T2K neutrino oscillation results using 2017 data



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Outline

- > Motivations for the study of neutrino oscillations
- > The Tokai to Kamioka experiment
- Neutrino oscillation analysis
- > Dataset
- > Oscillation analysis results
- Perspective for the future

Open questions in the Standard Model

Highly successful theory, yet a number of unexplained facts and possible limitations



some of those questions



 $P(\nu_{\alpha} \rightarrow \nu_{\beta})$ oscillates as a function of distance L traveled by the neutrino with periodicity $\Delta m^2_{ii}L/E$

 $(\Delta m_{ij}^2 = m_{i}^2 - m_{j}^2)$

Neutrino oscillations Parameters

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
$$(c_{ij} = \cos(\theta_{ij}), s_{ij} = \sin(\theta_{ij}))$$



CP symmetry and difference matter/anti-matter ⁶ **The CP phase δ**





Neutrino oscillation experiments Main current physics goals



Violation of CP symmetry in neutrino oscillations?

Degeneracies between those 3 questions

Neutrino oscillation experiments Beyond the Standard Model

<u>Tests of the 3 flavor</u> <u>oscillation model</u>

- Agreement of the measurements in the different channels ?
- Unitarity of the 3x3 PMNS matrix?

Tests of new models

- New symmetries ?
- > Additional neutrino flavors ?
- New interactions between neutrinos and matter ?
- > Violation of Lorentz symmetry?
- > Violation of CPT symmetry ?



The Tokai to Kamioka ⁹ experiment



The T2K experiment Overview



The T2K experiment Neutrino production

Conventional neutrino beam produced from 30 GeV protons



Almost pure
$$v_{\mu}/\overline{v}_{\mu}$$
 beam,
with an intrinsic v_{e}/\overline{v}_{e}
component (<1% at peak)

Can switch from ν_{μ} beam to $\bar{\nu}_{\mu}$ beam by inverting the horn polarities

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The T2K experiment **Off-axis beam**





- Reduces high energy tail
- Reduces intrinsic v_{e} contamination
 - of the beam at peak energy
- Interactions dominated by CCQE

mode

The T2K experiment Near detectors

On-axis detector INGRID (Interactive Neutrino GRID) Located 280m from the target



400 -200 0 200 400 distance from INGRID center[cm]

distance from INGRID center[cm]

The T2K experiment Off-axis near detectors



- Several detectors inside a
 0.2 T magnetic field
 Cood trocking conchilition
- Good tracking capabilities
- 'Tracker' used to constrain flux and interaction uncertainties for oscillation analysis
- Rich cross-section
 measurement program



The T2K experiment Far detector: Super-Kamiokande

Located 295 km from the target Synchronized with beamline via GPS

- > 50 kt water Cherenkov detector
- > Operational since 1996



39.3 m

Good separation between μ^{\pm} and e^{\pm} (separate ν_{μ} and ν_{e} CC interactions)



Neutrino oscillation analysis

How can we measure δ ?

Look for violation of CP symmetry by comparing P($\nu_{\mu} \rightarrow \nu_{e}$) and P($\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e}$)

Full probability in vacuum:

$$P(\nu_{\mu} \rightarrow \nu_{e}) = 4c_{13}^{2} s_{13}^{2} s_{23}^{2} \sin^{2} \Delta_{31}$$

+ $8c_{13}^{2} s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21}$
- $8c_{13}^{2} c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21}$
+ $4s_{12}^{2} c_{13}^{2} (c_{12}^{2} c_{23}^{2} + s_{12}^{2} s_{23}^{2} s_{13}^{2} - 2c_{12} c_{23} s_{12} s_{23} s_{13} \cos \delta) \sin^{2} \Delta_{21}$

$$\begin{bmatrix} \nu \rightarrow \overline{\nu} \\ \delta \rightarrow -\delta \end{bmatrix}$$

 $\sin^2 \Delta_{ij} = \sin^2 (1.27 \Delta m_{ij}^2 \times L/E)$

Change in expected appearance probability (at first maximum) wrt δ =0 or π (~27% effect in T2K)

Oscillation	δ > 0	δ < 0
$\nu_{\mu} \rightarrow \nu_{e}$	Suppressed	Enhanced
$\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e}$	Enhanced	Suppressed

Analysis description overview

Likelihood analysis: compare observed data at the far detector to predictions based on a model of the experiment to make measurements



Analysis description Neutrino flux prediction

Neutrino flux predicted using a series of simulations



12%, depending on neutrino flavor and energy

Neutrino interactions

Need to detect neutrino flavor => charged-current interactions
 At T2K energies, dominant interaction mode is charged-current quasi-elastic



Neutrino interaction model

- Select interaction models using external data
- Nominal predictions from NEUT
- Uncertainties on model parameters (M_A, pF,...)
- Additional normalization uncertainties for certain modes / sub-modes



Significant improvements for 2017 analysis:

- implementation of Valencia 2p-2h model
- more detailed parameterization of uncertainties on 2p-2h interactions
- addition of long range correlations in the nucleus (RPA) for CCQE interactions
- Effective parameterization of the uncertainties on those
- improved pion production model

Near detector analysis

Select CC v_{μ} interactions with vertex in one of the Fine-Grained Detectors (FGD)

Samples separated by FGD:

- FGD1: CH target
- FGD2: 42% water by mass
- Additional separation by topology:
- > Number of π^+ (v mode)
- > Number of tracks ($\overline{\nu}$ mode)

Neutrino and anti-neutrino samples in anti-neutrino mode to constrain wrong sign background





Far detector Strategy



Water-Cherenkov detector:
Only sees charged particles
Has a momentum threshold
See only leptons and pions at T2K energies



Build CCQE enriched samples (can also use CC1 π : proton $\leftrightarrow \pi^+$)

Far detector Analysis improvements

Major updates of the far detector analysis for the 2017 analysis:

- Use of fiTQun reconstruction algorithm instead of APFit: improved PID, better vertex and momentum resolution
- \succ Introduction of a new likelihood cut to reduce the NC1 π background for disappearance analysis
- Optimization of the selection cuts to increase sensitivity
- New estimation of the detector systematic uncertainties





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Far detector Fiducial volume optimization

Fiducial volume cuts optimized to improve sensitivity

- For appearance samples, optimized to improve sensitivity to CP violation
- \succ for disappearance samples, optimized to improve precision of θ_{23} measurement



Previous analysis: wall>200 cm for all samples

Sample	ToWall cut	Wall cut
v-mode 1Re	170 cm	80 cm
v-mode 1Rµ	250 cm	50 cm
ν-mode CC1π	270 cm	50 cm
v-mode 1Re	170 cm	80 cm
v-mode 1Rµ	250 cm	50 cm

Far detector Effects of improvements

Increase of statistics for the appearance samples:

- 25% increase in 1R e-like samples
- \prec 33% increase for v-mode CC1 π signal with 70% decrease in main background

Small decrease in statistics for disappearance samples, but better signal/background:

- 15% increase in CCQE signal interaction
- 50% decrease in NC1π background

Expected number of events (Run 1-8)				
Sample	New analysis	Previous analysis		
v-mode 1Re	69.5	56.5		
ν -mode 1R μ	261.6	268.7		
v-mode CC1 π	6.9	5.6		
$\bar{\nu}$ -mode 1Re	7.6	6.1		
$\overline{\nu}$ -mode 1R μ	62.0	65.4		

v-mode $1R\mu$, previous analysis



Oscillation fits

- Maximum likelihood methods to measure the PMNS parameters
- Marginalize (integrate) over the nuisance parameters
- Bayesian and frequentist results





Dataset Run 1-8 data



Statistics given in terms of POT: Proton On Target

Beam stability

Stable event rate and beam direction from muon monitor and on-axis near detector measurements



Off-axis angle controlled better than 1 mrad target uncertainty (= 2% uncertainty on peak energy at SK)

Far detector data Appearance samples

Sample	δ=0 MC	δ=π MC	δ=-π/2 MC	δ=π/2 MC	Observed
v-mode 1Re	61.46	61.98	73.51	49.93	74
ν-mode 1Re	9.035	8.93	7.921	10.04	7
ν-mode CC1π	6.01	5.78	6.923	4.868	15

MC with $sin^2(\theta_{23})=0.528$, $\Delta m^2_{32}=2.509*10^{-3} \text{ eV}^2\text{c}^{-4}$, $sin^2(\theta_{13})=0.0219$, Normal hierarchy

Observation in line with expectations for δ=-π/2 for 1 ring e-like samples
Excess of events for the CC1π sample
shape of reconstructed energy coherent with MC predictions
p-value for such a fluctuation in a sample is 2.5% (11.9% to have one of 5 samples fluctuate by that much)



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Far detector data Disappearance samples

Sample	δ=0 MC	δ=-π/2 MC	Observed
v-mode	267.41	267.76	240
v-mode	62.91	63.05	68

MC with $\sin^2(\theta_{23})=0.528$, $\Delta m^2_{32}=2.509*10^{-3} \text{ eV}^2\text{c}^{-4}$, $\sin^2(\theta_{13})=0.0219$, Normal hierarchy



Results

Near detector fit Results







Near detector fit Results



Near detector fit Systematic uncertainty reduction

Both changes the nominal rate predictions and reduces the uncertainties





 $(\delta = -1.601, \sin^2(\theta_{23}) = 0.528 \Delta m_{32}^2 = 2.509 \times 10^{-3} \text{ eV}^2 \text{c}^{-4}, \sin^2(\theta_{13}) = 0.0219)$

Systematic uncertainties

Using the results of ND fit: ~4% uncertainty on the rates for disappearance sample ~6% uncertainty on the rates of 1-ring appearance sample Larger uncertainty for the CC1 π (1 ring + 1 decay e-) due to π^0 rejection and FSI-SI uncertainties

	ν _e (1 ring)	ν _e (CC1π)	ν_{μ} (1 ring)	$\overline{\nu}_{e}$ (1 ring)	$\overline{\nu}_{\mu}$ (1 ring)
Flux +Xsec (with ND fit)	3.2%	4.1%	3.3%	2.9%	2.7%
Far detector (after ND fit)	4.2%	19.2%	2.9%	4.8%	2.5%
Total (syst. only)	6.3%	19.6%	4.4%	6.4%	3.8%



- Potential non-negligible effect on contours for atmospheric parameters
 → Plots for θ₂₃ and Δm²₃₂ should not be considered as a final result.
 Systematics will be updated.
- \succ Effect was found to be small on the δ_{CP} intervals
 - \rightarrow main result reported today is for appearance parameters

Atmospheric parameters

Not final results due to remaining uncertainties on interactions
 Compatible with maximal disappearance as previous results were



Using results of reactor experiments: $sin^2(\theta_{13})=0.0219\pm0.0012$ (PDG 2016)

$\begin{array}{c} \text{Results} \\ \theta_{13} \text{ and } \delta - \text{T2K only} \end{array}$

- Smaller contours than expected from sensitivity
- > θ_{13} results compatible with measurements from reactor experiments
- > Favors values of $\delta \sim -\pi/2$ with T2K data alone



 $\begin{array}{c} \text{Results} \\ \theta_{13} \text{ and } \delta - \text{T2K} + \text{reactor} \end{array}$



Using results of reactor experiments: $sin^2(\theta_{13})=0.0219\pm0.0012$ (PDG 2016)

Results δ – T2K + reactor

Using results of reactor experiments: $sin^2(\theta_{13})=0.0219\pm0.0012$ (PDG 2016)



CP-conserving values outside of 2σ intervals

 \rightarrow Conservation of CP symmetry in neutrino oscillations excluded at 2σ

Results Model comparisons

Compare posterior probabilities of different models

S. S	140140140	211201120	10112012	21251
	PRELIMINARY	$\sin^2 \theta_{23} < 0.5$	$\sin^2 \theta_{23} > 0.5$	Line total
T2K	Inverted hierarchy	0.107	0.187	0.294
only	Normal hierarchy	0.254	0.452	0.706
	Column total	0.361	0.639	1
	18 18 18 18 18 18 18 18 18 18 18 18 18 1	YAROXARY	1. 3 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	N. K. N. K. V. K.
	PRELIMINARY	$\sin^2 \theta_{23} < 0.5$	$\sin^2 \theta_{23} > 0.5$	Line total
	Inverted hierarchy	0.022	0.096	0.118
+	Normal hierarchy	0.214	0.668	0.882
reactor	Column total	0.236	0.764	1

Mild preference for normal hierarchy and octant $sin^2\theta_{23}$ >0.5

Perspective for the future

Short term Sensitivity with one additional year of data

	<mark>v_e appe</mark>	arance sens	Itivity	
\sim Visible increase in the sensitivity to δ		p-value	σ	
with an additional 9e20 POT Most interesting running mode	Previous result (run 1-7)	0.0477553	1.98	3
depends on true value of θ_{23}	Current analysis (run 1-8)	0.0372762	2.08	3
us to look for $v_{\mu} \rightarrow v_{e}$	Add 9e20 POT RHC	0.00647045	2.72	2





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Medium term Proposal for extended run: T2K-II

 Proposed an extended run until ~2025
 Increased statistics: 7.8x10²¹ POT → 20x10²¹ POT + analysis improvements
 Can exclude CP conservation at 3σ in favorable case



Longer term Hyper-Kamiokande



Hyper-Kamiokande was selected as one of seven highest priority large scale project in MEXT 2017 roadmap

Summary

- T2K almost doubled amount of neutrino running mode data since 2016
- Implemented improved model for neutrino interactions and their uncertainties
- New reconstruction algorithm, additional background rejection cut and optimized fiducial volume cut for the far detector: increased statistics for appearance samples, and better signal over background ratio for disappearance samples
- CP-conserving values of δ excluded at 2σ
 2σ intervals (in rad): [-2.894,-0.561] (NH), [-1.504,-1.265] (IH)
- Finalizing results for the parameters $\sin^2(\theta_{23})$ and Δm^2_{32} , potential effects of additional interaction uncertainties have to be understood
- Proposals for an extended T2K run and next generation experiment Hyper-Kamiokande

Additional slides

The T2K experiment The collaboration

 \sim 500 members, 62 Institutes, 11 countries

Canada

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Italy

TRIUMF U. B. Columbia U. Regina U. Toronto U. Victoria U. Winnipeg York U.

France

CEA Saclay IPN Lyon LLR E. Poly. LPNHE Paris

Germany

Aachen

Switzerland

ETH Zurich U. Bern U. Geneva INFN, U. Bari INFN, U. Napoli INFN, U. Padova INFN, U. Roma Japan ICRR Kamioka ICRR RCCN Kavli IPMU KEK Kobe U. Kyoto U. Miyagi U. Edu. Okayama U. Osaka City U. Tokyo Metropolitan U. U. Tokyo Yokohama National U.

Spain

IFAE, Barcelona IFIC, Valencia U. Autonoma Madrid

Poland

IFJ PAN, Cracow NCBJ, Warsaw U. Silesia, Katowice U. Warsaw Warsaw U. T. Wroclaw U.

Russia INR

United Kingdom

Imperial C. London Lancaster U. Oxford U. Queen Mary U. L. Royal Holloway U.L. STFC/Daresbury STFC/RAL U. Liverpool U. Sheffield U. Warwick

USA

Boston U. Colorado S. U. Duke U. Louisiana State U. Michigan S.U. Stony Brook U. U. C. Irvine U. Colorado U. Pittsburgh U. Rochester U. Washington



Neutrino oscillations Looking for second order effects

Oscillation probabilities for a muon neutrino beam

 $c_{ij} = \cos \theta_{ij}, s_{ij} = \sin \theta_{ij}$ $\Delta_{ij} = \Delta m_{ij}^2 \frac{L}{4E_v}$

(courtesy of A. Ichikawa/T2K reference slides)

Analysis description Hadron production measurements

The NA61/Shine experiment measures hadron production from 30 GeV protons on carbon



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Long-baseline experiments First measurements

In first approximation LBL experiments can measure some of the PMNS parameters through exclusive channels:



And similar measurements for anti-neutrinos

Long baseline experiments Main current physics goals

Look for more subtle effects by comparing $P(\nu_{\mu} \rightarrow \nu_{e})$ and $P(\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e})$

- > CP violation: is sin(δ) ≠ 0?
- > Mass hierarchy: sign of Δm_{32}^2 ?



Systematic uncertainties Near to far extrapolation

Detectors measure rate as a function of a reconstructed quantity from observables e.g: reconstructed neutrino energy from lepton (p, θ)



Differences between ND and FD:

- different fluxes (oscillations)
- > different target material
- > different acceptance
- > different detector technologies

> Use models for extrapolation

Systematic uncertainties Neutrino interactions – why it matters

Different relations between neutrino energy and observables in detector for the different types of interactions



Systematic uncertainties Neutrino interactions



Different fraction of each interaction at ND and FD



- Select interaction models using external data
- Nominal predictions from NEUT
- Uncertainties on model parameters (M_A, pF,...)
- Additional normalization uncertainties for certain modes

Interaction uncertainties fitted in ND with flux uncertainties



Additional sample – $v_e CC1\pi$

