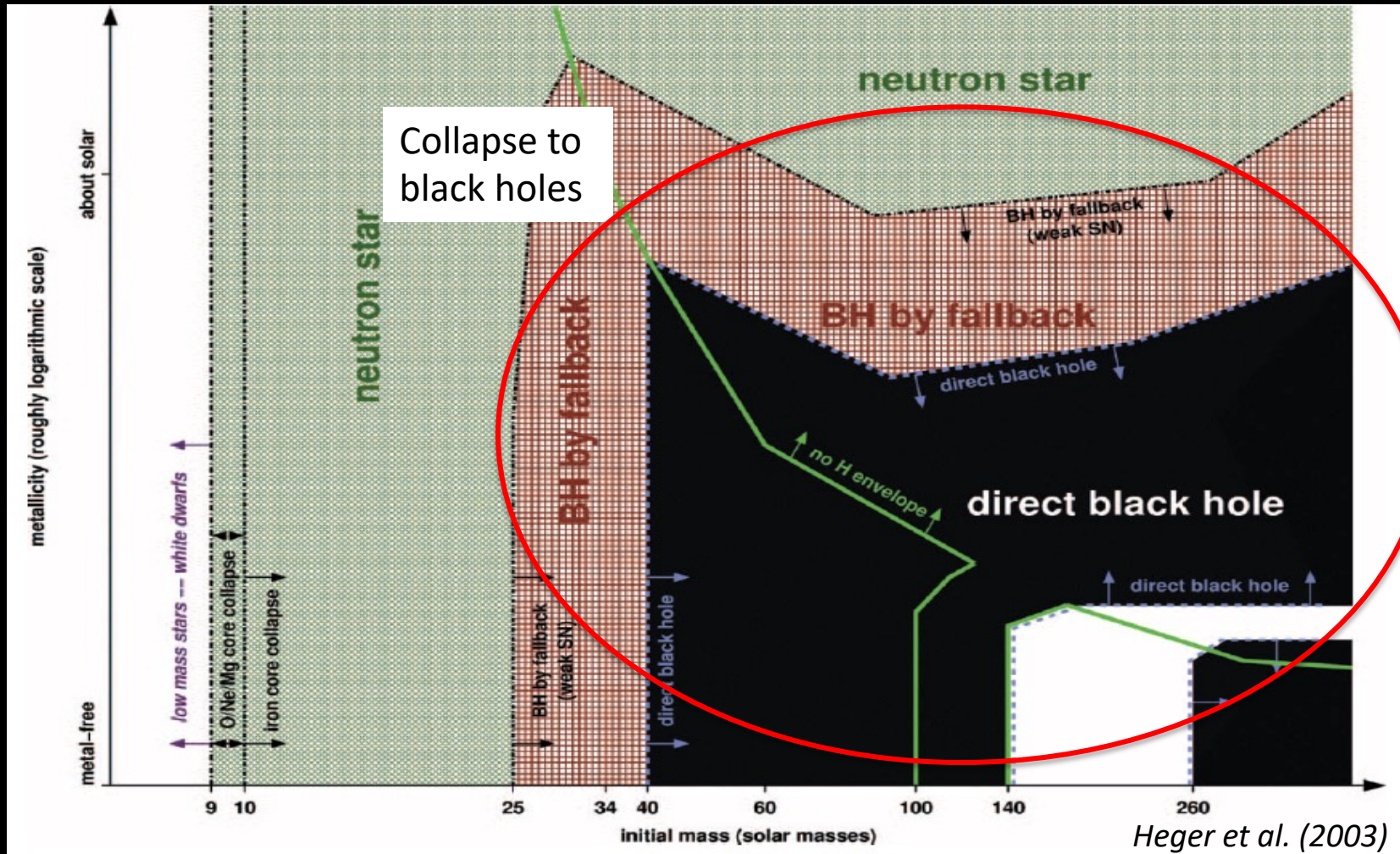
Horiuchi et al (2018)



# Which stars collapse to black holes?

The expectation circa 2000:

Qualitative expectations, no binaries, no rotation, metal-driven mass loss only



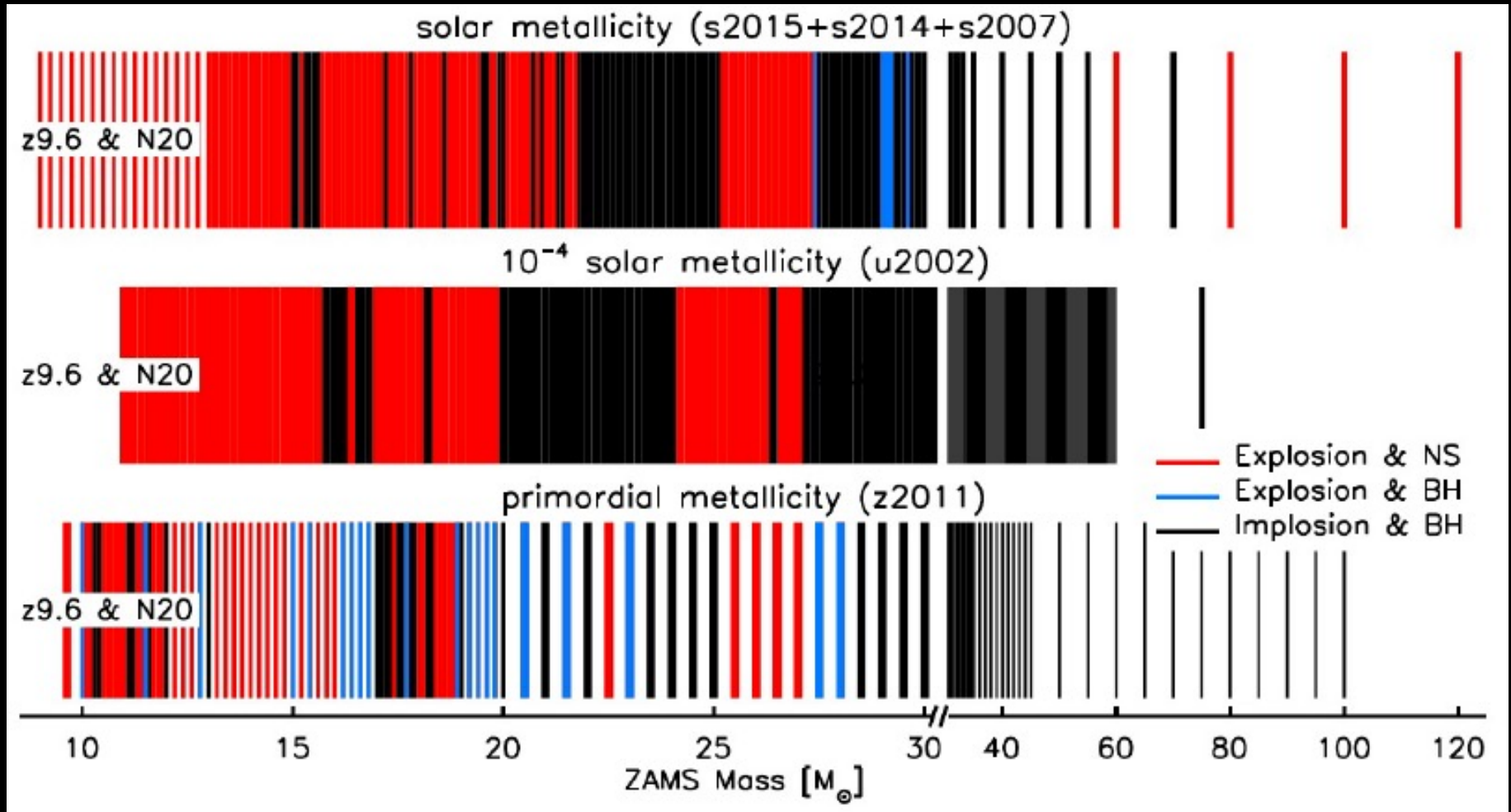
Heger et al. (2003)



# Which stars collapse to black holes?

## Emerging picture:

Thinking in mass looks incomplete. Trends are deeply connected to progenitor.



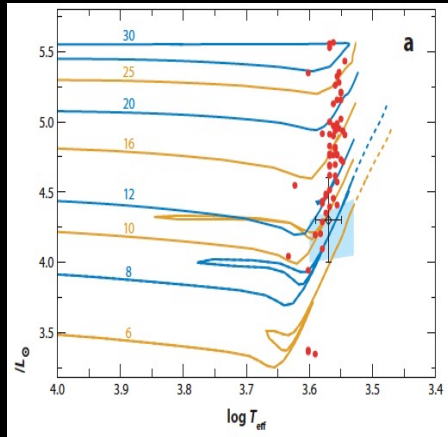
Janka 2017; see also O'Connot & Ott (2011), Ugliano et al (2012), Horiuchi et al (2014), Pejcha & Thompson (2015),

Shunsaku Horiuchi Nakamura et al (2015), Ertl et al (2016), Sukhbold et al (2016), Mueller et al (2016), Kresse et al (2021) 19

# How many black holes?

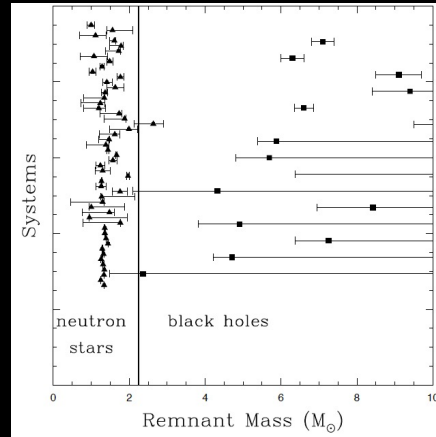
Growing evidence for a large fraction (~20%) of implosions

Red supergiant problem



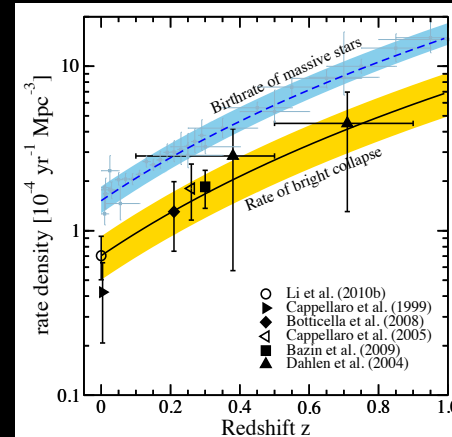
Smartt et al (2009)  
Jerkstrand et al (2015)  
Smartt et al (2015)

Black hole mass function



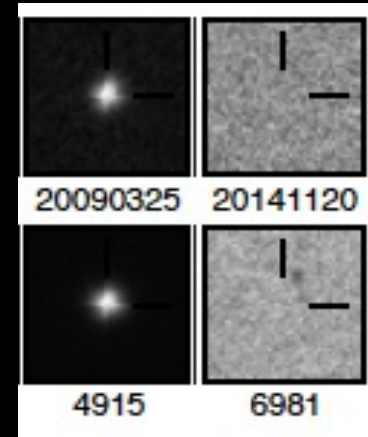
Kochanek (2014, 2015)

Supernova rate



Horiuchi et al (2011)  
Yuksel & Kistler (2014)  
Graur et al (2015)

Survey about nothing



Kochanek et al (2008)  
Gerke et al (2015)  
Neustadt et al (2021)

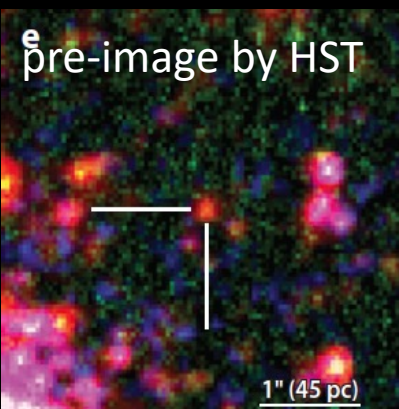
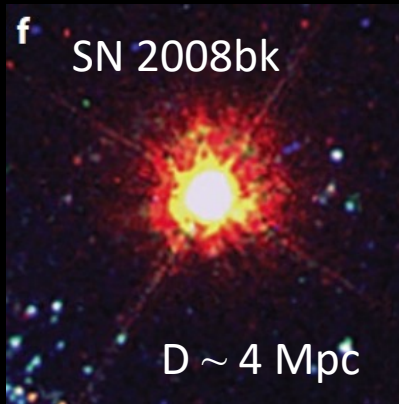
+ supernova remnants, nebular spectra

See, eg, Jennings et al (2012, 2014); also Diaz-Rodriguez et al (2018), but Auchtettl et al (2019)  
Also, Valenti et al (2016), Jerkstrand et al (2015)

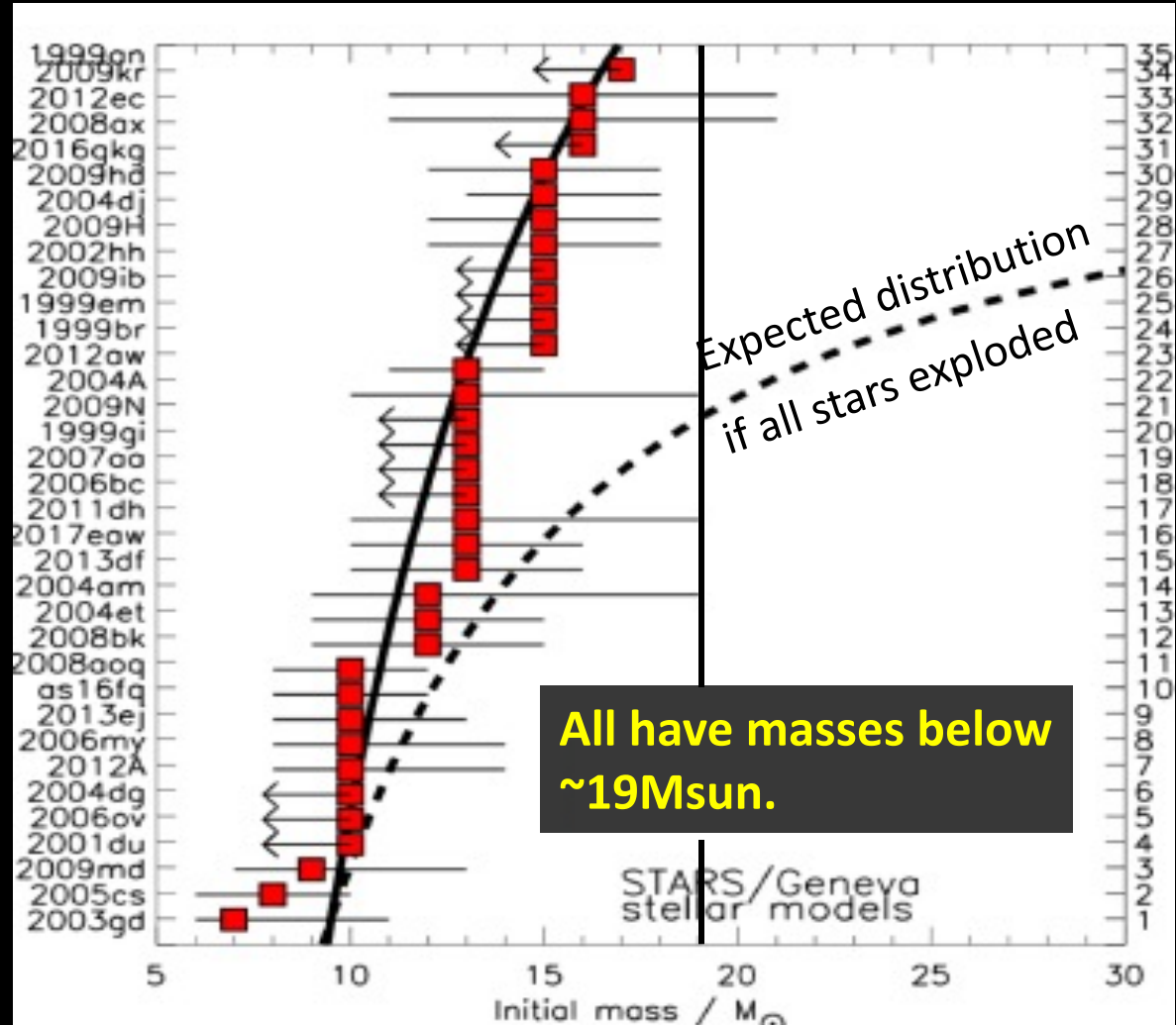
# Looking for explosions

## Pre-imaging:

Limited to nearby SNe, highly successful



Now: 35 supernovae (20 detections, 15 upper limits)



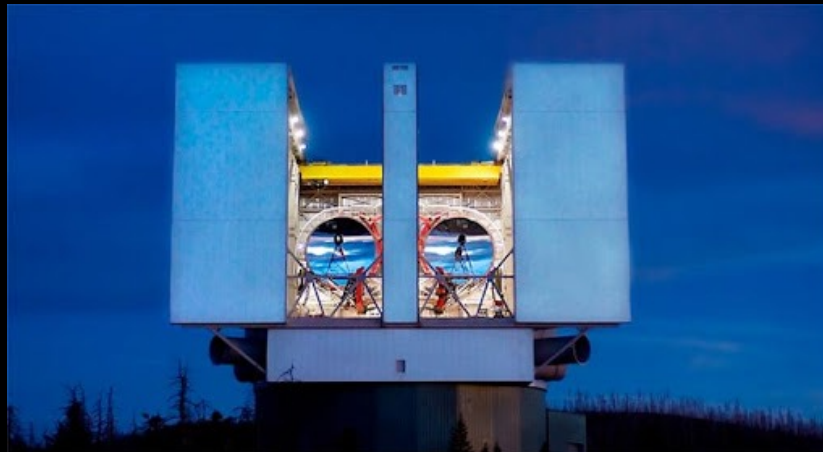
# Looking for implosions

## Look for disappearance of stars

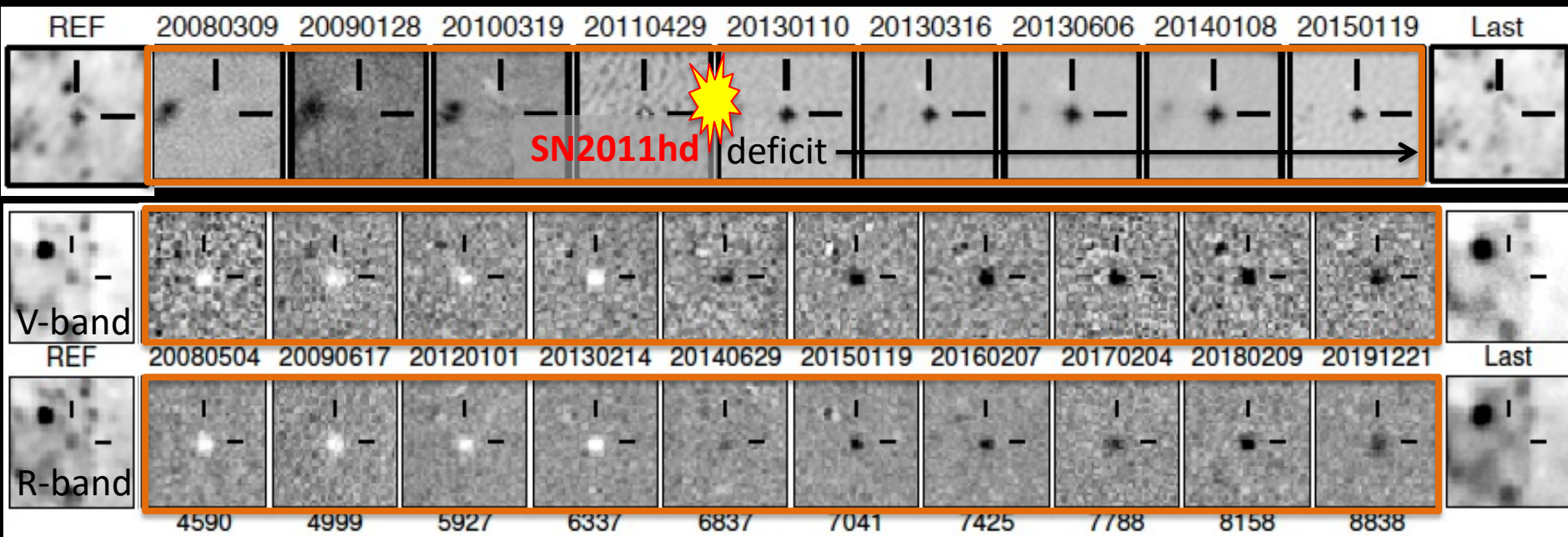
Monitor  $\sim 27$  galaxies

- Survey  $\sim 10^6$  red supergiants
- Expect  $\sim 1$  core collapse /yr
- In 10 years, sensitive to 20 – 30% failed fraction at 90% CL

*Kochanek et al. (2008)*





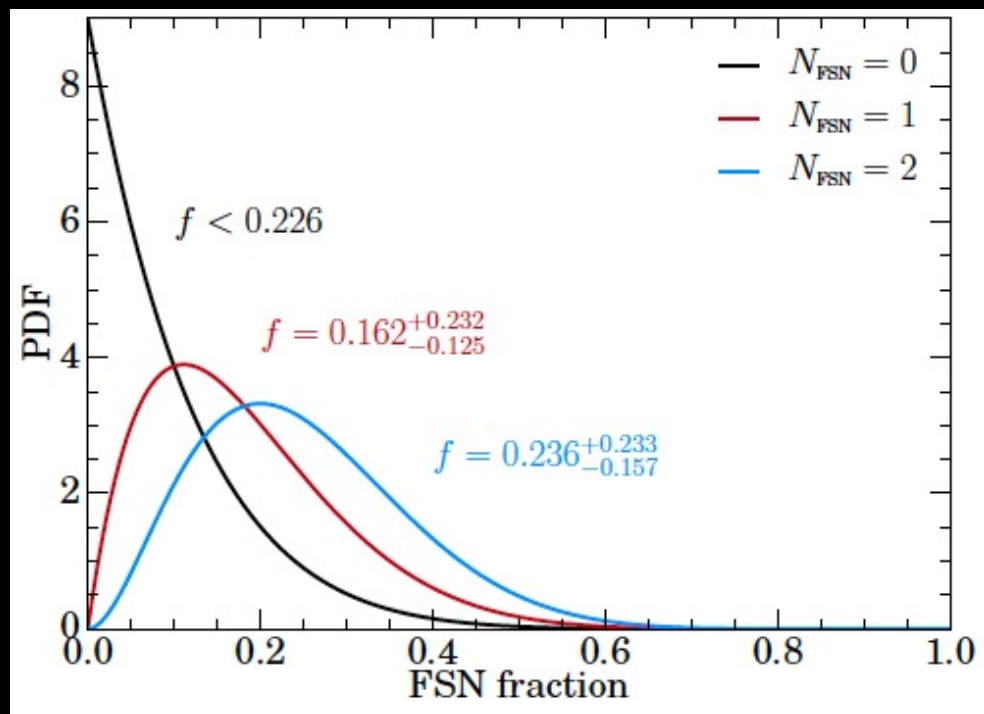


### In 11 years running,

- ✓ 9 luminous CC supernovae
- ✓ 2 implosion candidates
  - NGC6946-BH1: SED well fit by  $\sim 25$  Msun RSG
  - M101-OC1: follow-up ongoing

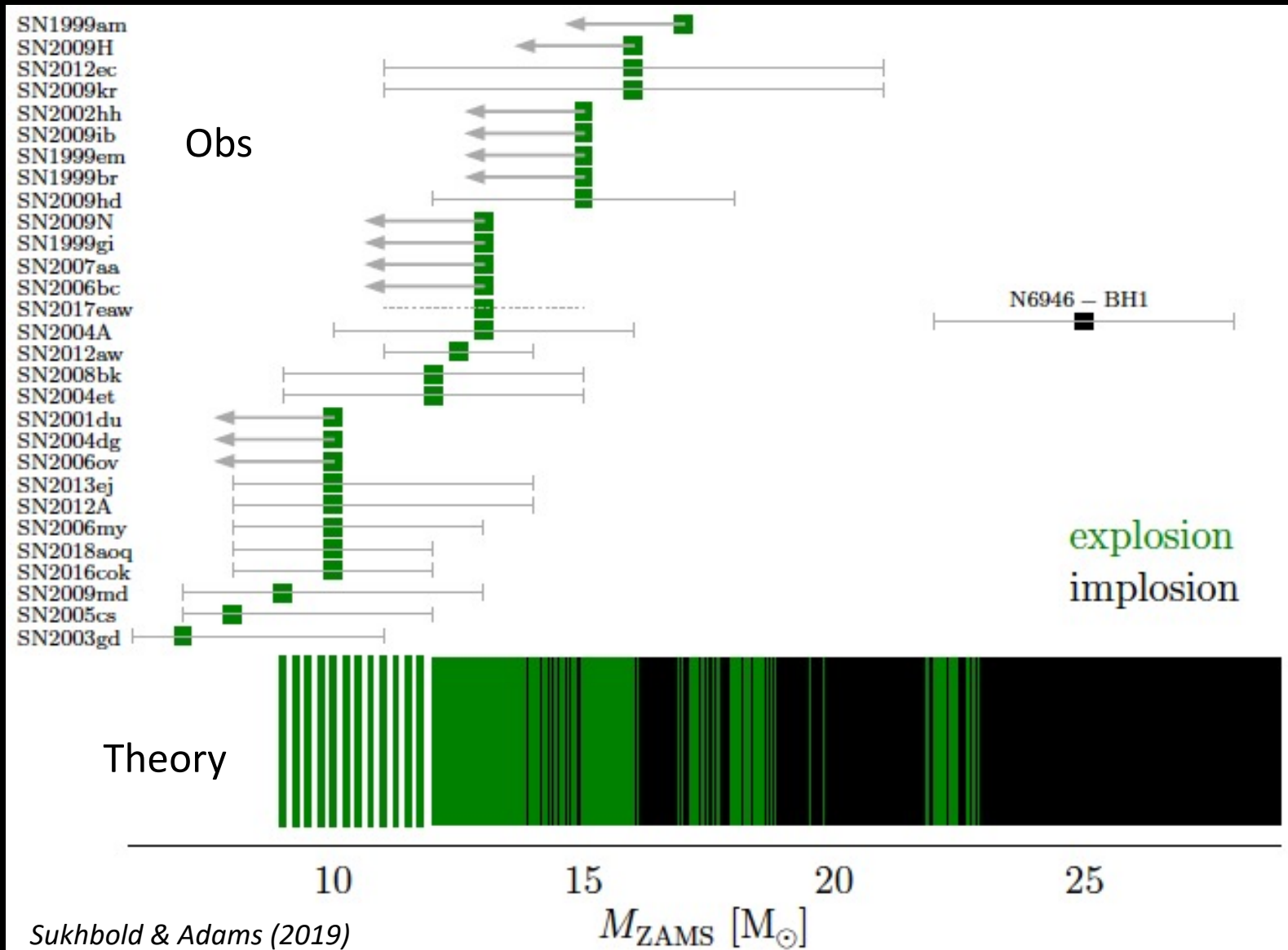
*Neustadt et al (2021)*

*Also: Gerke et al(2015), Adams et al ( 2017), Reynolds et al (2016)*



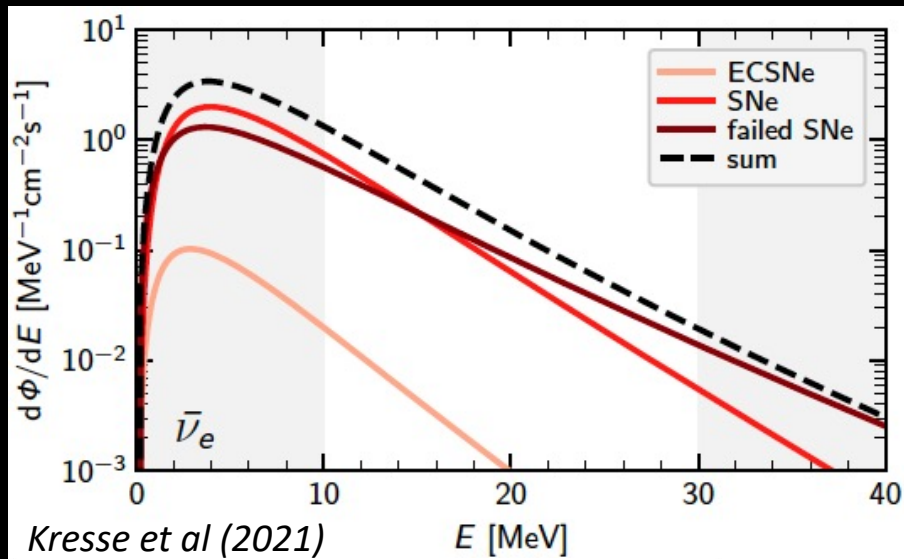


# The emerging picture

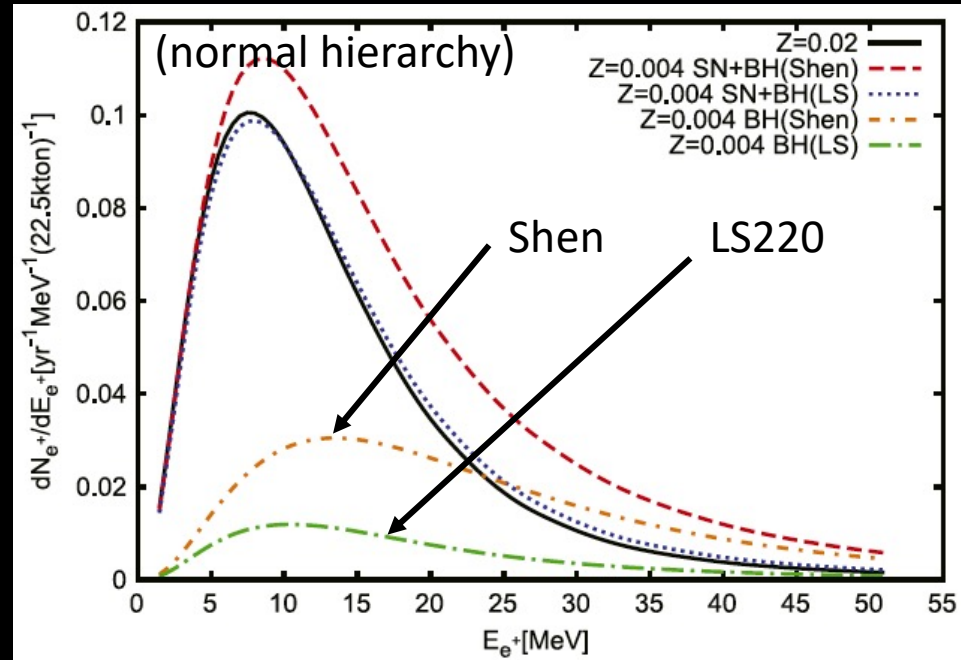


# Impacts on diffuse supernova neutrinos

- Collapse to black holes  $\rightarrow$  larger high-energy flux  $\rightarrow$  more events
- Increase is about  $\sim 15\%$  (for 17% BH fraction) but can be higher
- Depends on EOS, metallicity, neutrino hierarchy



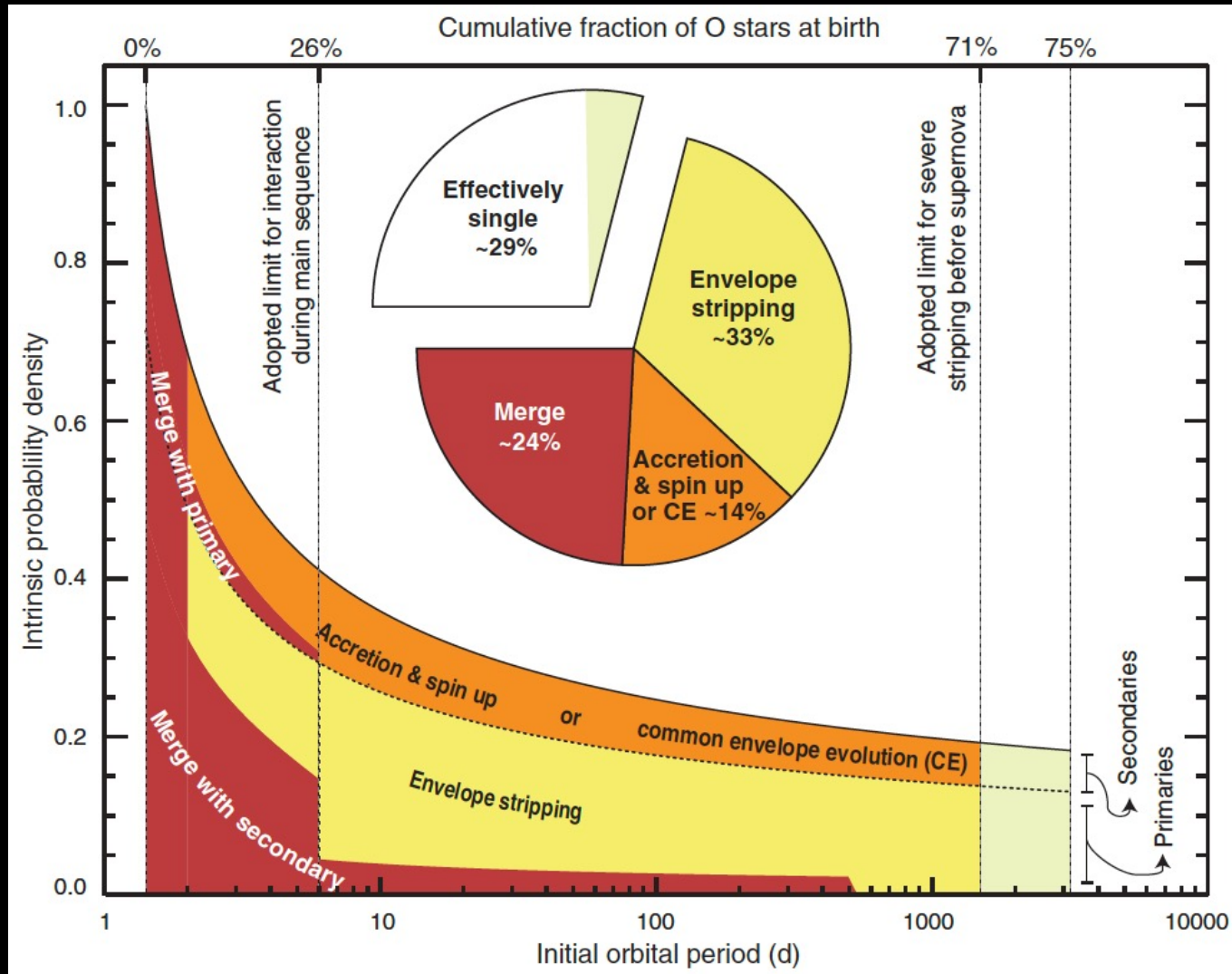
Engine Model	Successful SNe	Failed SNe
Z9.6 & S19.8	82.2%	17.8%
Z9.6 & N20	77.2%	22.8%
Z9.6 & W18	73.1%	26.9%
Z9.6 & W15	70.9%	29.1%
Z9.6 & W20	58.3%	41.7%



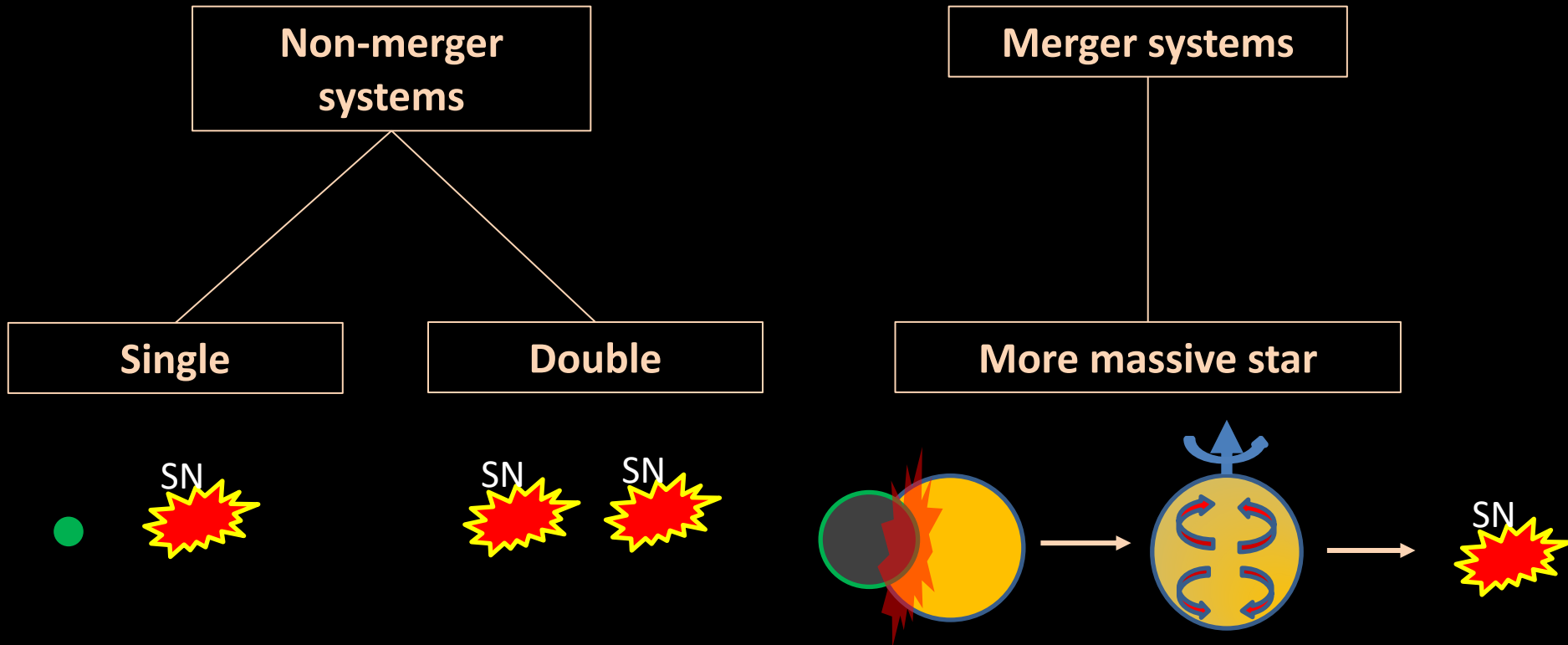
See also: Lunardini (2009), Lien et al (2010), Yang & Lunardini (2011), Keehnn & Lunardini (2012), Nakazato (2013), Mathews et al (2014), Yuksel & Kistler (2015), Hidaka et al (2016), Priya & Lunardini (2017), Moller et al (2018), Horiuchi et al (2018)

# 4. Binary effects

The majority of massive stars evolve in binaries



# Binary outcomes



Visuals: thanks to Kinugawa

# Binary effects: supernova progenitors

## Effect 1: binary effect increases number of supernova progenitors

	Merger		Non-merger		Ratio wrt no binary, $f_b$
	(Rotation)		Double	Single	
No binary evolution	0	0	122,600	171,002	1
Binary $\alpha\lambda = 0.1$ Extrapolated	155,235	315,722	75,723	109,276	1.76
Binary $\alpha\lambda = 0.1$ Fiducial	155,235	50,102	75,723	109,276	1.24
Binary $\alpha\lambda = 0.1$ No rotation	155,235	0	75,723	109,276	1.00
Binary $\alpha\lambda = 1$ Extrapolated	140,467	196,983	83,070	131,679	1.53
Binary $\alpha\lambda = 1$ Fiducial	140,467	39,869	83,070	131,679	1.24
Binary $\alpha\lambda = 1$ No rotation	140,467	0	83,070	131,679	1.05

Horiuchi et al (2021)

\*\*Note:  $\alpha\lambda$  are common  
envelop modeling  
parameters

The increase depends on the treatment of post-merger rotation

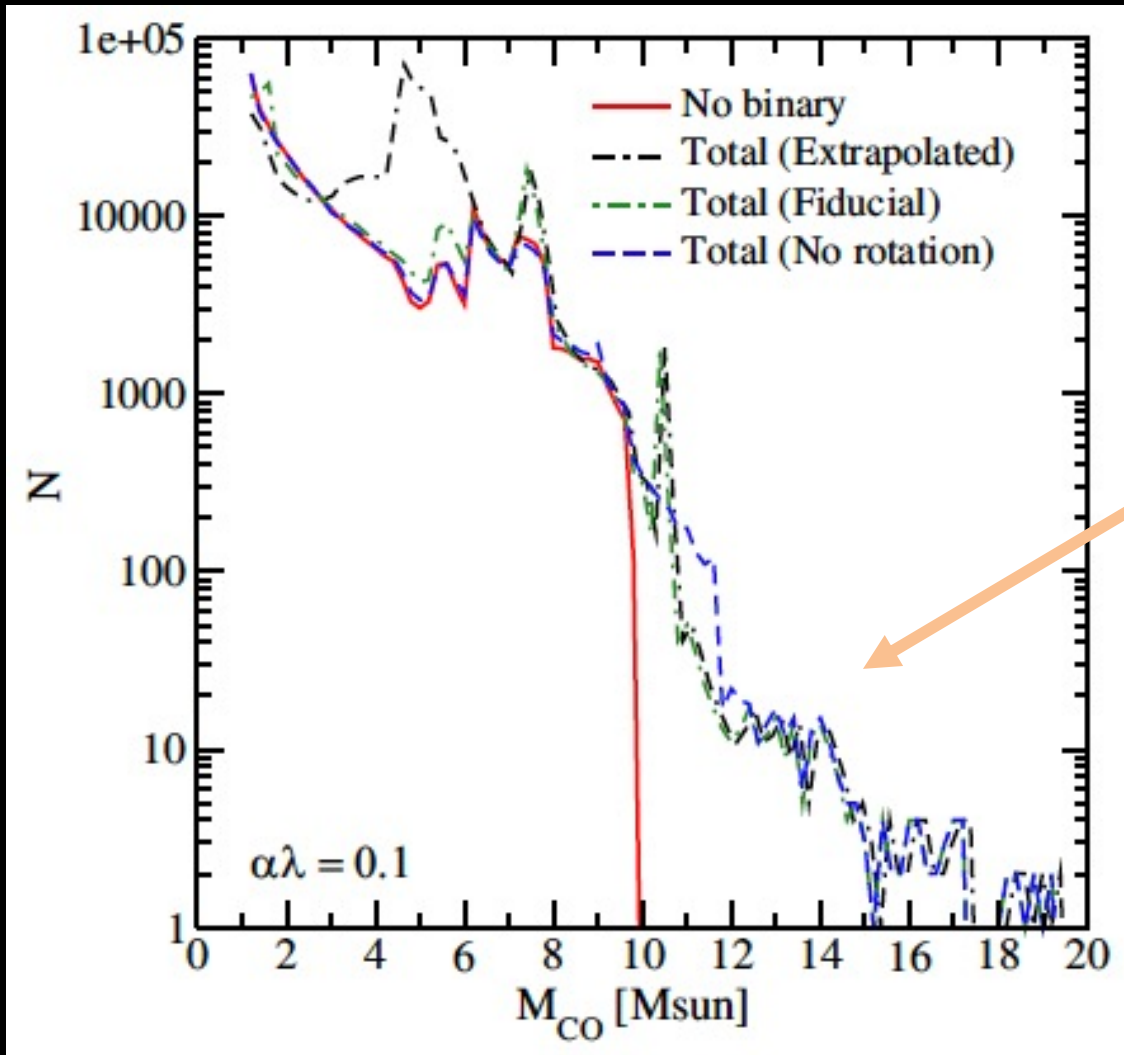
- In our fiducial model, ~25% increase
- Up to +75%

(Note: Kresse et al 2021 reports reduction but neglects mass gain and mergers)



# Binary effects: supernova progenitors

## Effect 2: binary effect creates very massive cores for collapse



Many more high CO mass progenitors due to mass transfer & mergers

# Impacts on diffuse supernova neutrinos

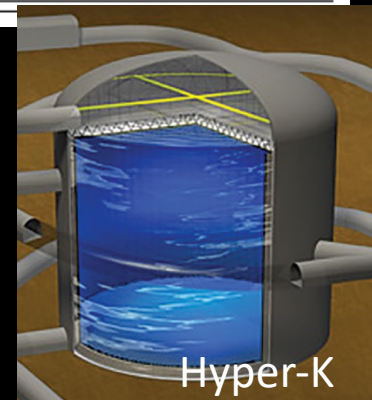
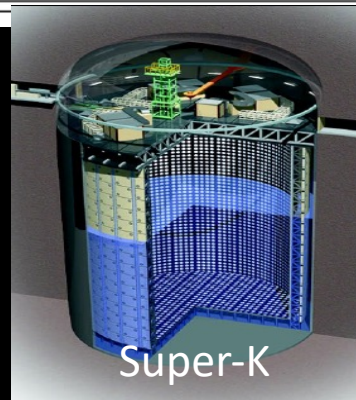
More realistic binary treatment leads to improved detection prospects

	SK-Gd [/yr]		HK [/yr]	
	Normal	Inverted	Normal	Inverted
No binary evolution	2.3	2.4	5.5	6.2
Binary $\alpha\lambda = 0.1$ Extrapolated	4.7	4.6	11.4	12.0
Binary $\alpha\lambda = 0.1$ Fiducial	2.7	2.7	6.4	7.1
Binary $\alpha\lambda = 0.1$ No rotation	2.3	2.4	5.5	6.2
Binary $\alpha\lambda = 1$ Extrapolated	3.8	3.8	9.1	9.9
Binary $\alpha\lambda = 1$ Fiducial	2.7	2.7	6.3	7.0
Binary $\alpha\lambda = 1$ No rotation	2.3	2.5	5.5	6.4

Fiducial model:  
~20% increase

\*\*Important: black hole contributions are not included here, real rates can be even higher

Horiuchi et al (2021)



# Concluding remarks

Summary: the diffuse supernova neutrino background is *guaranteed*

- ✓ **We know core collapse occur frequently**  
(direct observations + cross checks)
- ✓ **We know core collapses must emit neutrinos**  
(SN1987A + simulations)

➔ **Must look and find the diffuse signal!**

Various predictions:

- ✓ Differences in: supernova rate star formation rate, dust
- ✓ Differences in: progenitor, simulations, black hole treatment, EOS, ...

Future connections:

- ✓ Star formation, stellar density, metallicity evolutions
- ✓ Long-term simulations, treatments of black holes
- ✓ Binary interactions

**Thank you!**



# Compactness: a progenitor indicator

## Compactness:

Captures the density structure of the progenitor, which impacts mass accretion evolution

*O'Connor & Ott (2011)*

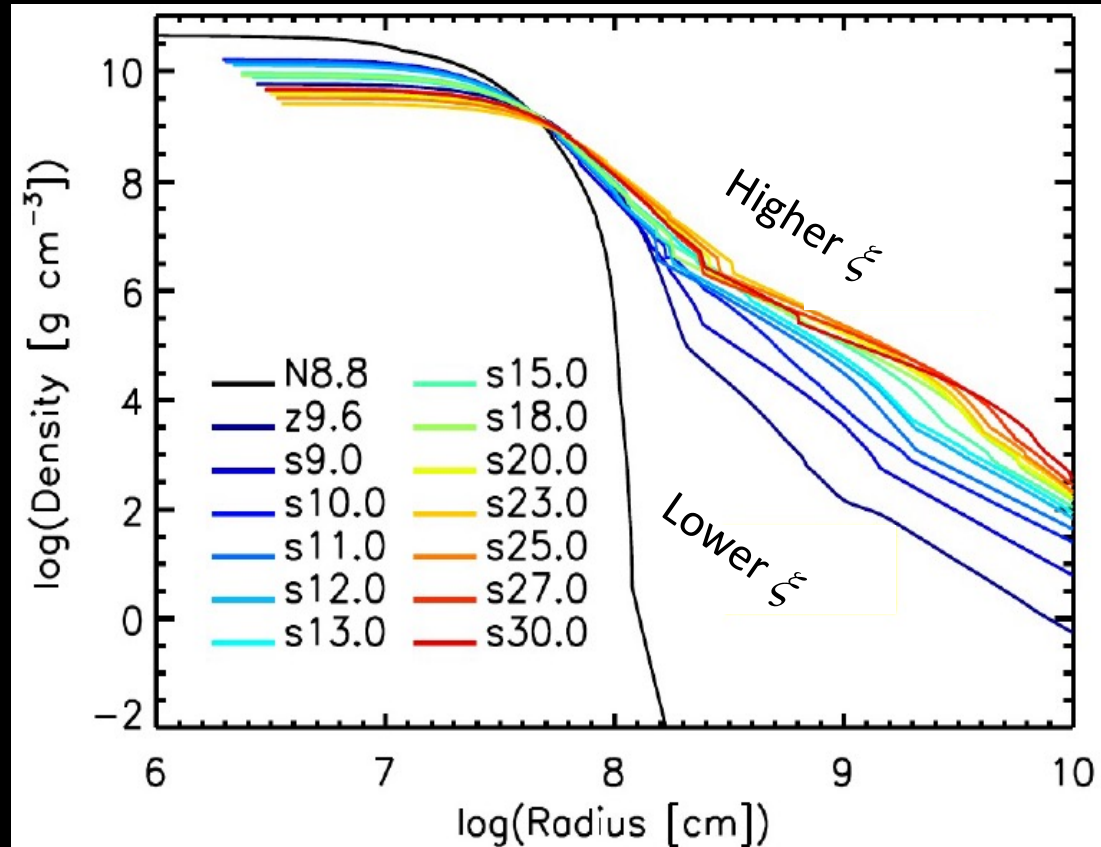
Mass accretion



Neutrino heating

- Higher  $\xi \rightarrow$  higher  $\dot{M}_{\text{dot}}$
- Lower  $\xi \rightarrow$  lower  $\dot{M}_{\text{dot}}$

$$\xi_M = \frac{M/M_\odot}{R(M_{\text{bary}} = M)/1000 \text{ km}} \Big|_t$$





# Compactness: BH formation

## Compactness:

Captures the density structure of the progenitor, which impacts mass accretion evolution

*O'Connor & Ott (2011)*

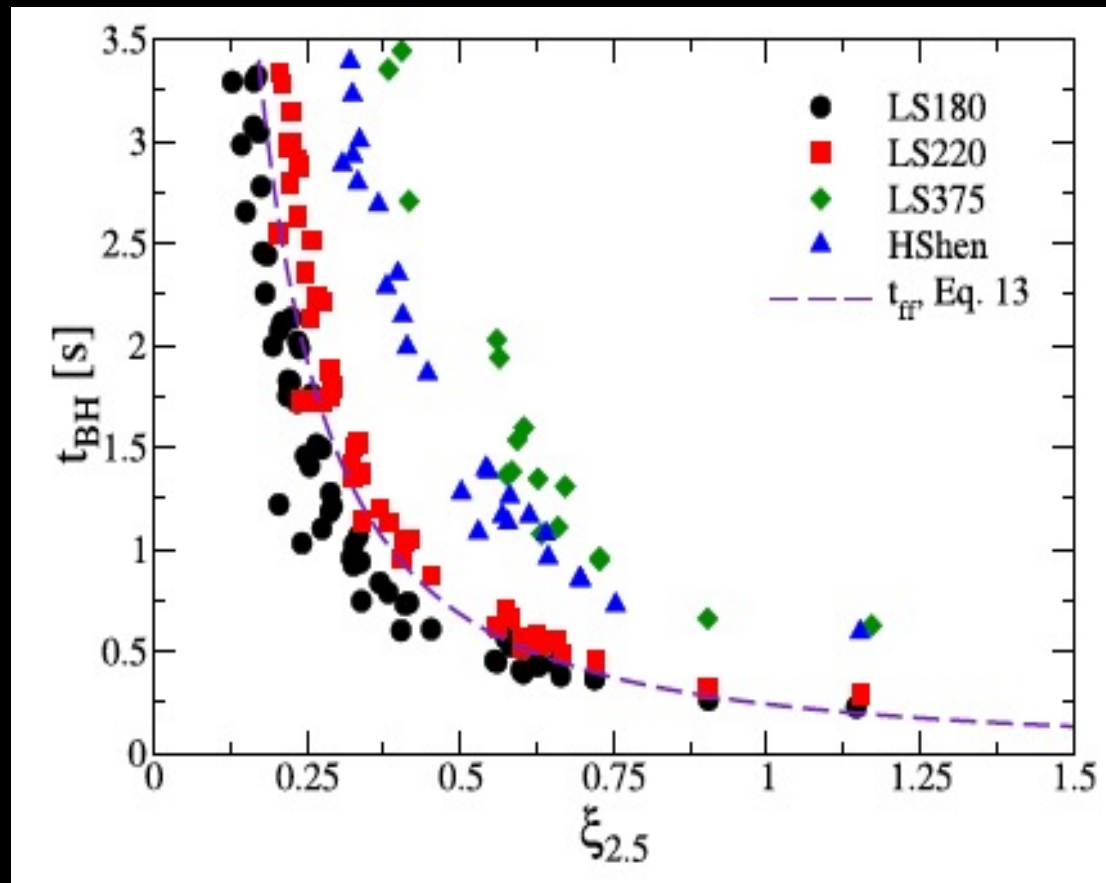
Mass accretion



Neutrino heating

- Higher  $\xi \rightarrow$  higher  $\dot{M}$   $\rightarrow$  BH forms earlier
- Lower  $\xi \rightarrow$  lower  $\dot{M}$   $\rightarrow$  BH formation takes longer

$$\xi_M = \frac{M/M_\odot}{R(M_{\text{bary}} = M)/1000 \text{ km}} \Big|_t$$

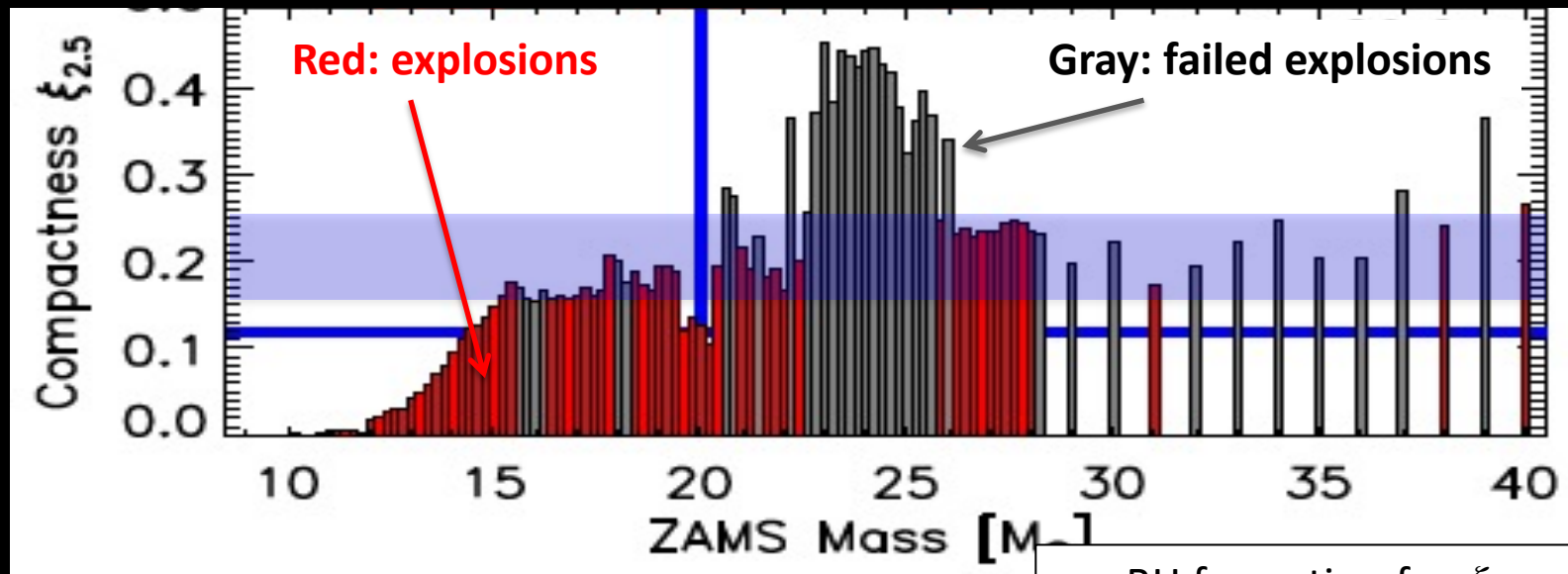


*O'Connor & Ott (2011)*

# Compactness: Explodability

...beyond black hole formation time...

Compactness does a crude first job separating failed vs explosions.



*Ertl et al (2016) ; see also Ugliano et al (2012)*

- BH formation for  $\xi_{2.5} > 0.3$
- Explosions for  $\xi_{2.5} < 0.15$
- Mixture in between

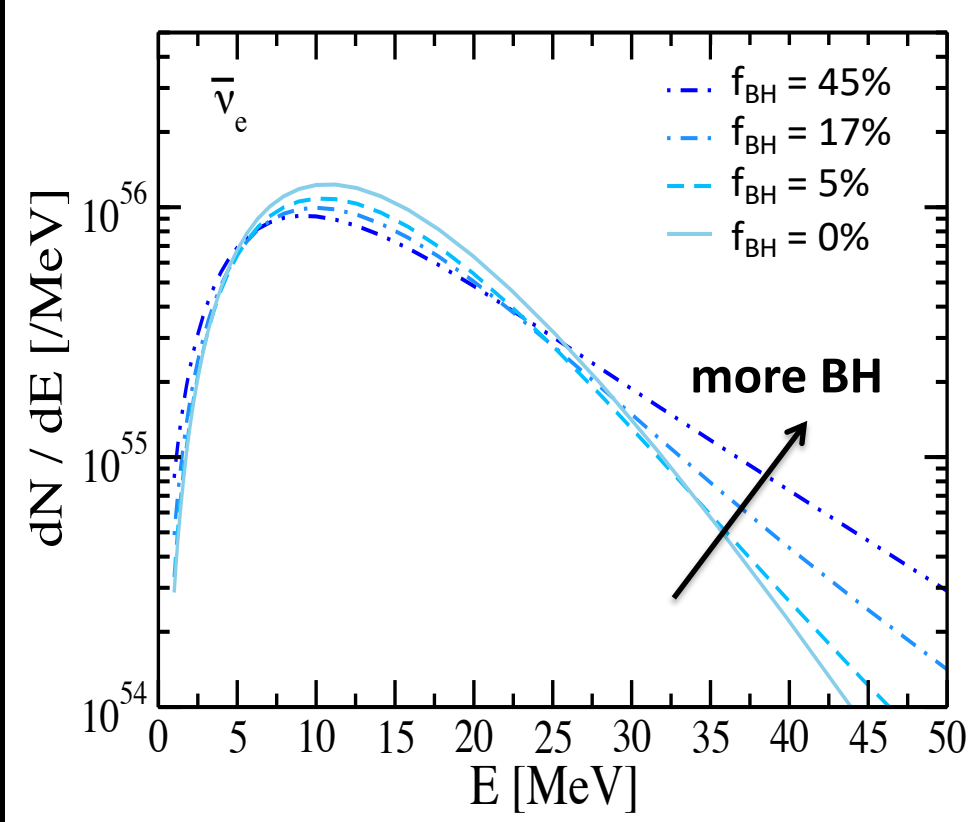
**Is there a critical compactness?**

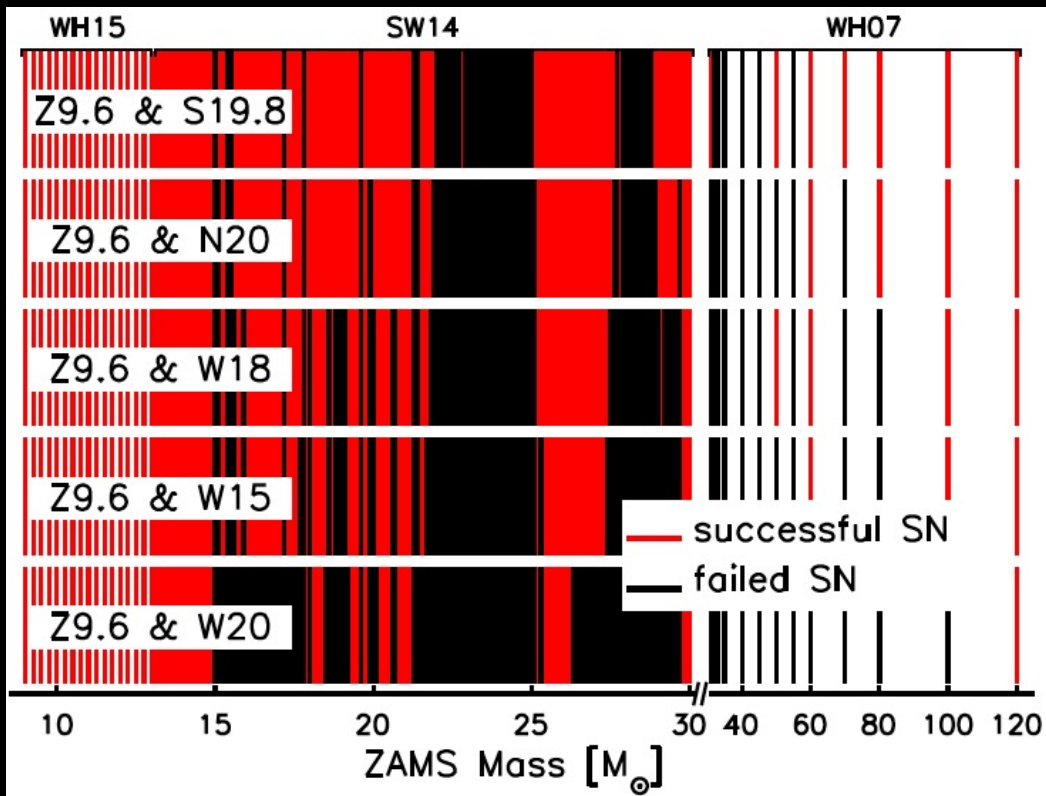
- 1 compactness predicts at most  $\sim 88\%$  of cases
- 2 parameters successful in  $\sim 97\%$  of progenitors
- Critical  $\xi_{2.5} \sim 0.2$  consistent with 2D simulations
- TBD for 3D

*Pejcha & Thompson (2015)*

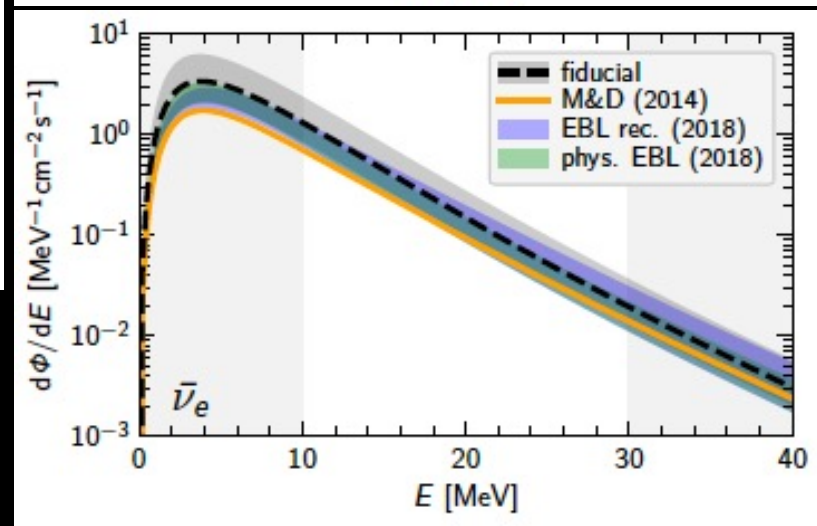
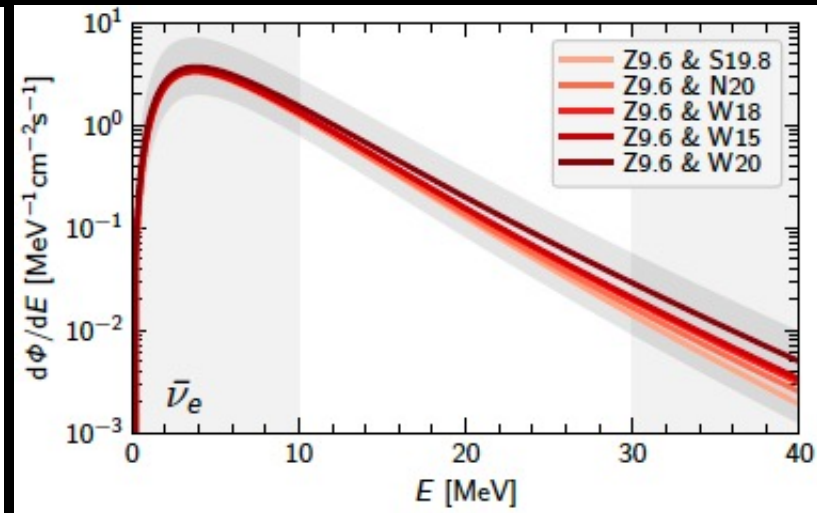
*Ertl et al (2016)*

*Horiuchi et al (2014)*



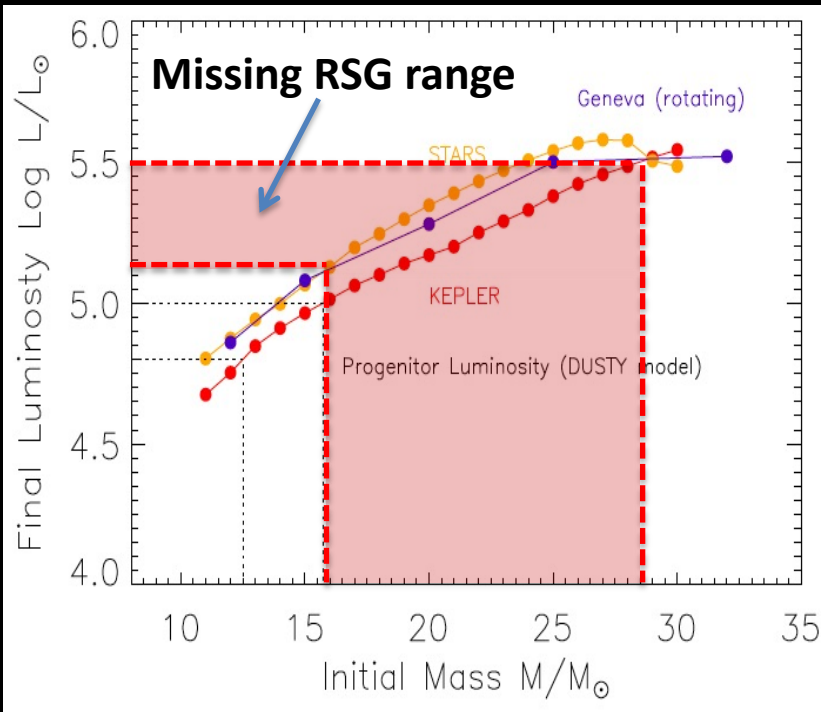


Engine Model	Successful SNe	Failed SNe
Z9.6 & S19.8	82.2%	17.8%
Z9.6 & N20	77.2%	22.8%
Z9.6 & W18	73.1%	26.9%
Z9.6 & W15	70.9%	29.1%
Z9.6 & W20	58.3%	41.7%



*Kresse et al (2021)*

# Type II progenitors



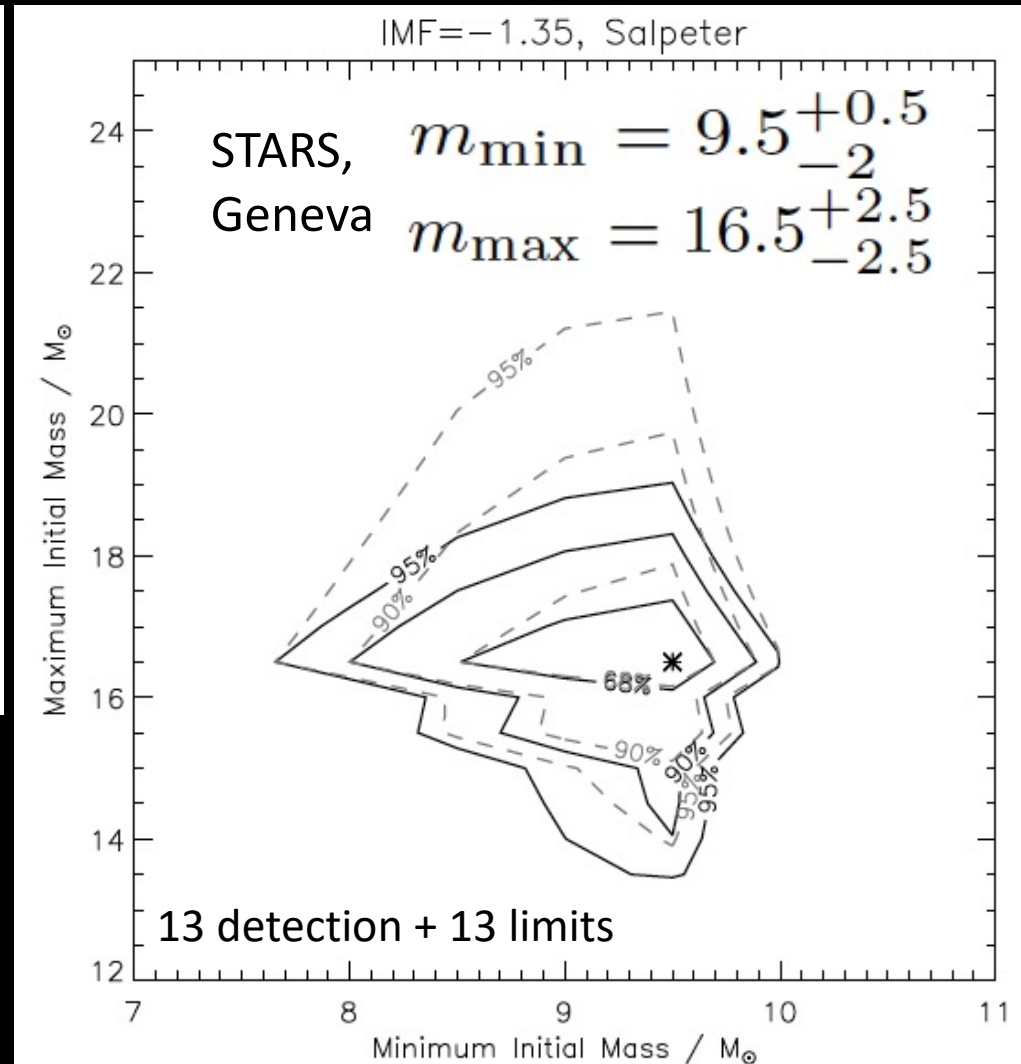
*Jerkstrand et al (2014)*

Systematic uncertainty in mass estimating: +2Msun with KEPLER

With  
KEPLER:

$$m_{\min} = 10^{+0.5}_{-1.5}$$

$$m_{\max} = 18.5^{+3}_{-4}$$



*Smartt (2015), updated from Smartt et al (2009)*



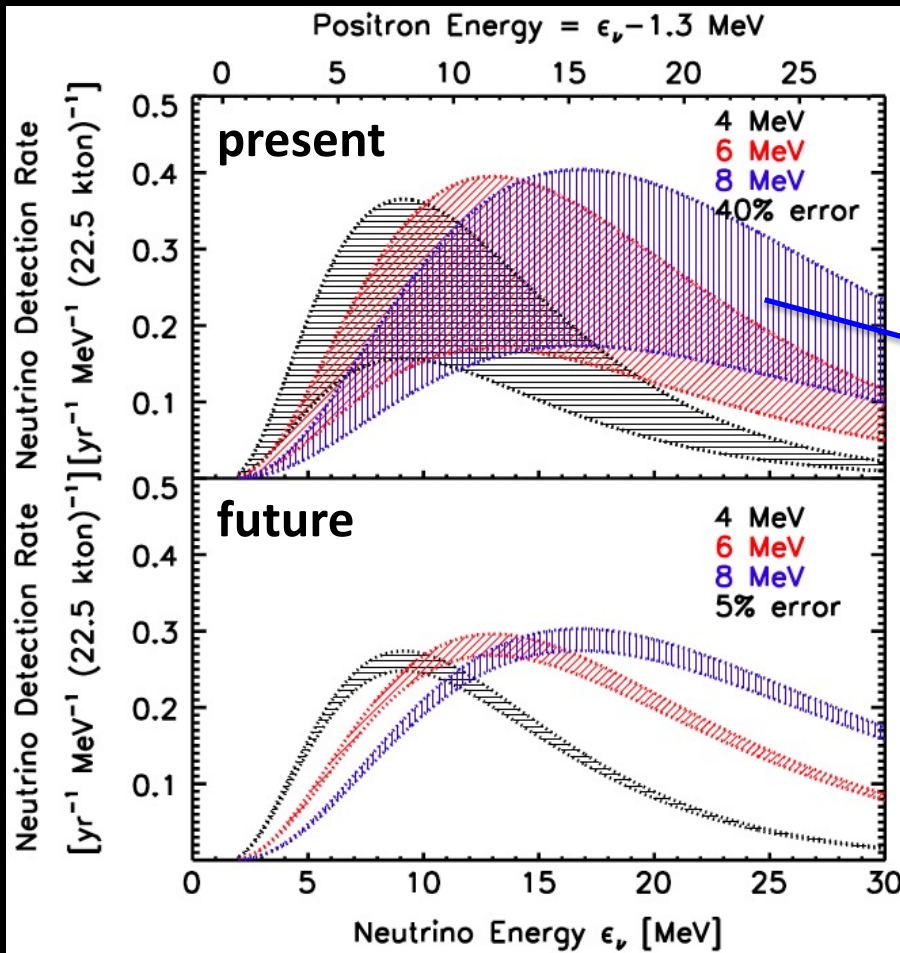
# DSNB: long-term future

## Supernova rate uncertainty

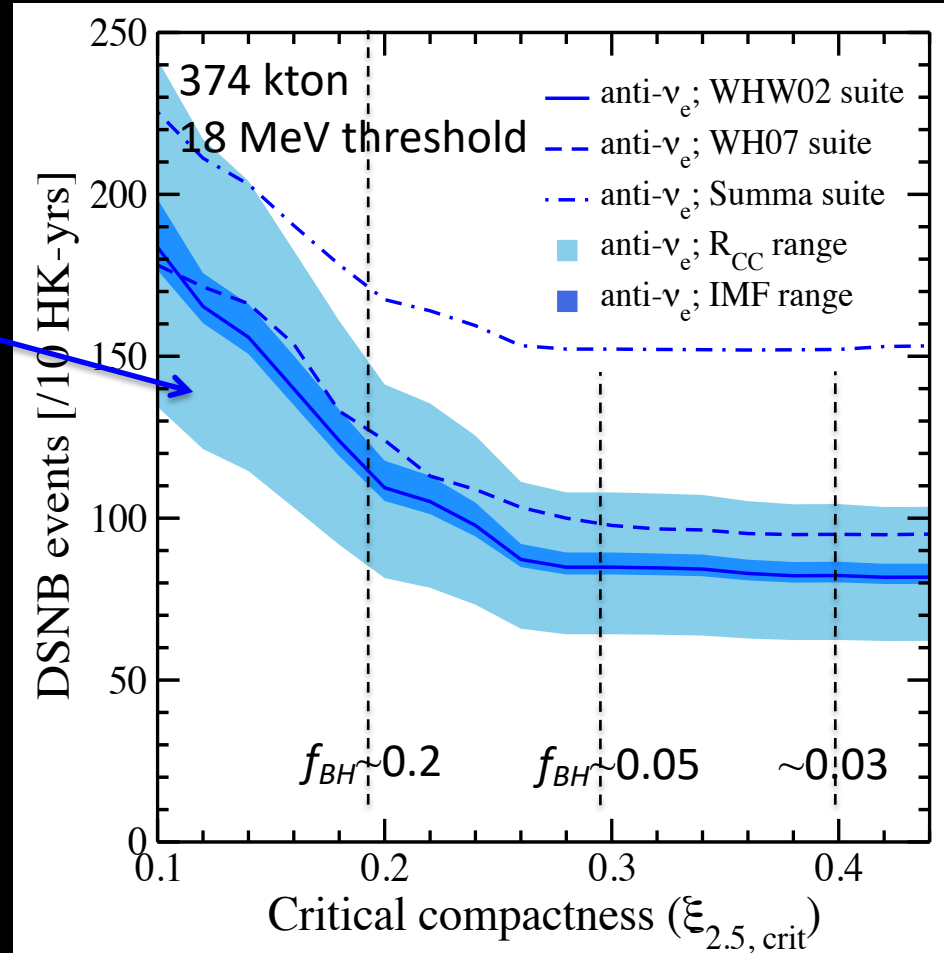
Will reduce with next-generation supernova surveys (e.g., LSST; 2023~)

## Neutrino detector

Hyper-Kamiokande will increase detector volume by x10 or so



Lien et al (2010)



Horiuchi et al (2018)

# Core mass growth

1. Extrapolated: Simple extrapolation
2. Fiducial: Numerical modeling
3. No rotation: Ignoring core mass growth (very conservative)

